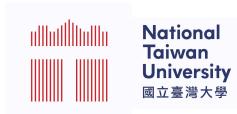
Slido: #ADA2021

CSIE 2136 Algorithm Design and Analysis, Fall 2021

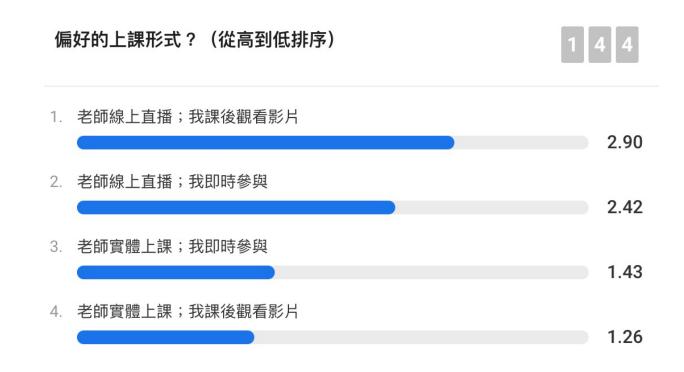


## Graph Algorithms - II

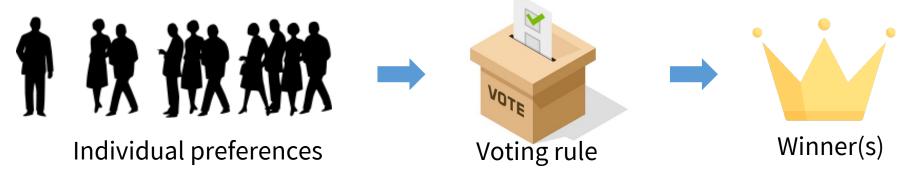
Hsu-Chun Hsiao

### 課堂小調查

p 維持線上直播



## Voting rule examples



- Plurality (多數決): each voter awards one point to top candidate, and the candidate with the most points wins
- Veto (否決制): each voter vetos least preferred candidate, and the candidate with the least vetoes wins
- P Borda (計數法): each voter awards m-k points to  $k^{th}$  ranked candidate, and the candidate with the most points wins

Q: Which voting rule did we use on slido?

## Manipulation: Borda as an example

**Borda**: each voter awards m-k points to  $k^{th}$  ranked candidate, and the candidate with the most points wins

| Voter 1 | В | Α | С | D | A: 7         |
|---------|---|---|---|---|--------------|
| Voter 2 | В | А | С | D | B: 8         |
| Voter 3 | Α | В | С | D | C: 3<br>D: 0 |

Q: Can voter 3 benefit from lying about his or her preferences? (Assume others' preferences are known)

## Manipulation: Borda as an example

**Borda**: each voter awards m - k points to  $k^{th}$  ranked candidate, and the candidate with the most points wins

| Voter 1 | В | Α | С | D | A: 7         |
|---------|---|---|---|---|--------------|
| Voter 2 | В | А | С | D | B: 8         |
| Voter 3 | Α | В | С | D | C: 3<br>D: 0 |

Can voter 3 benefit from lying about his or her preferences? (Assume others' preferences are known)

| Voter 1 | В | Α | С | D | A: 7 |
|---------|---|---|---|---|------|
| Voter 2 | В | А | С | D | B: 6 |
| Voter 3 | Α | С | D | В | D: 1 |

My scheme is intended only for honest men



## Intersted in voting theory & algorithms?

- Checkout the old video in 2019 (I may update it if time permitted…)
- Which voting rules are "better"?
  - Condorcet winner criterion
  - Strategyproof
- Preventing manipulation (and achieve Strategyproofness)?
  - Gibbard-Satterthwaite theorem

## Today's Agenda

- Finish last week's slides…
- DFS applications
  - P Topological sort [Ch. 22.4]
  - Strongly-connected components [Ch. 22.5]
- Minimum spanning trees [Ch. 23]
  - Kruskal's algorithm
  - Prim's algorithm
- Shortest paths: terminology and properties
  - Edge relaxation
  - Shortest-paths properties

# Application of DFS: Topological Sort

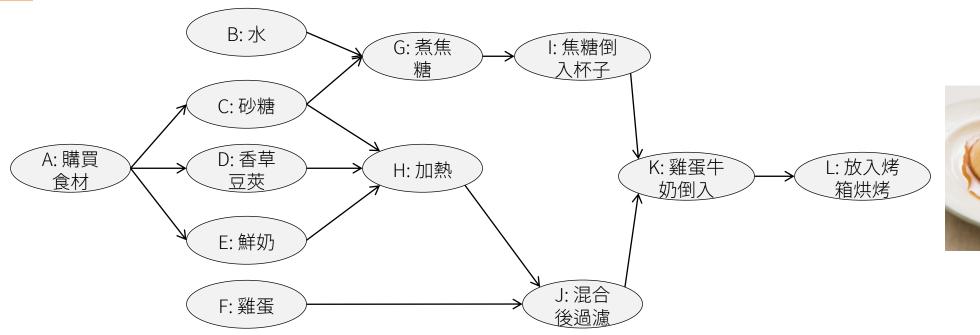
Textbook chapter 22.4

### MasterChef: 布丁篇

Q: 新手一次只能做一件事,用什麼順序才能順利做出布丁?

