

[Reminder] Midterm course feedback survey: 10/14 - 10/25
Feedback is welcome!

Deep Learning for Computer Vision

113-1/Fall 2024

<https://cool.ntu.edu.tw/courses/41702> (NTU COOL)

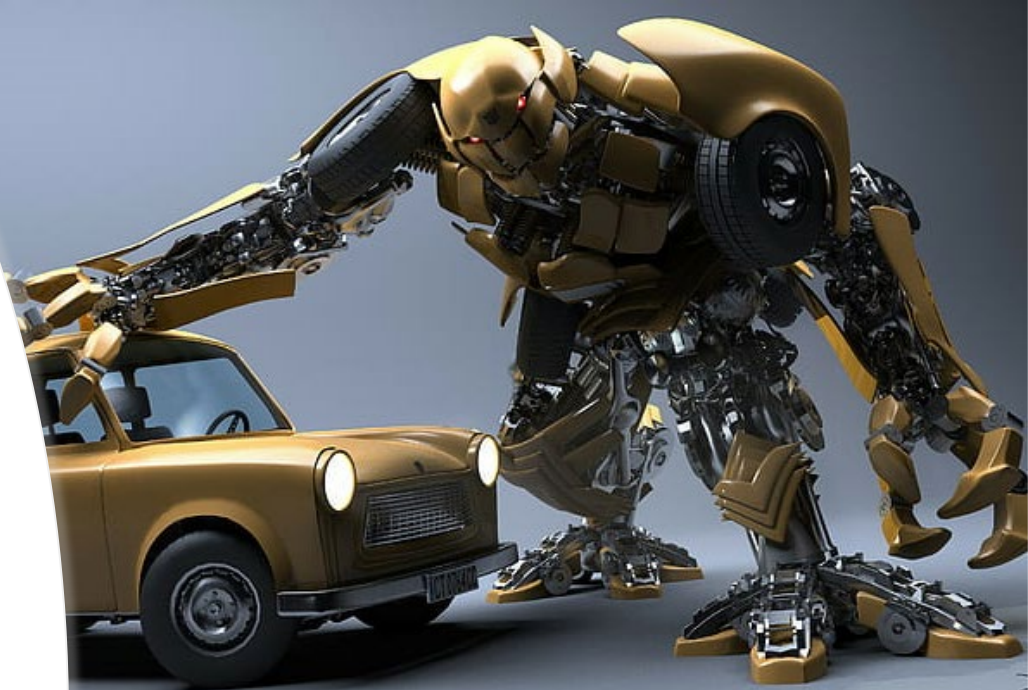
<http://vllab.ee.ntu.edu.tw/dlcv.html> (Public website)

Yu-Chiang Frank Wang 王鈺強, Professor

Dept. Electrical Engineering, National Taiwan University

What to Be Covered?

- Transformer
 - Self-Attention
 - Cross-Attention
 - Positional Embedding
- Transformer for Visual Analysis
 - Vision Transformer (ViT)
 - DeiT & Swin Transformer
 - SSL & Beyond
- Vision-Language Model
 - Image2Text
 - Text2Image (v)
 - Image-text models

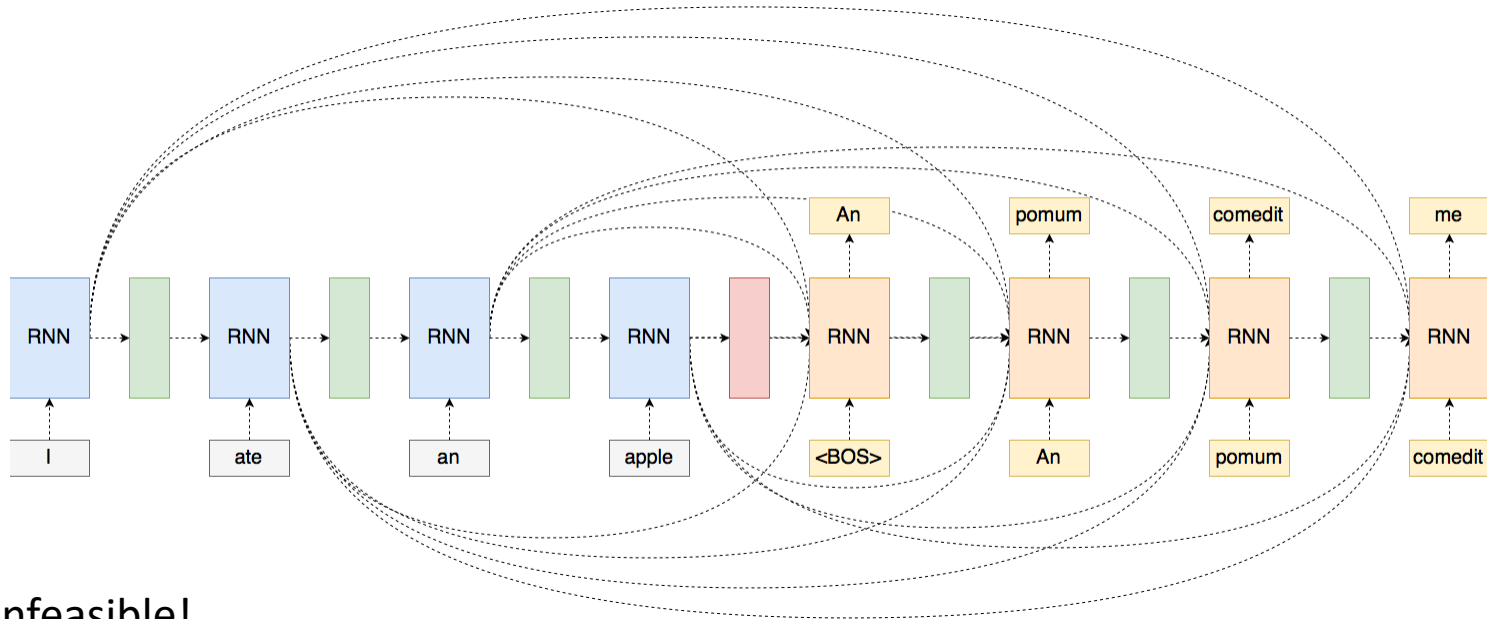


<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>



RNN with Attention is Good, But..

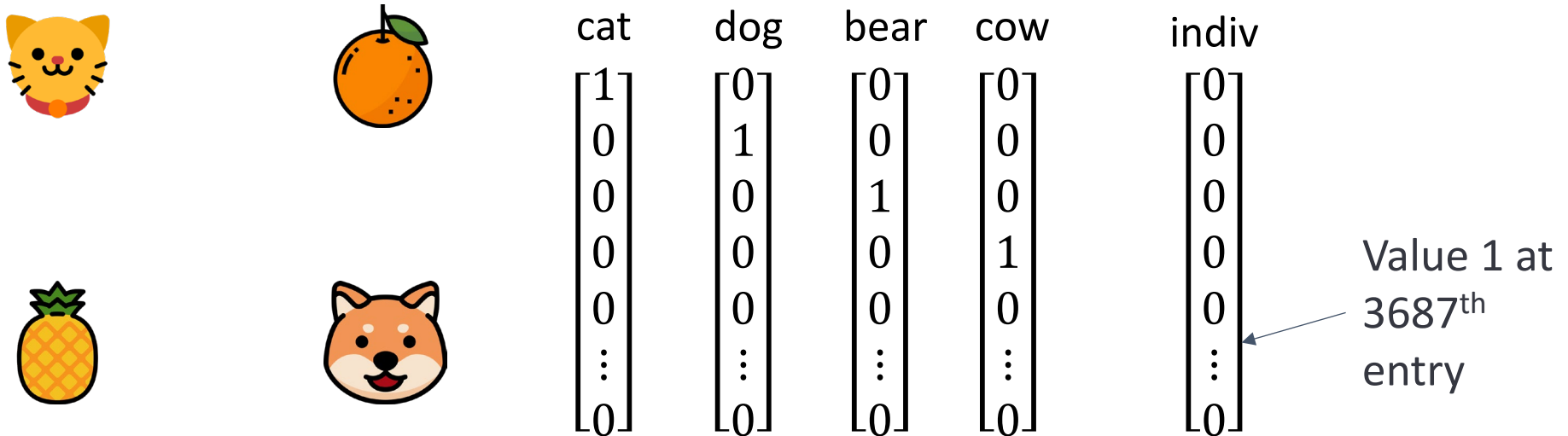
- Attention in a pre-defined sequential order
- Information loss due to long sequences...
- Connecting every hidden state between encoder and decoder?