A->B: 要先處理完 A 才能處理 B

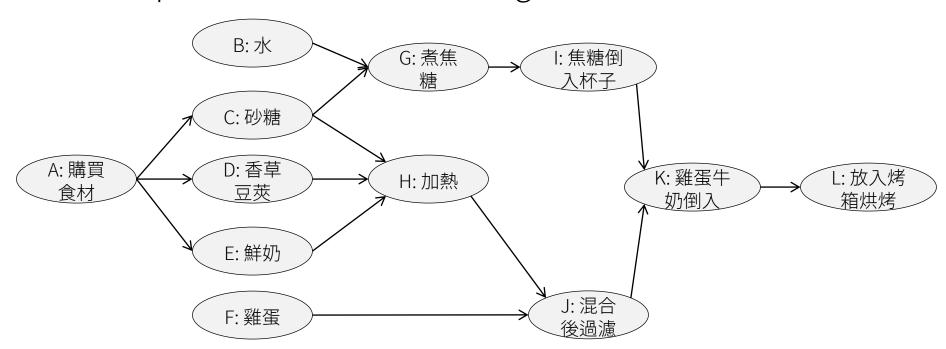
Intuition: 前置作業要先完成,才能做後面的步驟





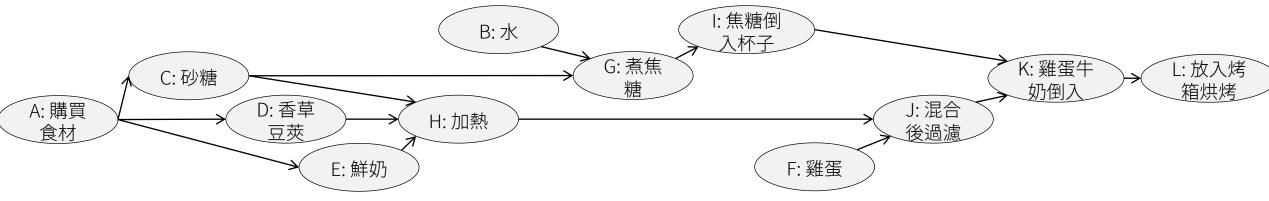
## Topological Sort

- Input: a directed acyclic graph (DAG) G = (V, E)
  - Often indicates precedence among events (X must happen before Y)
- P Output: a linear ordering of all its vertices such that for all edges (u, v) in E, u precedes v in the ordering



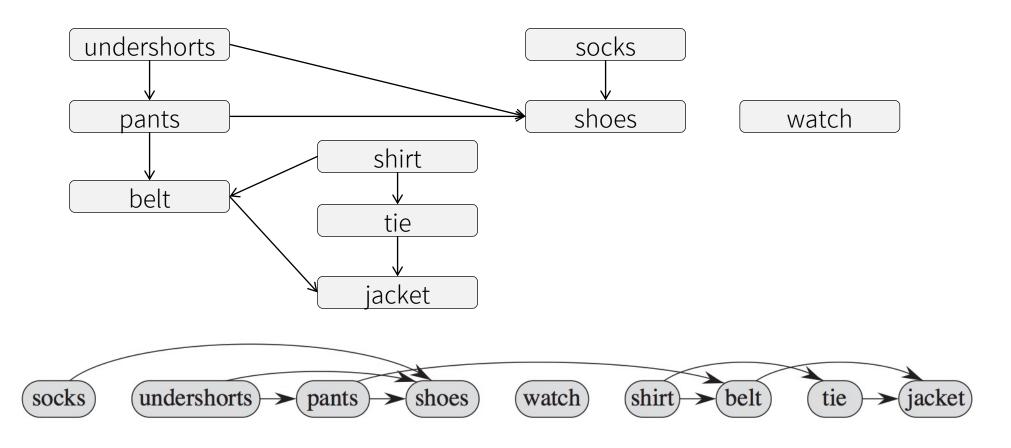
## Topological Sort

- P Input: a directed acyclic graph (DAG) G = (V, E)
  - Often indicates precedence among events (X must happen before Y)
- Output: a linear ordering of all its vertices such that for all edges (u, v) in E, u precedes v in the ordering
- Alternative view: a vertex ordering along a horizontal line so that all directed edges go from left to right



## Topological Sort

 Alternative view: a vertex ordering along a horizontal line so that all directed edges go from left to right

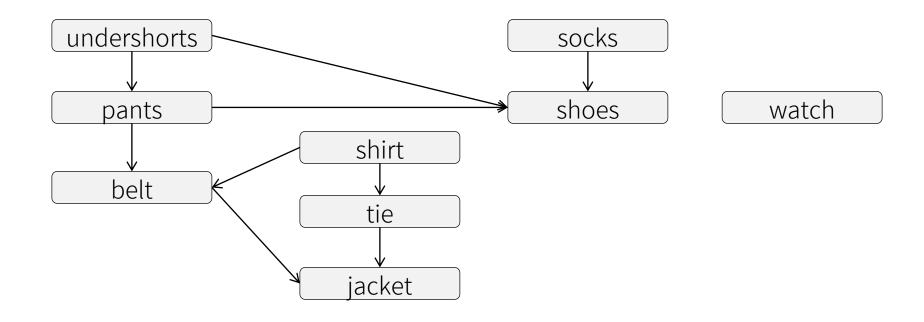


## Topological sort algorithm

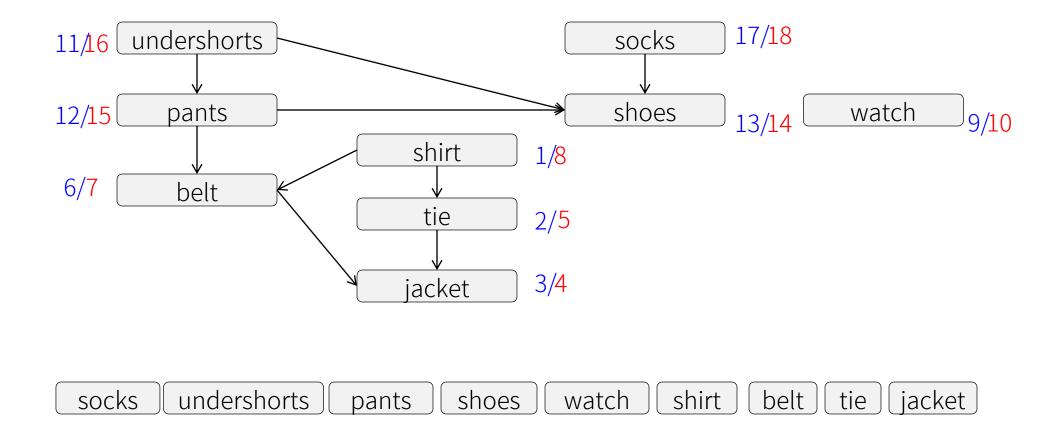
```
TOPOLOGICAL-SORT(G) //G is a DAG Call DFS(G) to compute finishing times v.f for each vertex v As each vertex is finished, insert it onto the front of a linked list return the linked list of vertices
```