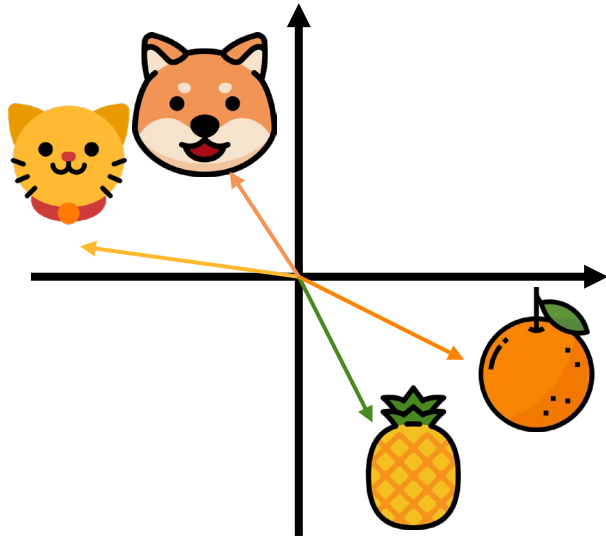
- Infeasible!
 - Both inputs and outputs are with varying sizes.
 - Overparameterized

TOKEN EMBEDDING

One-hot encoding



TOKEN EMBEDDING



Embedding Space

cat

$$\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

dog

$$\begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

bear

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

cow

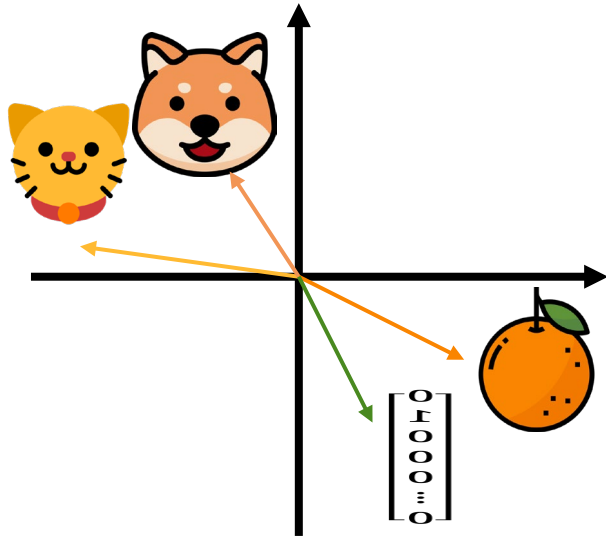
$$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

indiv

$$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

Value 1 at
3687th
entry

TOKEN EMBEDDING



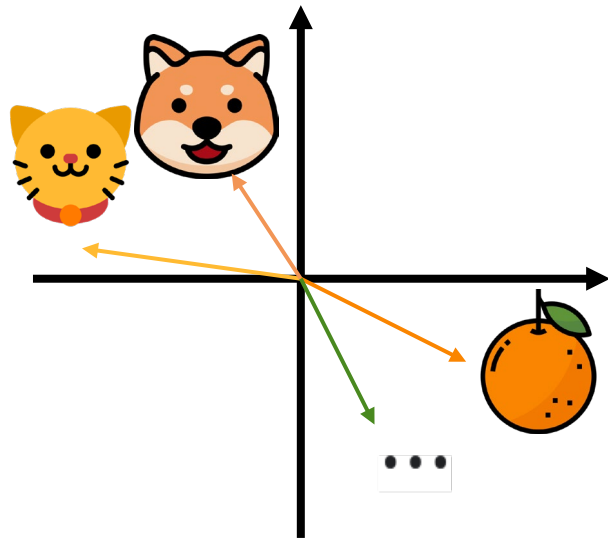
Embedding Space

$$\begin{array}{c} \uparrow \\ d \\ \downarrow \end{array} \begin{bmatrix} 0.5 \\ 2.7 \\ 1.2 \\ \vdots \\ 0.2 \end{bmatrix} = \begin{array}{c} \leftarrow \# \text{ tokens} \rightarrow \\ \uparrow \\ d \\ \downarrow \end{array} \begin{bmatrix} W & E \end{bmatrix} \begin{array}{c} \text{dog} \\ \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \end{array}$$

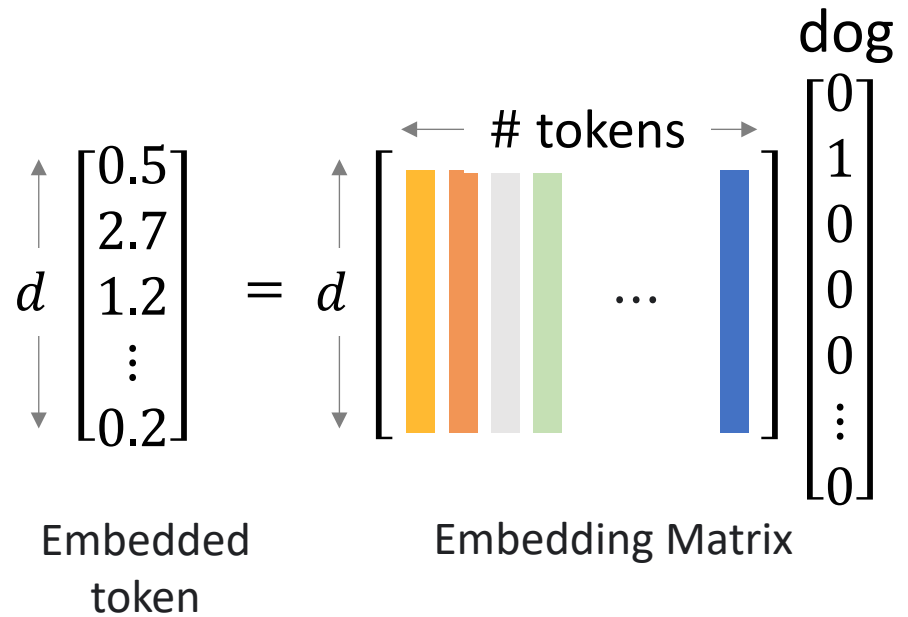
Embedded token

Embedding Matrix

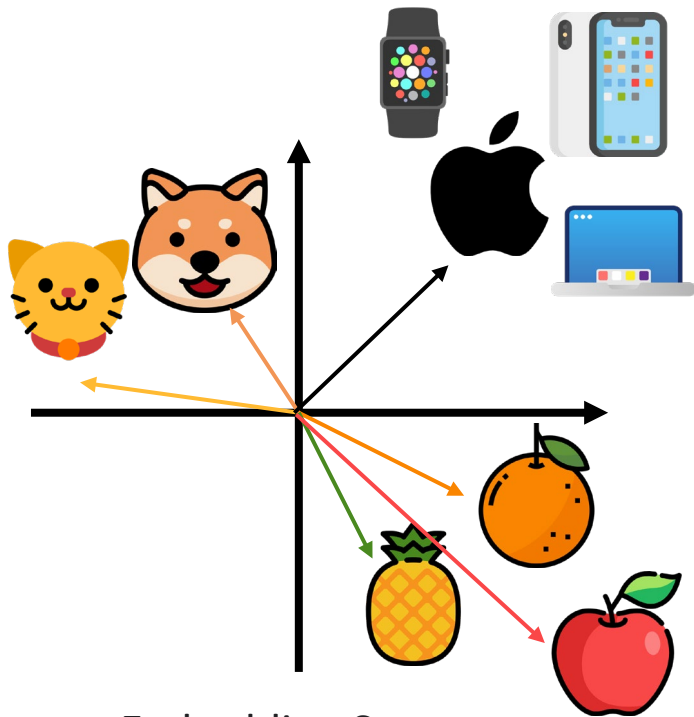
TOKEN EMBEDDING



Embedding Space



TOKEN EMBEDDING



Apple

$$d \begin{bmatrix} 0.5 \\ 2.7 \\ 1.2 \\ \vdots \\ 0.2 \end{bmatrix}$$

Embedded token

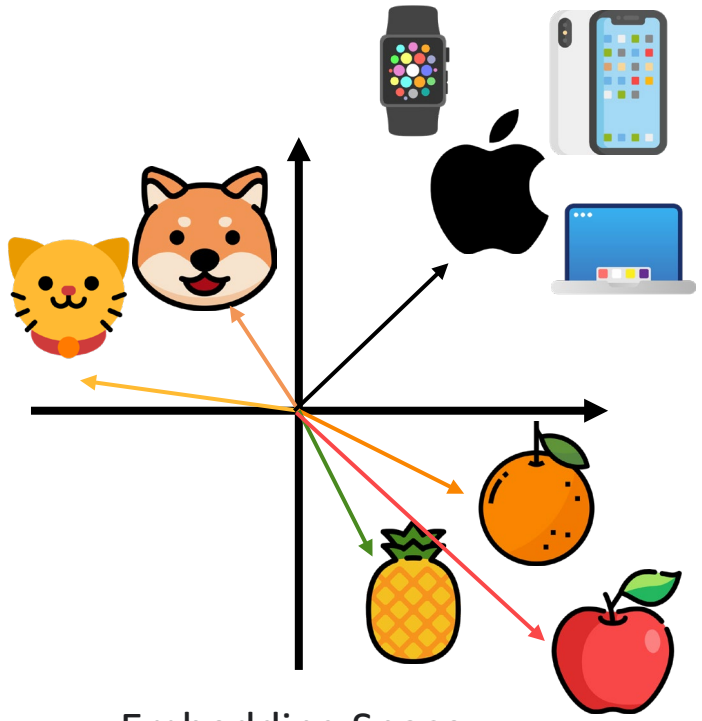
$$= d \begin{bmatrix} \text{orange} & \text{apple} & \text{dog} & \text{cat} & \dots & \text{dog} \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

tokens

Embedding Matrix

Embedding Space

TOKEN EMBEDDING



Embedding Space

Apple

I bought an **apple** and an orange.

I bought an **apple** watch.

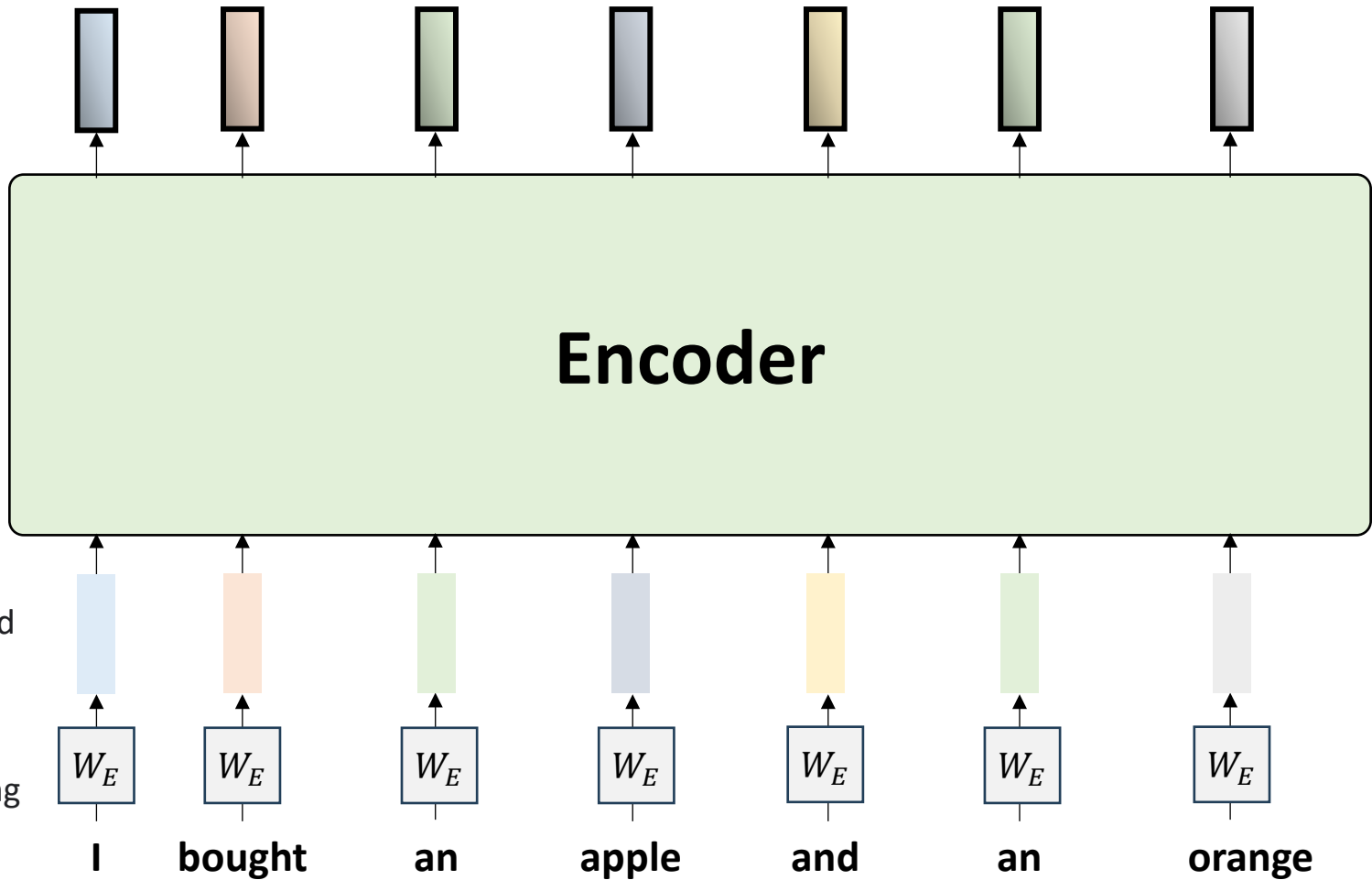
$$d \begin{bmatrix} 0.5 \\ 2.7 \\ 1.2 \\ \vdots \\ 0.2 \end{bmatrix}$$

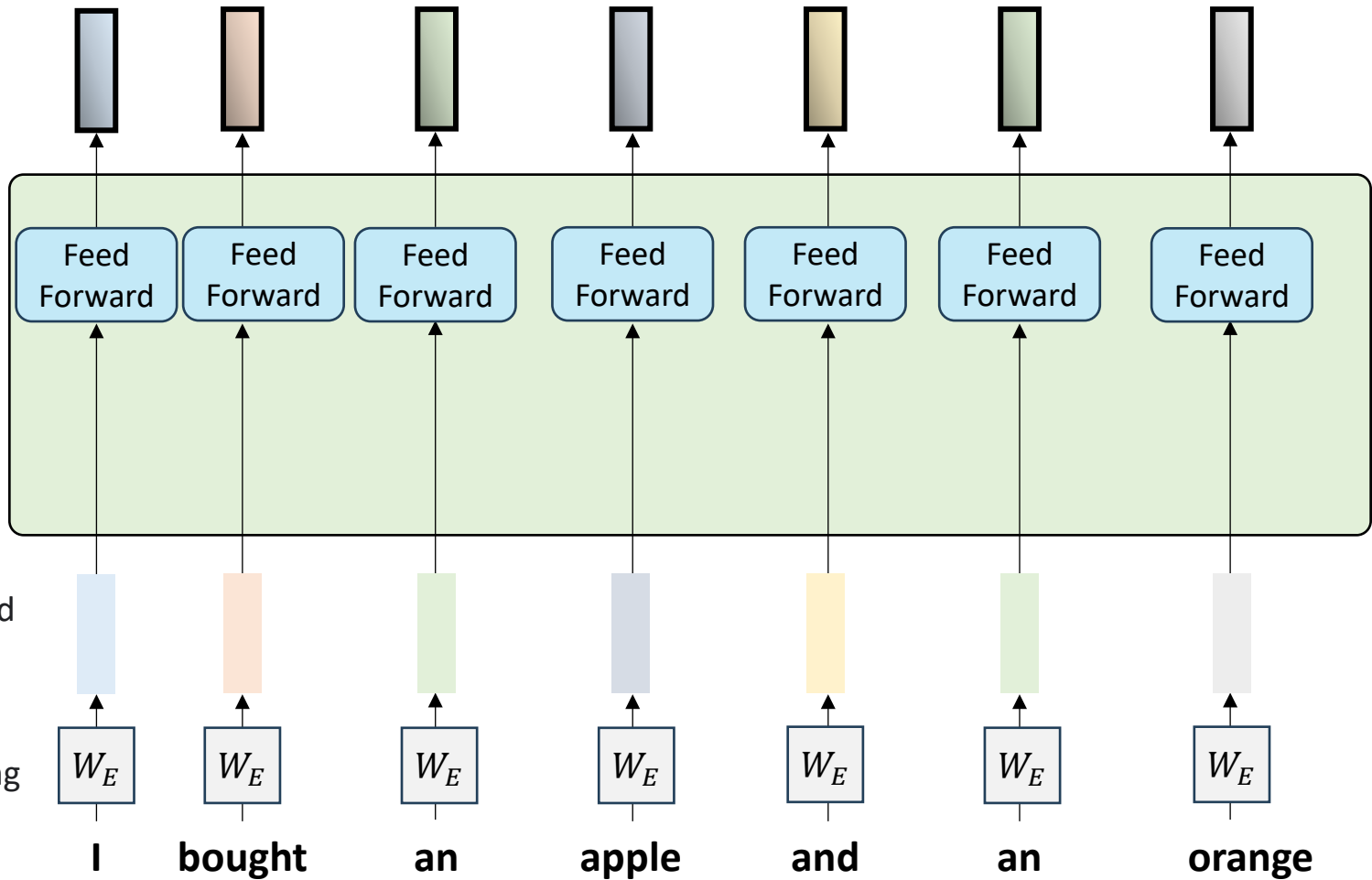
Embedded token

$$= d \begin{bmatrix} \text{orange} & \text{apple} & \text{watch} & \dots & \text{dog} \end{bmatrix}$$