- Vertices are ordered by their DFS finishing times (in a descending order)
- We will prove this linked list comprises a topological ordering

## Topological sort using DFS



## Topological sort using DFS



## Running time analysis

```
TOPOLOGICAL-SORT(G) //G is a DAG Call DFS(G) to compute finishing times v.f for each vertex v As each vertex is finished, insert it onto the front of a linked list return the linked list of vertices
```

- DFS with adjacency lists:  $\Theta(V + E)$  time
- $\triangleright$  Insert each vertex to the linked list:  $\Theta(V)$  time
- $\rho$  => total running time is  $\Theta(V + E)$

#### Theorem 22.12 Correctness of topological sort algorithm

The algorithm produces a topological sort of the input DAG

對所有的 edge (u,v),證明在此 vertex list 中 u 一定在 v 前面(也就是 u.f > v.f 成立)

#### **Proof**

- $\circ$  When (u, v) is explored, u is gray.
- $\triangleright$  Consider three cases of v: gray, white, black

#### Theorem 22.12 Correctness of topological sort algorithm

The algorithm produces a topological sort of the input DAG

#### Proof (cont.)

```
v = gray
    \Rightarrow (u, v) = back edge
    \Rightarrow G is cyclic (by Lemma 22.11)
    => Contradiction, so v cannot be gray
   v = \text{white}
    => v becomes descendant of u (by white-path theorem)
    => v will be finished before u (by parenthesis theorem)
    => v.f < u.f
\rho v = black
    => v is already finished
    => v.f < u.f
```

Q: Is there a DFS forest for a cyclic graph?

Q: Is there a topological order for a cyclic graph?

Q: Given a topological order, is there always a DFS traversal (ordered by the discovery times) that produces the same order?

## Another topological sort algorithm: Kahn's algorithm

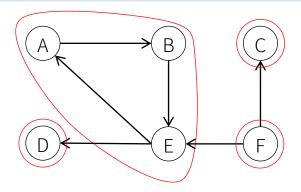
- Intuition: removing "source vertices" one by one and updating indegree values
  - Source vertices: vertices with in-degree = 0
- Correctness: why is there always a vertex with zero in-degree?
- Running time is  $\Theta(V + E)$ 
  - Need to maintain in-degree values and a queue of current source vertices

## Strongly Connected Components (SCC)

#### Strongly connected components of a directed graph

The strongly connected components of a directed graph are the equivalence classes of vertices under the "mutually reachable" relation.

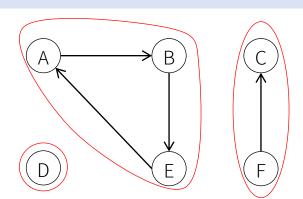
That is, a strong component is a maximal subset of mutually reachable nodes.



4 strongly connected components: {A,B,E}, {C}, {D}, {F}

#### Weakly connected components of a directed graph

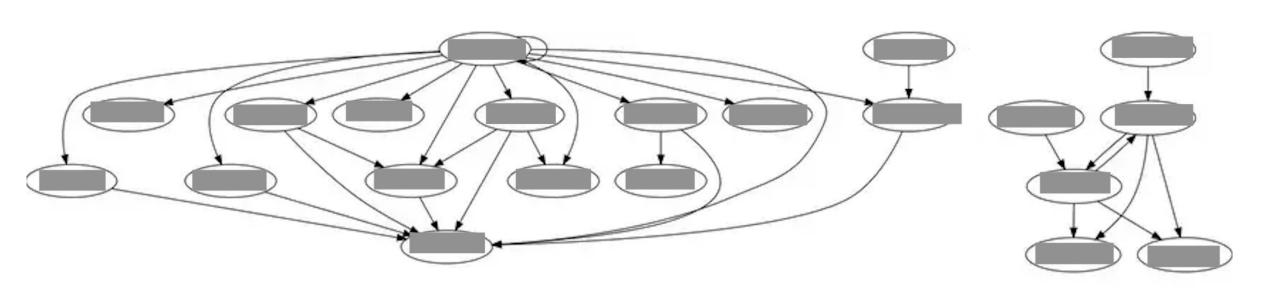
The weakly connected components of a directed graph are the equivalence classes of vertices under the "is reachable from" relation if all directed edges are replaced by undirected ones.



3 weakly connected components: {A,B,E}, {C,F}, {D}

## Example: Homework-reference graph

- A directed graph in which vertices are students and edges represent "acknowledgments"
- P To ease the grading process, the TAs want to cluster the answers by identifying weakly and strongly connected components



## Decomposing a directed graph

A directed graph is a DAG of its SCC



Q: Show that a component graph must be a DAG

## Q: Does the following algorithm **determine** whether a graph G is strongly connected in O(V + E) time?

```
Run BFS in G from any node s Run BFS in the transpose of G, from the same source node s If both BFS executions found all nodes, return true; otherwise, return false
```

Note: we denote a transpose or reverse graph of a directed graph G = (V, E) as  $G^T$ , and  $G^T = (V, E^T)$  where  $E^T = \{(v, u) \mid (u, v) \in E\}$ 

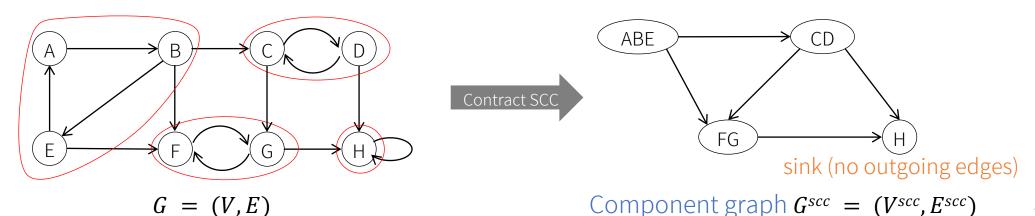
## Finding SCC: the Kosaraju-Sharir algorithm

```
Strongly-Connected-Components(G)
1  call DFS(G) to compute finishing times u.f for each vertex u
2  compute G<sup>T</sup>
3  call DFS(G<sup>T</sup>), but in the main loop of DFS, consider the vertices in order of decreasing u.f (as computed in line 1)
4  output the vertices of each tree in the DFS forest formed in line 3 as a separate strongly connected component
```

- Input: a directed graph G = (V, E)
- Output: strongly connected components
- P Time complexity
  - 2 DFS executions
  - $\circ$   $\Theta(V + E)$  using adjacency lists

## Finding SCC

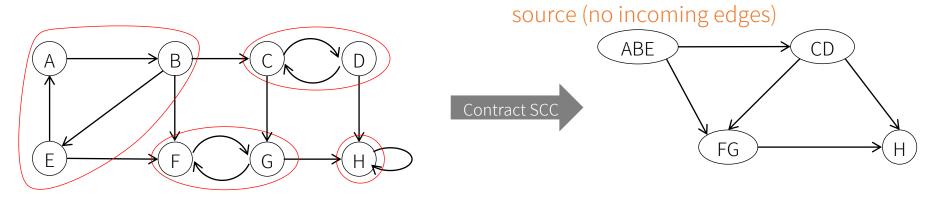
- Observation 1: Starting from s, DFS finds all reachable nodes from s. Hence, if we can select a vertex in a sink SCC as the starting vertex for DFS, then DFS will discover all (and only) vertices in the sink SCC.
  - $\triangleright$  => we can find SCCs one by one in a reverse topological order of  $G^{scc}$ !
  - P However, how to identify a vertex in a sink SCC?



## Finding SCC

G = (V, E)

- Observation 2 (Exercises 22.5-4): An SCC in G is also an SCC in G<sup>T</sup>. Also, a source SCC in G is a sink SCC in G<sup>T</sup>.
- Observation 3: Finding a vertex in a source SCC is easy. The vertex with the highest finishing time (found by running DFS in G) must be in a source SCC.
  - Implied by Lemma 22.14 (will prove it in a few slides)



Component graph  $G^{scc} = (V^{scc}, E^{scc})$ 

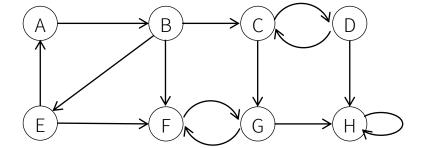
## Finding SCC: the Kosaraju-Sharir algorithm

```
Strongly-Connected-Components(G)
1  call DFS(G) to compute finishing times u.f for each vertex u
2  compute G<sup>T</sup>
3  call DFS(G<sup>T</sup>), but in the main loop of DFS, consider the vertices in order of decreasing u.f (as computed in line 1)
4  output the vertices of each tree in the DFS forest formed in line 3 as a separate strongly connected component
```

- Observation 1: Starting from s, DFS finds all reachable nodes from s. Hence, if we can select a vertex in a sink SCC as the starting vertex for DFS, then DFS will discover all (and only) vertices in the sink SCC.
- Observation 2 (Exercises 22.5-4): An SCC in G is also an SCC in  $G^T$ . Also, a source SCC in G is a sink SCC in  $G^T$ .
- Observation 3: Finding a vertex in a source SCC is easy. The vertex with the highest finishing time (found by running DFS in G) must be in a source SCC.

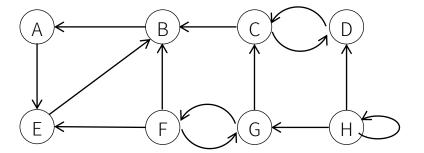
## Let's try it!

1 call DFS(G) to compute u.f



2 compute  $G^{\mathbb{T}}$ 

3 call DFS( $G^{T}$ ), in decreasing order of u.f



#### Lemma 22.14

Let C and C' be distinct strongly connected components in directed graph G = (V, E). Suppose that there is an edge (u, v) where u in C and v in C'. Then f(C) > f(C').

Here we define  $f(U) = \max_{u \in U} \{u, f\}$ , and  $d(U) = \min_{u \in U} \{u, d\}$ 

<u>Proof</u>: Consider two cases: d(C) < d(C') and d(C) > d(C')

- - Let x be the first vertex discovered in C
  - $\rho$  => At t = x. d, all vertices in C and C' are WHITE
  - $\rho$  => At t = x. d, there is a white path from x to every vertex in C and C'
  - $\triangleright$  => By the white-path theorem, they are all x's decendants in the DFS tree
  - $\triangleright$  => By the parenthesis theorem, x.f is the largest
  - > f(C) = x.f > f(C')

#### Lemma 22.14

Let C and C' be distinct strongly connected components in directed graph G = (V, E). Suppose that there is an edge (u, v) where u in C and v in C'. Then f(C) > f(C').

Here we define  $f(U) = \max_{u \in U} \{u, f\}$ , and  $d(U) = \min_{u \in U} \{u, d\}$ 

#### Proof (cont'd) If d(C) > d(C'):

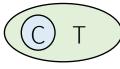
- Let y be the first vertex discovered in C'
- => At t=y.d, all vertices in C' are white
- => At t=y.d, there is a white path from y to every vertex in C'
- => By the white-path theorem and the parenthesis theorem, all other vertices in C' are y's descendants and y. f is the largest among them
- => f(C') = y.f
- Moreover, because there is no path from C' to C (why?), no vertex in C is reachable from y
- => At t=y.f, all vertices in C are still WHITE
- => f(C) > d(C) > y.f = f(C')

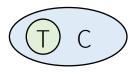
#### Theorem 22.16 Correctness of the Kosaraju-Sharir algorithm

The Kosaraju-Sharir algorithm correctly computes the strongly connected components of the directed graph *G* provided as its input

#### Proof by induction on the number of DFS trees in line 3

- Inductive hypothesis: the first k trees produced are SCC
  - Base case: when k = 0, trivially correct
- ho Inductive step: assume the first k trees are SCC, consider the (k + 1)th tree T
  - Let u be the first vertex of T, and let u be in SCC C
  - We will show that the vertices of T are the same as vertices in C
     All vertices in C are in T:





All vertices in *T* are in *C*:

#### Theorem 22.16 Correctness of the Kosaraju-Sharir algorithm

The Kosaraju-Sharir algorithm correctly computes the strongly connected components of the directed graph G provided as its input

#### Proof by induction (cont'd)

- ho Inductive step: assume the first k trees are SCC, consider the (k + 1)th tree T
  - Let u be the first vertex of T, and let u be in SCC C
  - We will show that the vertices of T are the same as vertices in C
  - All vertices in C are in T:
    - By the inductive hypothesis, at  $t = u \cdot d$ , all other vertices of C are white. By the white-path theorem, all vertices in C are descendants of U in U.
  - All vertices in T are in C:
    - By construction, u.f is the largest among vertices that have yet to be visited in line 3. That is, u.f = f(C) > f(C'), where C' is any SCC other than C that has yet to be visited. Lemma 22.4 implies that there is no edge from C' to C in G (thus no edge from C to C' in  $G^T$ ), so T will not contain any vertices in any C'.