Embedding Matrix

$$\text{dog} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

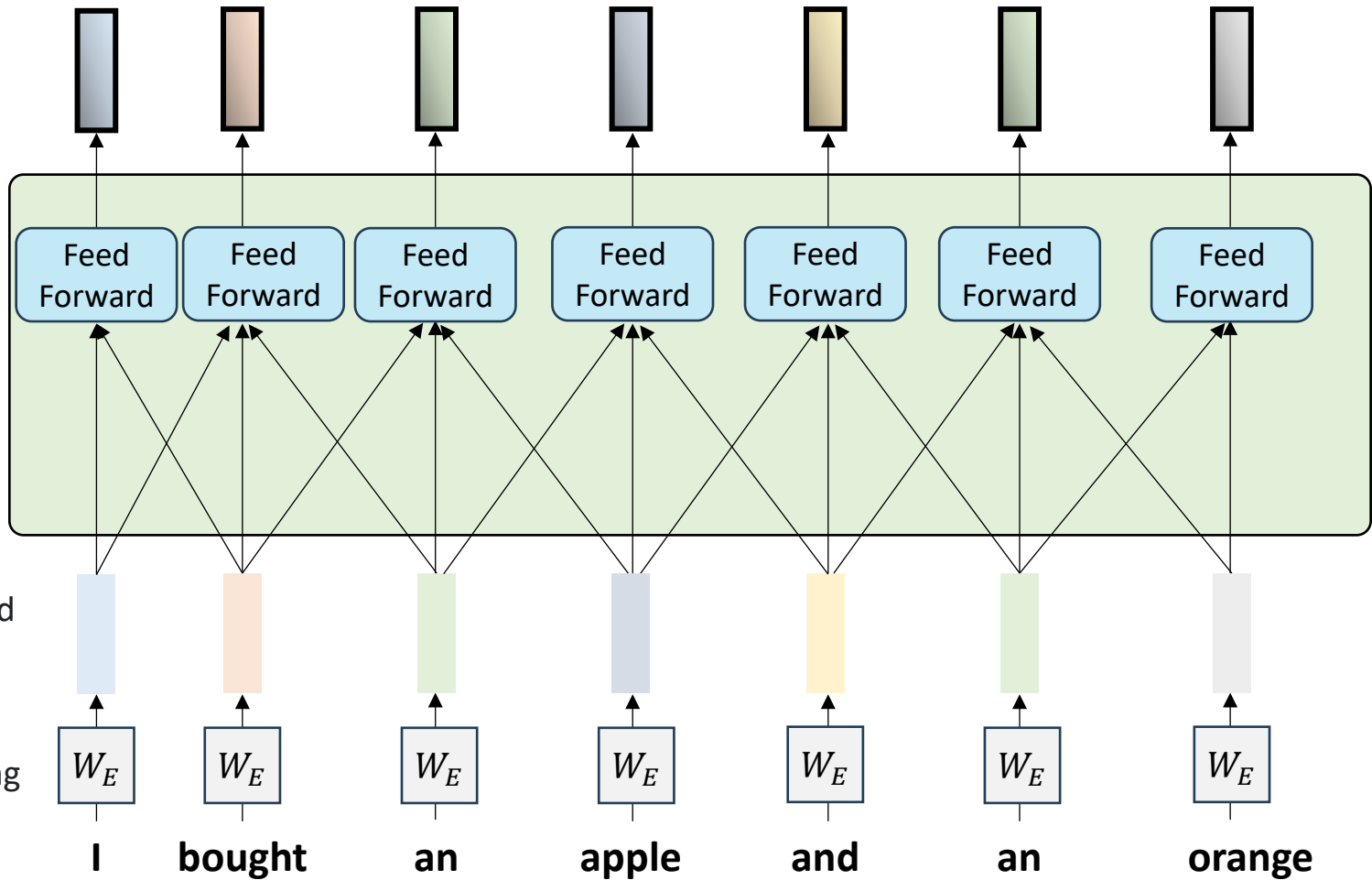


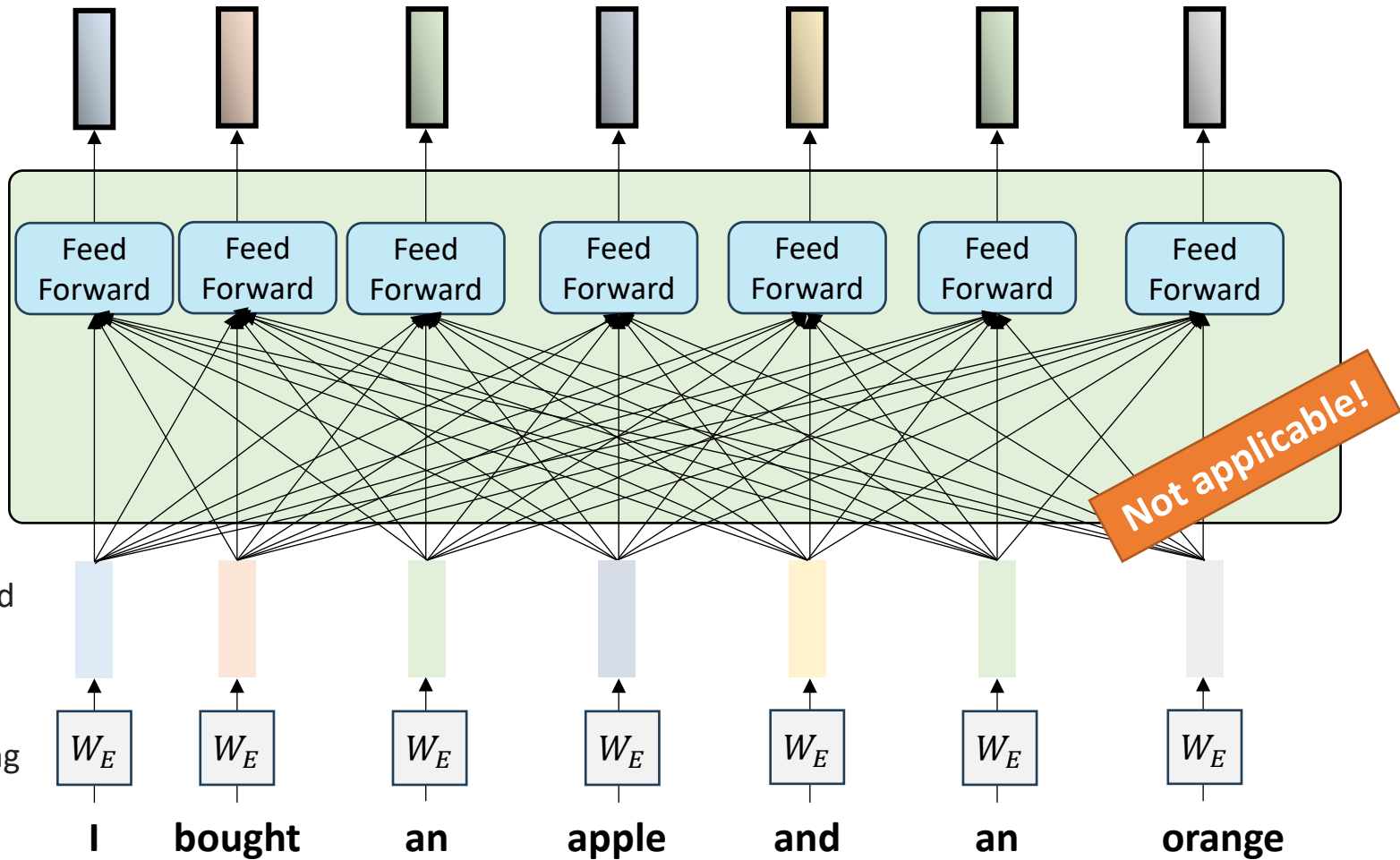


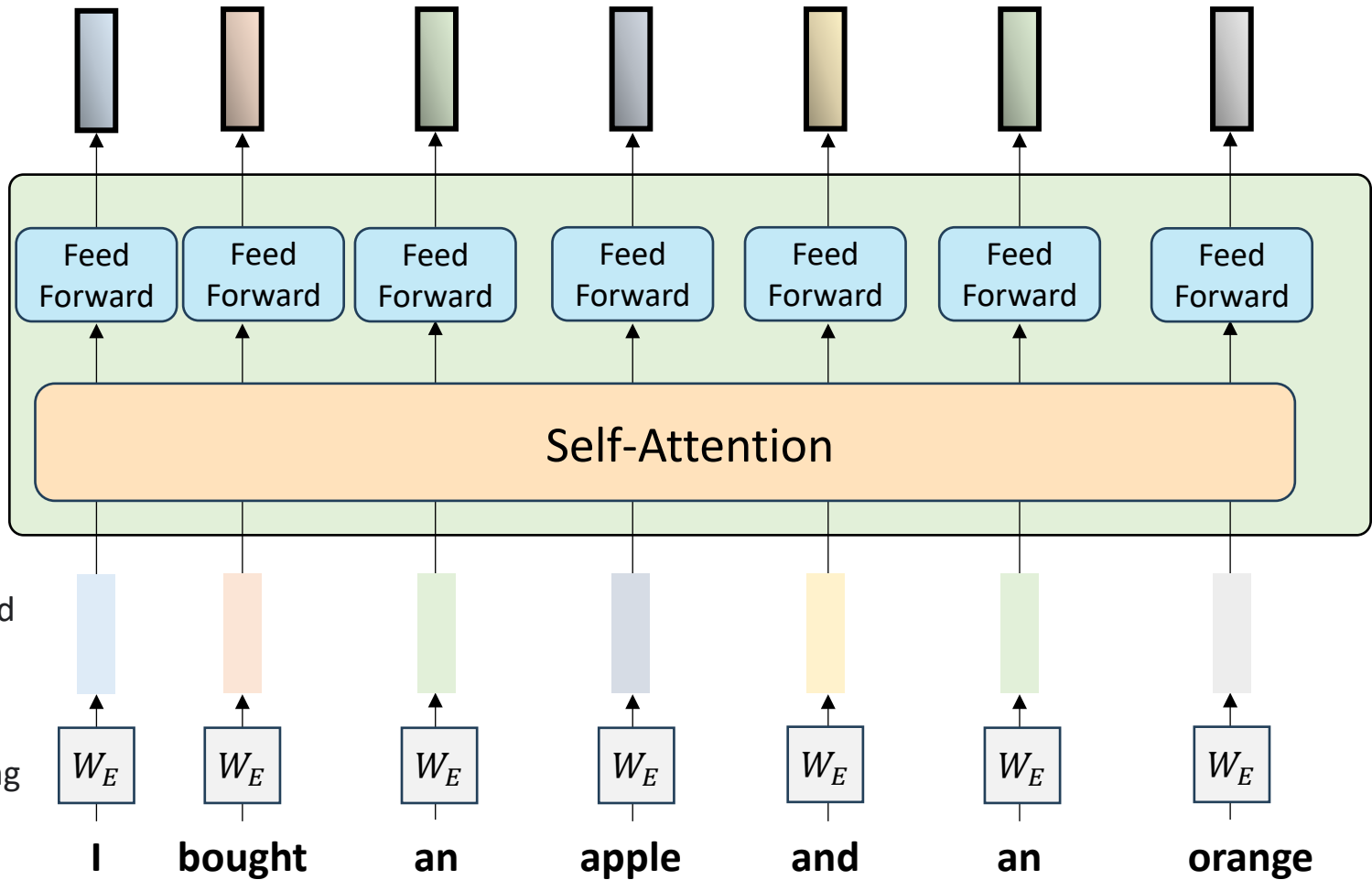
Embedded
Tokens

Token
Embedding

Tokens

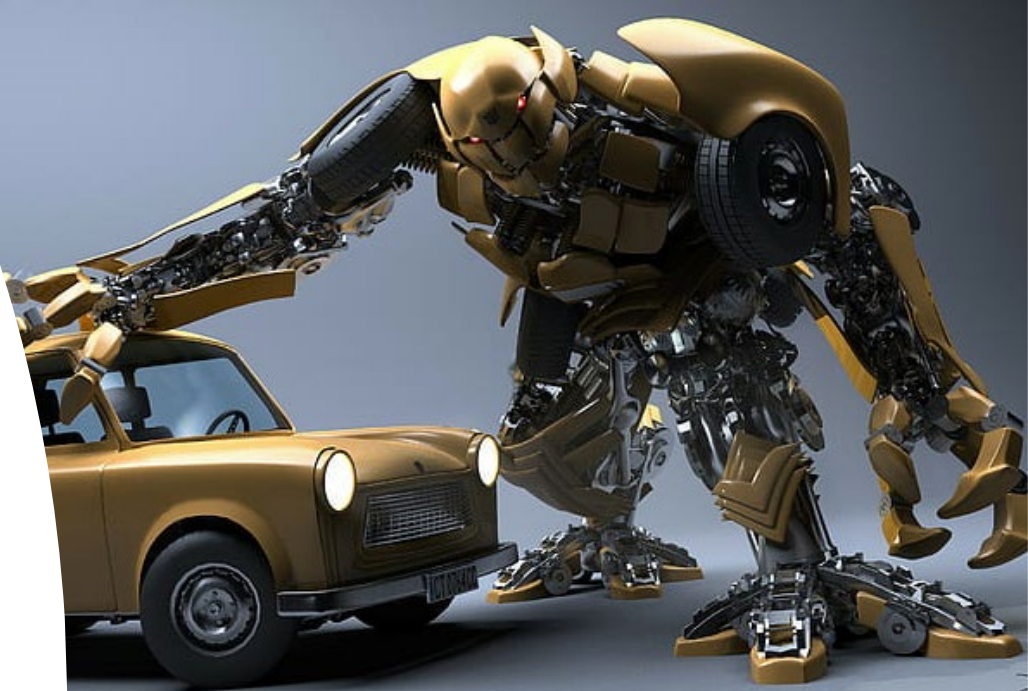






What to Be Covered?

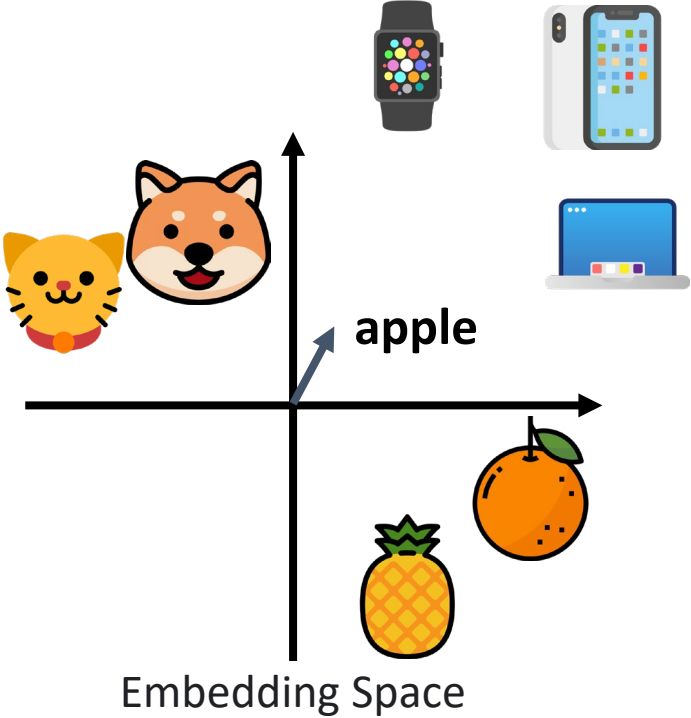
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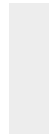
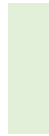
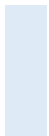
<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>