#### Q: Can the following algorithms correctly find SCCs?

```
Strongly-Connected-Components-1(G)

1 compute G^T

2 call DFS(G^T) to compute finishing times u.f for each vertex u

3 call DFS(G), but in the main loop of DFS, consider the vertices in order of decreasing u.f (as computed in line 1)

4 output the vertices of each tree in the DFS forest formed in line 3 as a separate strongly connected component
```

```
Strongly-Connected-Components-2(G)

1 call DFS(G) to compute finishing times u.f for each vertex u

2 call DFS(G), but in the main loop of DFS, consider the vertices in order of increasing u.f (as computed in line 1)

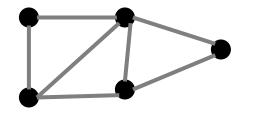
3 output the vertices of each tree in the DFS forest formed in line 3 as a separate strongly connected component
```

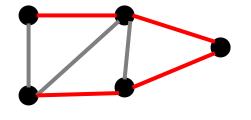
## Minimum Spanning Trees

Textbook Chapter 23

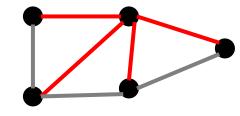
## Spanning tree

- Spanning tree of a connected undirected graph G = a subgraph that is a tree and connects all the vertices
  - $\rho$  Exactly |V| 1 edges
  - Acyclic
- P There can be many spanning trees of a graph





Spanning tree 1

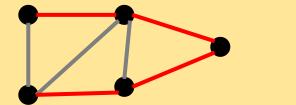


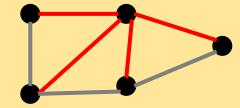
Spanning tree 2

## Spanning tree

- BFS and DFS also generate spanning trees
  - BFS tree is typically "short and bushy"
  - DFS tree is typically "long and stringy"

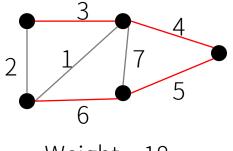
Q: Can these spanning trees be generated from BFS or DFS?



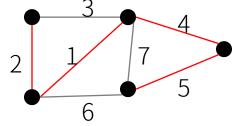


## Minimum spanning tree (MST)

- A minimum spanning tree of a graph G is a spanning tree with minimal weight
- ho Weight of a tree T = the sum of weights of all edges in T



Weight = 18



Weight = 12, MST

Q: How to find a MST in an unweighted graph (i.e., edges have equal weights)?

Q: Given a weighted graph G, can there be more than one MST?

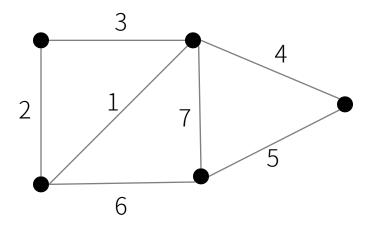
Q: If the edge weights of *G* are all increased by the same constant, does an MST of the old graph remain an MST in the re-weighted graph?

## Minimum spanning tree (MST)

- Finding an MST is an optimization problem
- Two greedy algorithms compute an MST:
  - Kruskal's algorithm: consider edges in ascending order of weight. At each step, select the next edge as long as it does not create cycle.
  - Prim's algorithm: start with any vertex s and greedily grow a tree from s. At each step, add the edge of the least weight to connect an isolated vertex.

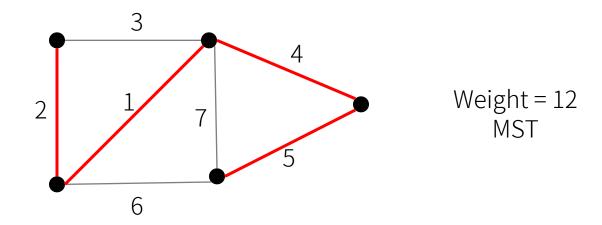
## Kruskal's algorithm

```
 \begin{array}{l} {\tt Kruskal}\,({\tt G}) \\ {\tt start} \,\, {\tt with} \,\, T \, = \, V \,\, ({\tt no \,\, edges}) \\ {\tt for} \,\, {\tt each} \,\, {\tt edge} \,\, {\tt in \,\, increasing} \,\, {\tt order} \,\, {\tt by \,\, weight} \\ {\tt if} \,\, {\tt adding} \,\, {\tt edge} \,\, {\tt to} \,\, T \,\, {\tt does} \,\, {\tt not} \,\, {\tt create} \,\, {\tt a} \,\, {\tt cycle} \\ {\tt then} \,\, {\tt add} \,\, {\tt edge} \,\, {\tt to} \,\, T \\ \end{array}
```



## Kruskal's algorithm

```
 \begin{array}{l} {\sf Kruskal}\,({\sf G}) \\ & {\sf start} \,\, {\sf with} \,\, T \, = \, V \,\, ({\sf no \,\, edges}) \\ & {\sf for} \,\, {\sf each \,\, edge} \,\, {\sf in \,\, increasing} \,\, {\sf order \,\, by \,\, weight} \\ & {\sf if} \,\, {\sf adding \,\, edge} \,\, {\sf to} \,\, T \,\, {\sf does \,\, not \,\, create} \,\, {\sf a \,\, cycle} \\ & {\sf then \,\, add \,\, edge} \,\, {\sf to} \,\, T \\ \end{array}
```



## Implementation of Kruskal's algorithm

```
MST-KRUSKAL(G,w) // w = weights
1  A = empty // edge set of MST
2  for v in G.V
3     MAKE-SET(v)
4  sort the edges of G.E into non-decreasing order by weight
5  for (u,v) in G.E, taken in non-decreasing order by weight
6    if FIND-SET(u) ≠ FIND-SET(v) // cycle test
7         A = A U {u, v}
8         UNION(u,v)
9  return A
```

- Disjoint-set data structure: MAKE-SET, FIND-SET, UNION
- Each set contains the vertices in one tree of the current forest

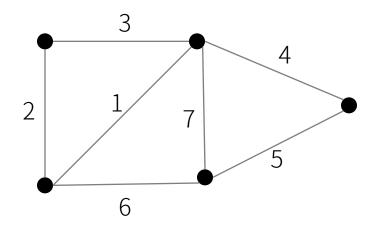
## Running time analysis

- Using disjoint-set-forest implementation with union-by-rank and path compression, Kruskal's algorithm can run in O(E lg V)
- P [Ch. 21] The disjoint-set-forest implementation with union-by-rank and path compression for m operations on n elements is  $O(m \alpha(n))$ , where  $\alpha(n)$  is a very slow growing function.
- Kruskal's running time = sorting edge + disjoint-set operations

  - Polisjoint-set operations =  $O(m \alpha(n)) = O((2V + E 1) \alpha(V)) = O(E \alpha(V))$ 
    - p m = 2V + E 1, n = V
  - Positive Note that  $V^2 \ge E \ge V 1$  on a connected graph without multi-edges

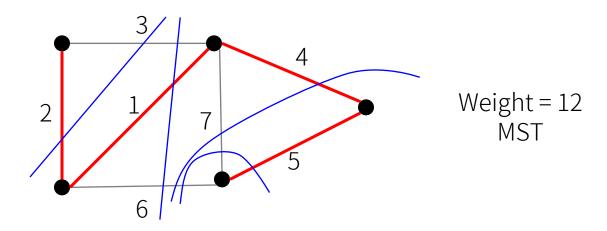
## Prim's Algorithm

```
\begin{array}{c} {\tt Prim}\,({\tt G}) \\ {\tt Start} \ {\tt with} \ {\tt a} \ {\tt tree} \ T \ {\tt with} \ {\tt one} \ {\tt vertex} \ ({\tt any} \ {\tt vertex}) \\ {\tt \textbf{while}} \ T \ {\tt is} \ {\tt not} \ {\tt a} \ {\tt spanning} \ {\tt tree} \\ {\tt Find least-weight edge} \ {\tt that} \ {\tt connects} \ T \ {\tt to} \ {\tt a} \ {\tt new} \ {\tt vertex} \\ {\tt Add} \ {\tt this} \ {\tt edge} \ {\tt to} \ T \end{array}
```



## Prim's Algorithm

```
\begin{array}{c} {\tt Prim}\,({\tt G}) \\ {\tt Start} \ {\tt with} \ {\tt a} \ {\tt tree} \ T \ {\tt with} \ {\tt one} \ {\tt vertex} \ ({\tt any} \ {\tt vertex}) \\ {\tt \textbf{while}} \ T \ {\tt is} \ {\tt not} \ {\tt a} \ {\tt spanning} \ {\tt tree} \\ {\tt Find least-weight edge} \ {\tt that} \ {\tt connects} \ T \ {\tt to} \ {\tt a} \ {\tt new} \ {\tt vertex} \\ {\tt Add} \ {\tt this} \ {\tt edge} \ {\tt to} \ T \end{array}
```



## Implementation of Prim's algorithm

```
MST-PRIM(G, w, r) //w = weights, r = root
   for u in G.V
      u.key = \infty
    u \cdot \pi = NIL
  r.key = 0
  Q = G.V //BUILD-MIN-QUEUE
  while Q ≠ empty
       u = EXTRACT-MIN(Q)
       for v in G.adj[u]
          if v \in Q and w(u,v) < v.key
10
              v.\pi = u
              v.key = w(u,v) / DECREASE-KEY
11
```

- $\rho$  = min-priority queue, containing vertices not yet in the tree
- $\rho$  v.key = minimum weight of any edge connecting v to the tree
- $\rho$   $v.\pi$  = the parent of v in the tree

## Running time analysis

- Binary min-heap [Ch. 6]
  - P BUILD-MIN-HEAP = O(V)
  - $P = EXTRACT-MIN = O(\lg V)$
  - $\rho$  DECREASE-KEY =  $O(\lg V)$
- P Running time of Prim =  $O(V \lg V + E \lg V)$ =  $O(E \lg V)$ , because V = O(E) in a connected graph
- $\sim$  Can be improved to  $O(E + V \lg V)$  using Fibonacci heaps [Ch. 19]

## MST properties

#### MST Uniqueness

MST is unique if all edge weights are distinct

#### Cycle property

For simplicity, assume all edge weights are distinct, thus an unique MST. Let C be any cycle in the graph G, and let e be an edge with the maximum weight on C. Then the MST does not contain e.

#### Cut property

For simplicity, assume all edge weights are distinct, thus an unique MST. Let C be a cut (i.e., a partition of the vertices) in the graph, and let e be the edge with the minimum cost across C. Then the MST contains e.

#### MST Uniqueness

MST is unique if all edge weights are distinct

#### **Proof by contradiction**

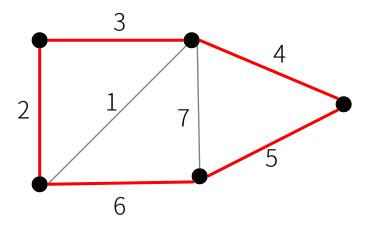
- Suppose there are two MSTs  $T_A$  and  $T_B$  on the same graph
- Let e be the least-weight edge in  $T_A \cup T_B$  and e is not in both
- ho WLOG, assume e is in  $T_A$
- $\triangleright$  Add e to  $T_B$
- $=> \{e\} \cup T_B \text{ contains a cycle } C$
- => C includes at least one edge e' that is not in  $T_A$
- => In  $T_B$ , replacing e' with e yields a MST with less cost
- => Contradiction!