Self-Attention



Embedded
Tokens



Tokens

I

bought

an

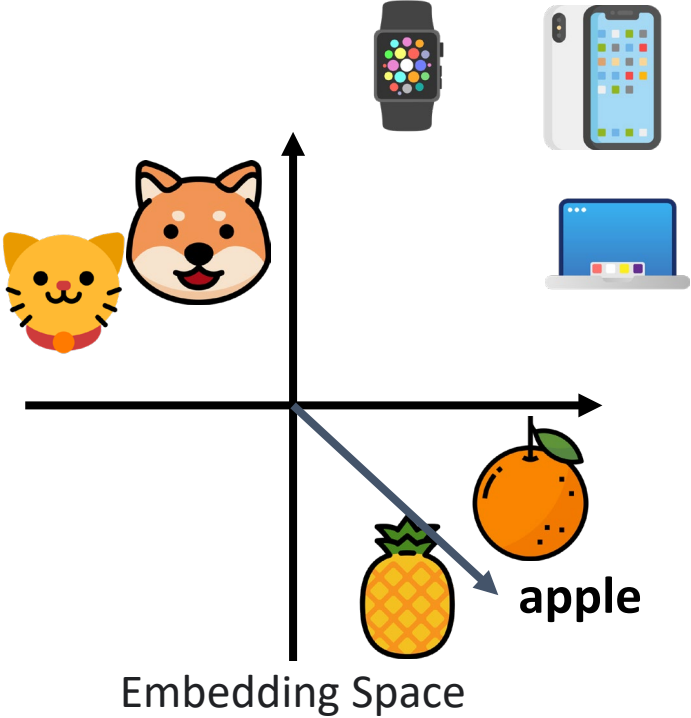
apple

and

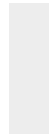
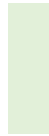
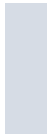
an

orange

Self-Attention



Embedded
Tokens



Tokens

I

bought

an

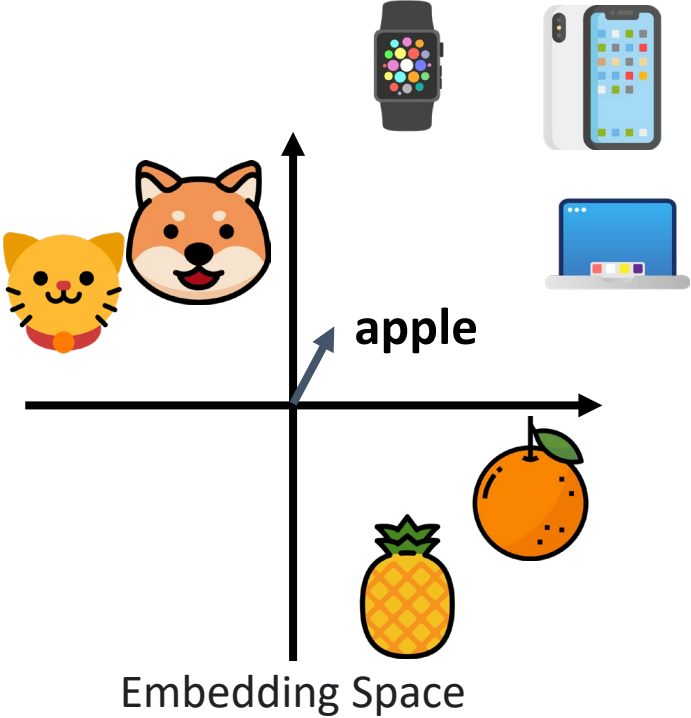
apple

and

an

orange

Self-Attention



Embedded
Tokens



Tokens

I

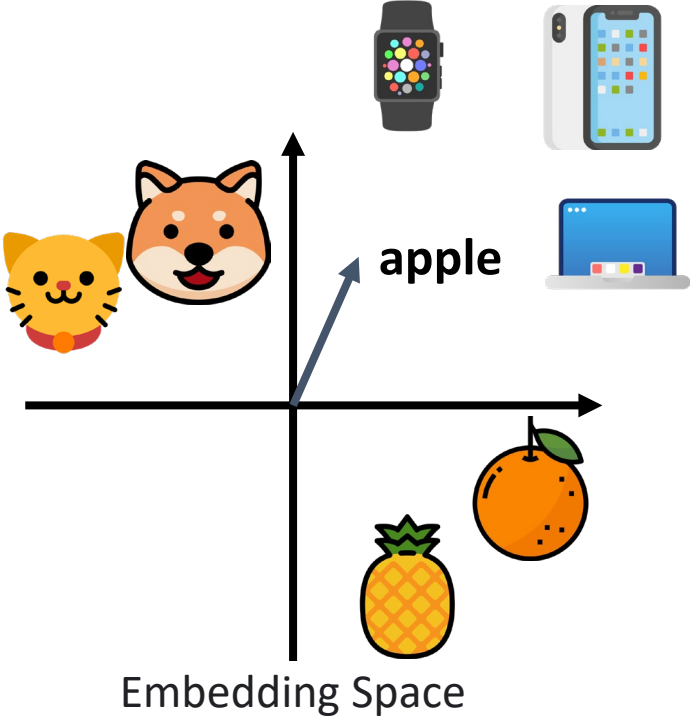
bought

an

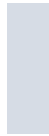
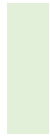
apple

watch

Self-Attention



Embedded
Tokens



Tokens

I

bought

an

apple

watch

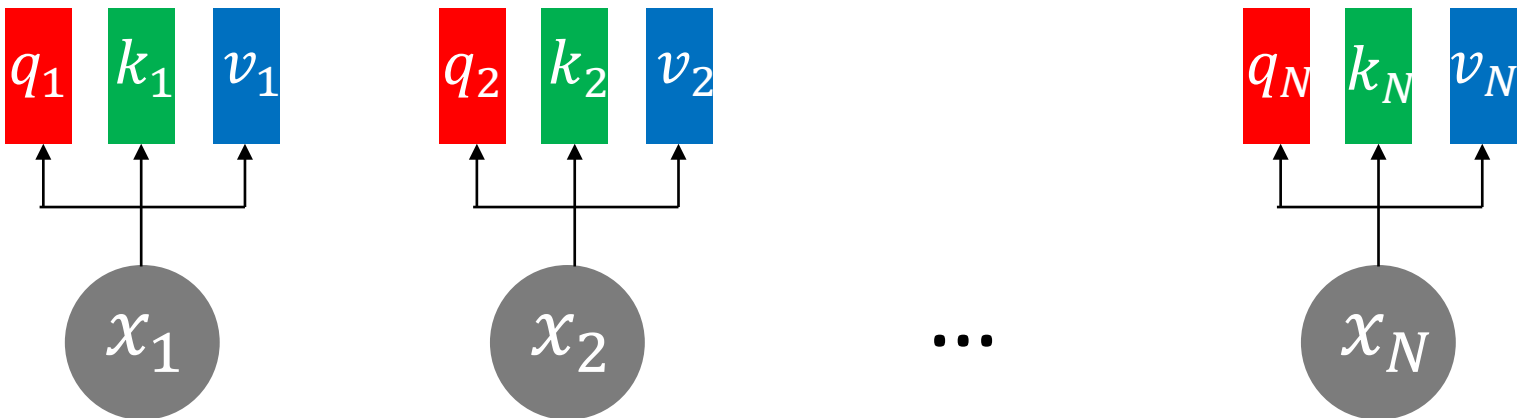
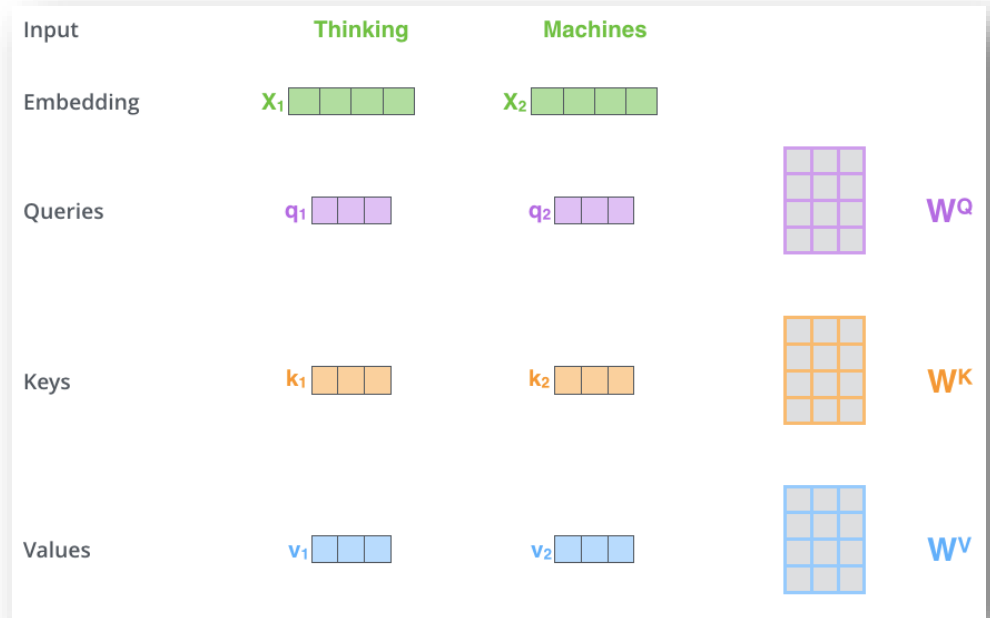
Self-Attention (1/5)

- Query q , key k , value v vectors are learned from each input x

$$q_i = W^Q x_i$$

$$k_i = W^K x_i$$

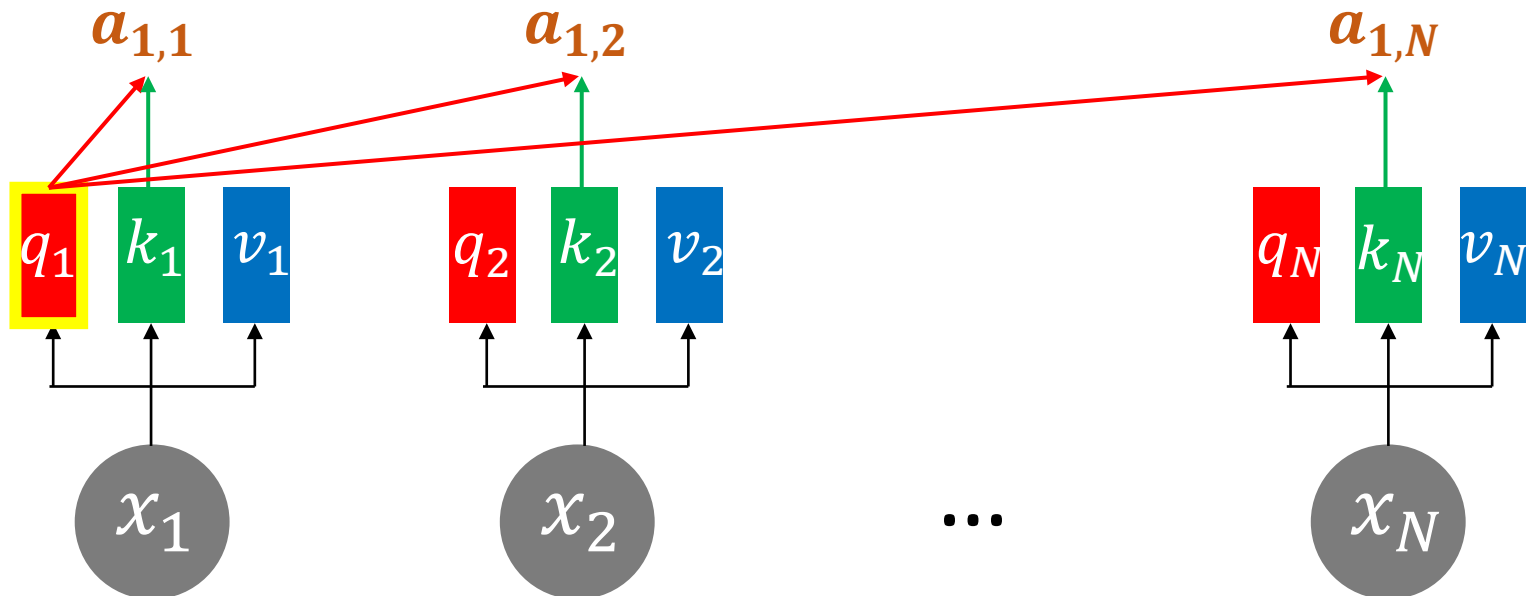
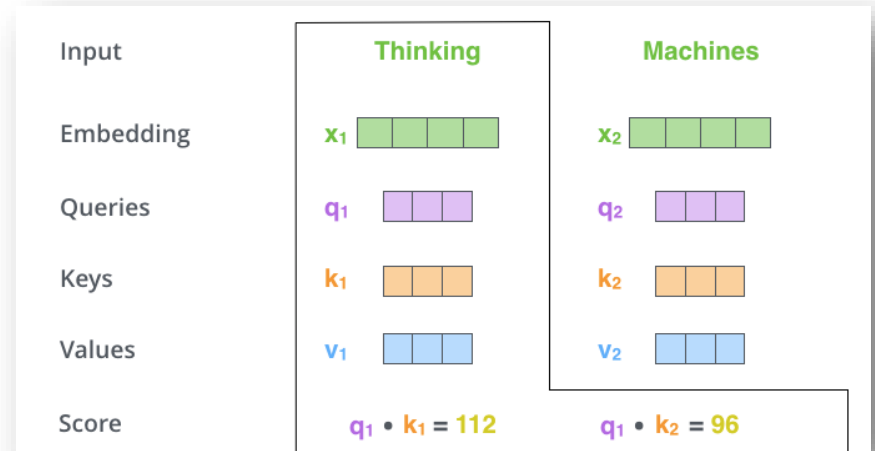
$$v_i = W^V x_i$$