# MST uniqueness when edge weights are not distinct

- We can still break tie and ensure a unique MST by applying a lexicographical order of edges
- $\triangleright$  Let's define a new weight function w' over edges such that
  - P  $w'(e_i) < w'(e_j)$  if  $w(e_i) < w(e_j)$  or  $(w(e_i) = w(e_j))$  and i < j
  - $P(w'(S_i) < w'(S_j))$  if  $w(S_i) < w(S_j)$  or  $(w(S_i) = w(S_j))$  and  $S_i \setminus S_j$  has a lower indexed edge than  $S_j \setminus S_i$
- Phence, there is a unique MST w.r.t. to this new weight function w'
- Note: Having a unique edge order (and a unique MST) is useful for proving the correctness of Prim's and Kruskal's algorithms. However, the two algorithms DO NOT require the weights to be distinct.

#### Cycle property

For simplicity, apply a unique edge order and thus an unique MST. Let C be any cycle in the graph G, and let e be an edge with the maximum weight on C. Then the MST does not contain e.



No MST contains the edge of cost 6

#### Cycle property

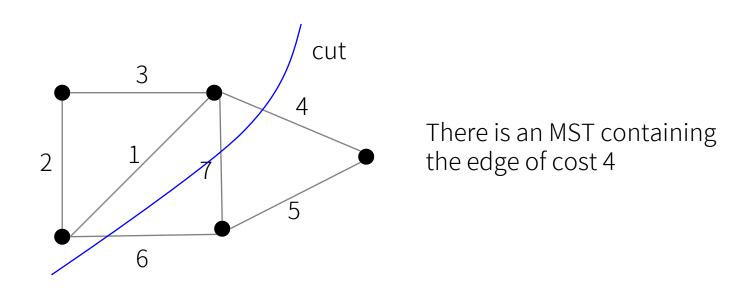
For simplicity, apply a unique edge order and thus an unique MST. Let C be any cycle in the graph G, and let e be an edge with the maximum weight on C. Then the MST does not contain e.

#### **Proof by contradiction**

- $\triangleright$  Suppose e is in the MST
- => Removing e disconnects the MST T into two components  $T_1$  and  $T_2$
- => There exists another edge e' in C that can reconnect  $T_1 \& T_2$  into T'
- => Since weight(e') < weight(e), the new tree T' has a lower weight than T
- => Contradiction!

#### Cut property

For simplicity, apply a unique edge order and thus an unique MST. Let C be a cut (i.e., a partition of the vertices) in the graph, and let e be the edge with the minimum cost across C. Then the MST contains e.



#### Cut property

For simplicity, apply a unique edge order and thus an unique MST. Let C be a cut (i.e., a partition of the vertices) in the graph, and let e be the edge with the minimum cost across C. Then the MST contains e.

#### **Proof by contradiction**

- $\triangleright$  Suppose e is not in the current MST T
- => Adding e creates a cycle in the MST T
- => There exists another edge e' in the cut C that can break the cycle; removing e' to generate a new tree T'
- => Since weight(e') > weight(e), the new tree has a lower weight
- => Contradiction!

#### Correctness of Kruskal's algorithm

#### Kruskal's algorithm computes the MST

#### **Proof**

- Consider whether adding e creates a cycle:
- 1. If adding *e* to *T* creates a cycle *C* 
  - $\triangleright$  Then e is the max weight edge in C
  - The cycle property ensures that e is not in the MST
- 2. If adding e = (u, v) to T does not create a cycle
  - Periode Before adding e, the current set contains at least two trees  $T_1$  and  $T_2$  such that u in  $T_1$  and v in  $T_2$
  - ho e is the minimum cost edge on the cut of  $T_1$  and  $V \setminus T_1$
  - $\triangleright$  The cut property ensures that e is in the MST

#### Correctness of Prim's algorithm

#### Prim's algorithm computes the MST

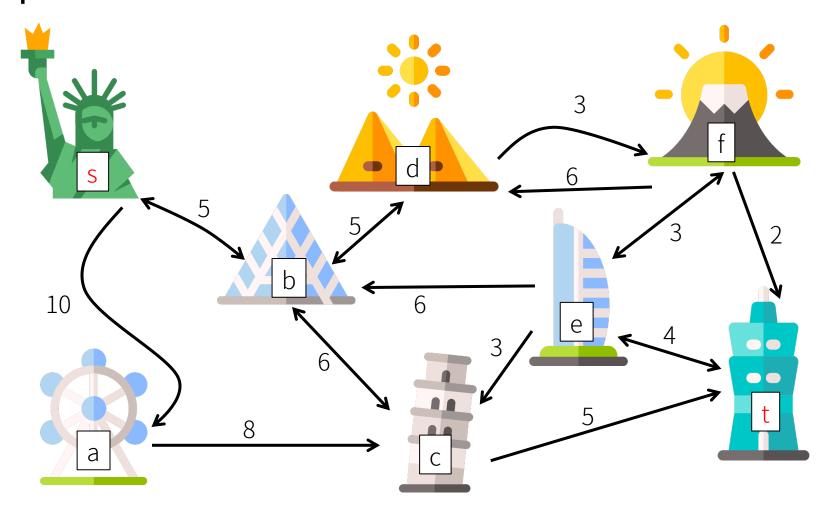
#### **Proof**

- 1. Prove that all edges found by Prim's are in the MST:
  - Prim's algorithm adds the cheapest edge e with exactly one endpoint in the current tree T
  - ho The cut property ensures that e is in the MST
- 2. Because Prim's outputs a spanning tree, |edges found by Prim's| = V 1
- => Edges found by Prim's = edges on the MST

## Shortest Paths: Terminology and Properties

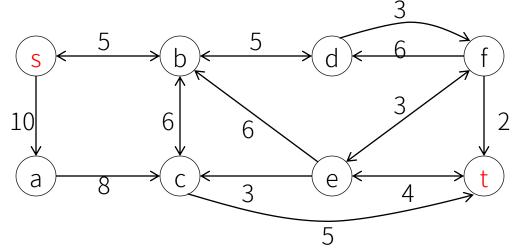
Textbook Chapter 24

## Example



## Definitions

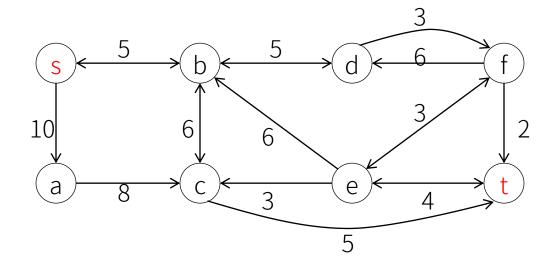
- $\circ$  Given a weighted, directed graph G = (V, E)
- Given a weight function w mapping an edge to a weight
  - Note that weights are arbitrary numbers, not necessarily distances
  - Weight function needs not satisfy triangle inequality (think about airline fares)
- Weight of path p = w(p) = sum of weights of edges on p
  - Sometimes we also call it "cost"



The weight of path s->a->c->t is 23

### Definitions

- Shortest-path weight  $\delta(s,t)$  = minimum weight of path from s to t
- A shortest path from s to  $t = \text{any path with weight } \delta(s, t)$

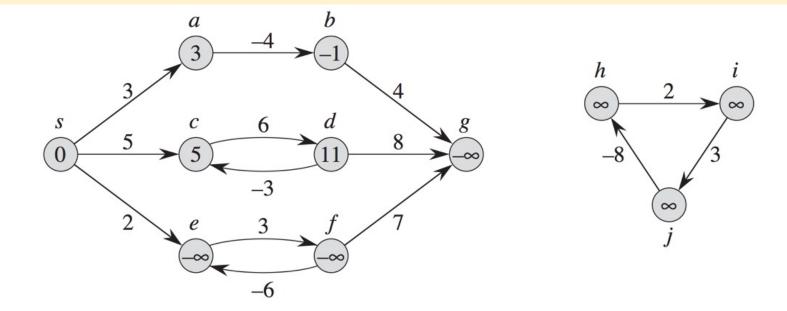


$$\delta(s,t) = ?$$

Shortest path from s to t = ?

Q: Can a shortest path contain a negative-weight edge?

Q: Can a shortest path contain a negative-weight cycle?



Q: Can a shortest path contain a positive-weight cycle?

Q: Can a shortest path contain a zero-weight cycle?

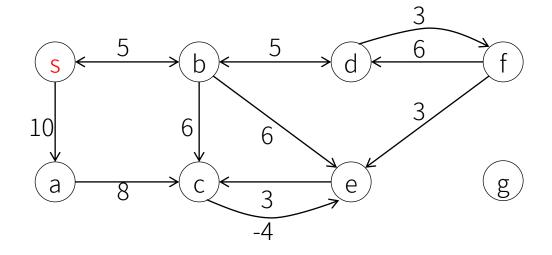
Q: Can a shortest path contain a cycle?

We safely assume shortest paths have no cycles

- Define  $\delta(u, v) = \infty$  if v is unreachable from u
- Pulse Define  $\delta(u, v) = -\infty$  if there exists a negative cycle on a path from u to v

Q: Is it correct that a shortest path has at most |V| - 1 edges?

## Practice



| Destination v | Shortest path from s to v | Shortest path weight |
|---------------|---------------------------|----------------------|
| а             | sa                        | 10                   |
| b             |                           |                      |
| С             | NIL                       | -∞                   |
| d             |                           |                      |
| е             |                           |                      |
| f             | sbdf                      | 13                   |
| g             | NIL                       | $\infty$             |

## Single-source shortest-path algorithms

- P Given a graph G = (V, E) and a source vertex s in V, find the minimum cost paths from s to every vertex in V
- Dijkstra algorithm
  - Greedy
  - Requiring that all edge weights are nonnegative
- Bellman-Ford algorithm
  - Dynamic programming
  - General case, edge weights may be negative
- Both on a weighted, directed graph
- We'll introduce them next week

## A very important technique: Relaxation

A common workflow for single-source shortest-path algorithms:

```
INITIALIZE-SINGLE-SOURCE(G,s)
  for v in G.V
    v.d = ∞ //estimate
    v.π = NIL //predecessor
    s.d = 0
```



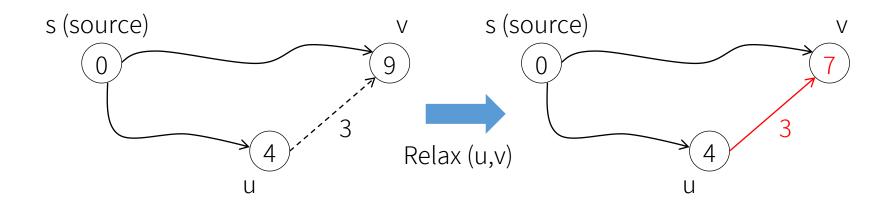
Take a sequence of relaxation steps to update v.d and v.π



Output v.d and reconstruct shortest-paths from v.π

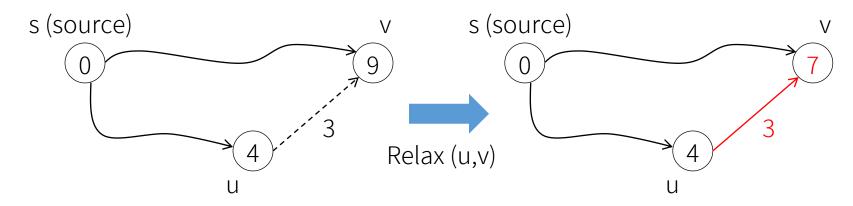
## A very important technique: Relaxation

- The process of relaxing an edge (u, v)
   testing whether the shortest path weight of v found so far can be reduced by traveling over u
- $\rho$  試試看經過u 會不會比較好(更短的 $s \sim v$  路徑)



## A very important technique: Relaxation

The process of relaxing an edge (u, v)
 testing whether the shortest path weight of v found so far can be reduced by traveling over u



```
RELAX(u, v)

if v.d > u.d + w(u, v)

v.d = u.d + w(u, v)

v.π = u
```

- v.d = shortest-path estimate
- An upper bound on  $\delta(s, v)$  (Lemma 24.11)
- v.d never increases during relaxation  $v.\pi$  = predecessor attribute