Self-Attention (2/5)

- Relation between each input is modeled by inner-product of **query** q and **key** k .

$$a_{1,i} = \frac{q_1 \cdot k_i}{\sqrt{d}}, \text{ where } a \in R, q, k \in R^d$$

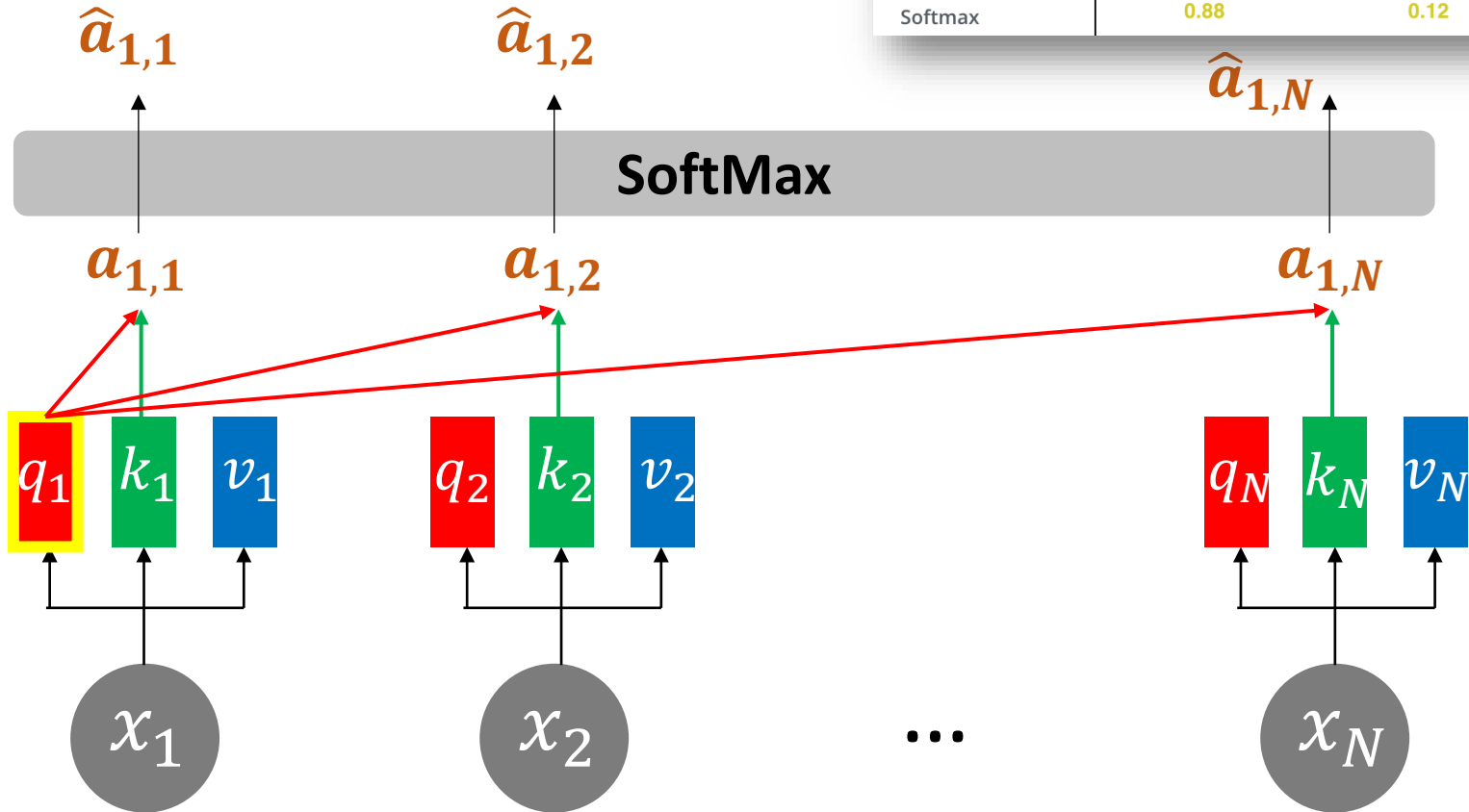


Self-Attention (3/5)

- SoftMax is applied:

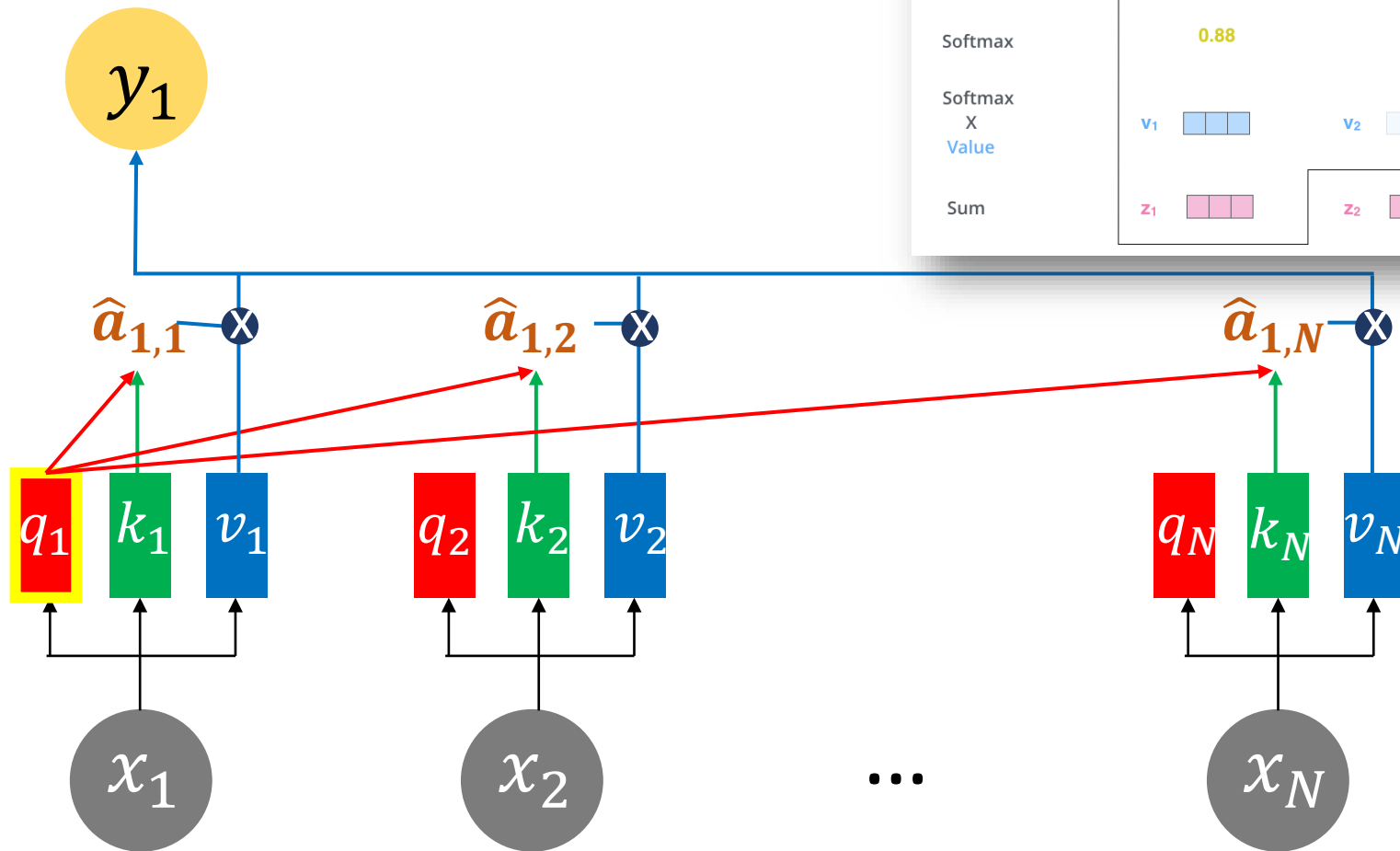
$$0 \leq \hat{a}_i = e^{a_i} / \sum_j^N e^{a_j} \leq 1, \text{ for } i=1, \dots, N$$

	Thinking	Machines
Input		
Embedding	x_1	x_2
Queries	q_1	q_2
Keys	k_1	k_2
Values	v_1	v_2
Score	$q_1 \cdot k_1 = 112$	$q_1 \cdot k_2 = 96$
Divide by $8 (\sqrt{d_k})$	14	12
Softmax	0.88	0.12



Self-Attention (4/5)

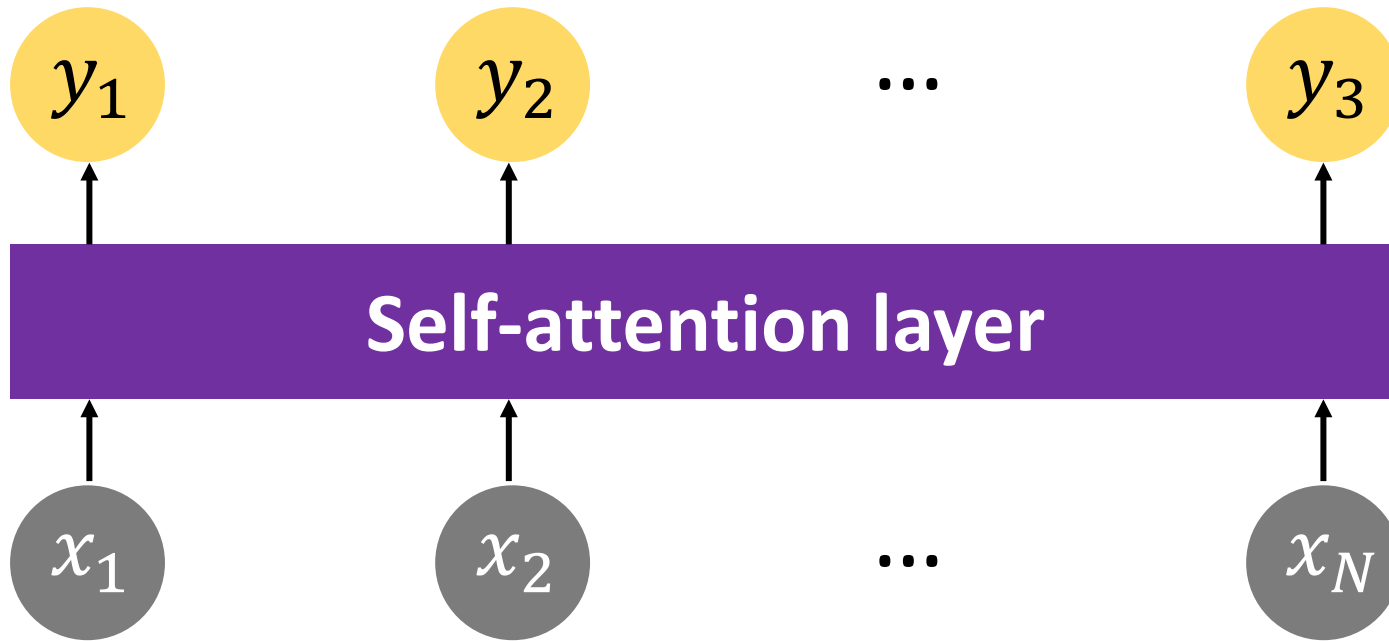
- Value vectors v are aggregated with attention weight \hat{a} , i.e., $y_1 = \sum_i^N \hat{a}_i \cdot v_i$



	Thinking	Machines
Input		
Embedding	x_1 [] [] [] []	x_2 [] [] [] []
Queries	q_1 [] []	q_2 [] []
Keys	k_1 [] []	k_2 [] []
Values	v_1 [] []	v_2 [] []
Score	$q_1 \cdot k_1 = 112$	$q_1 \cdot k_2 = 96$
Divide by $8 (\sqrt{d_k})$	14	12
Softmax	0.88	0.12
Softmax X Value	v_1 [] []	v_2 [] []
Sum	z_1 [] []	z_2 [] []

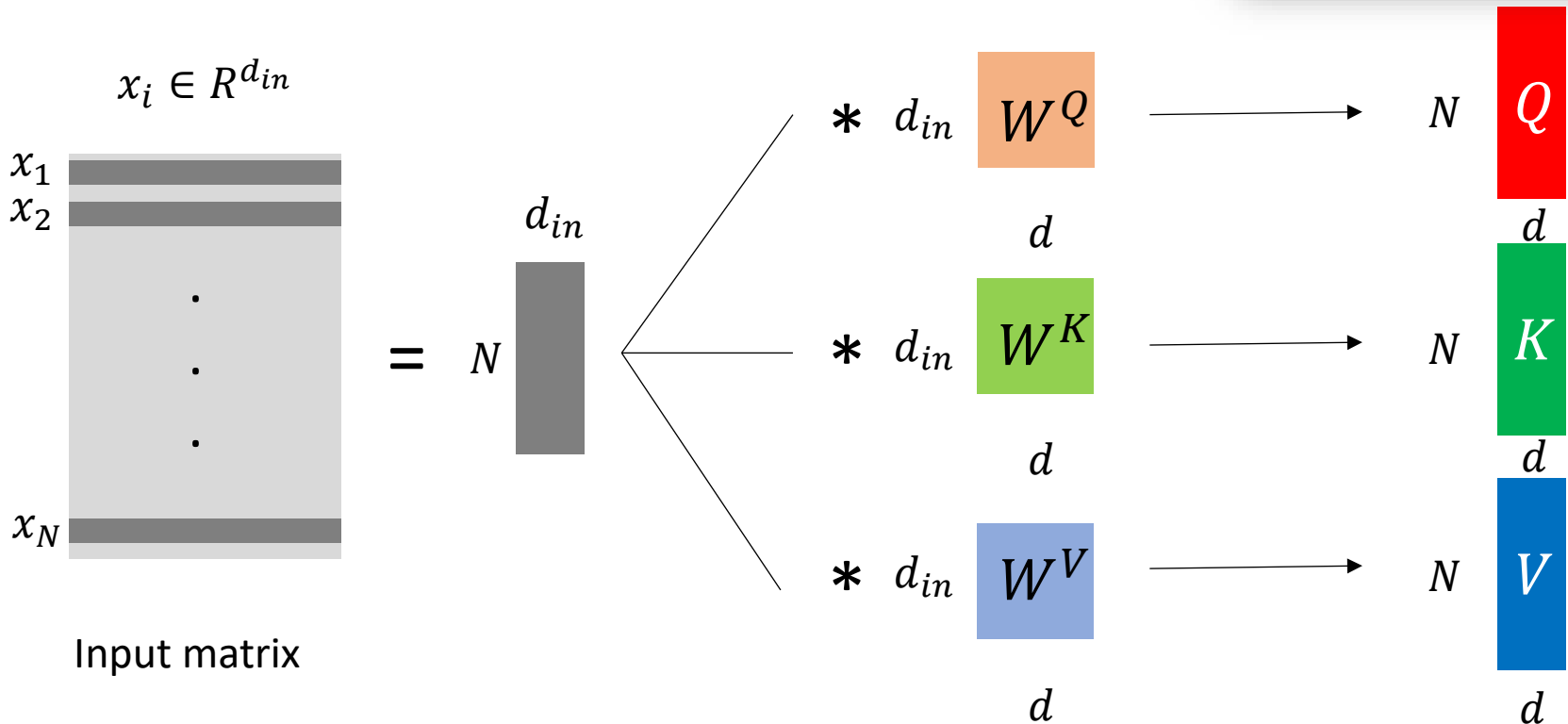
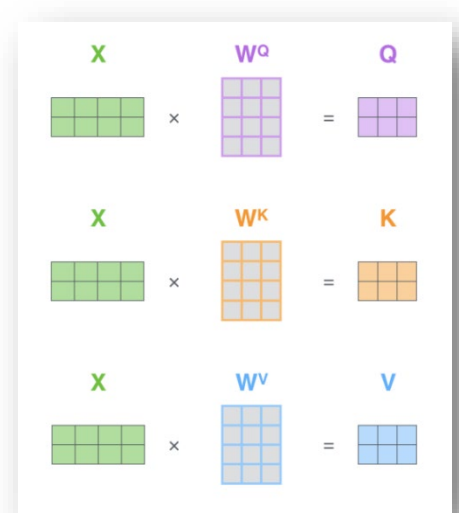
Self-Attention (5/5)

- All y_i can be computed **in parallel**
- Each y_i considers $x_1 \sim x_N$, modeling their **long-distance dependencies**.
- Global feature can be obtained by **average-pooling** over $y_1 \sim y_N$



Self-Attention: Implementation

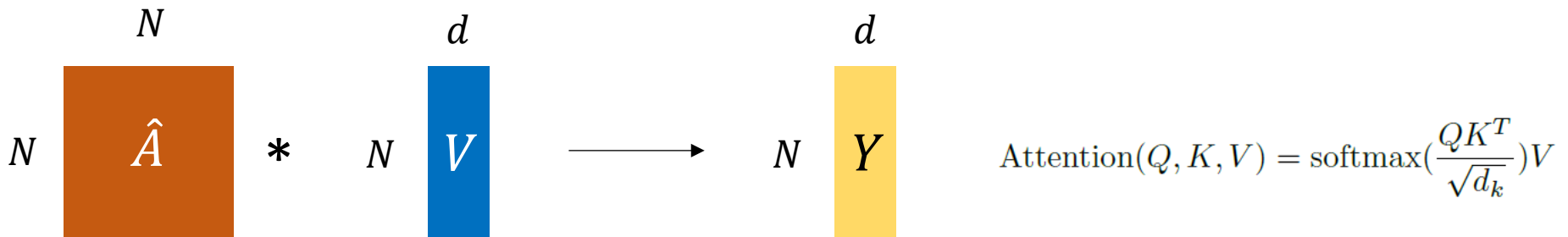
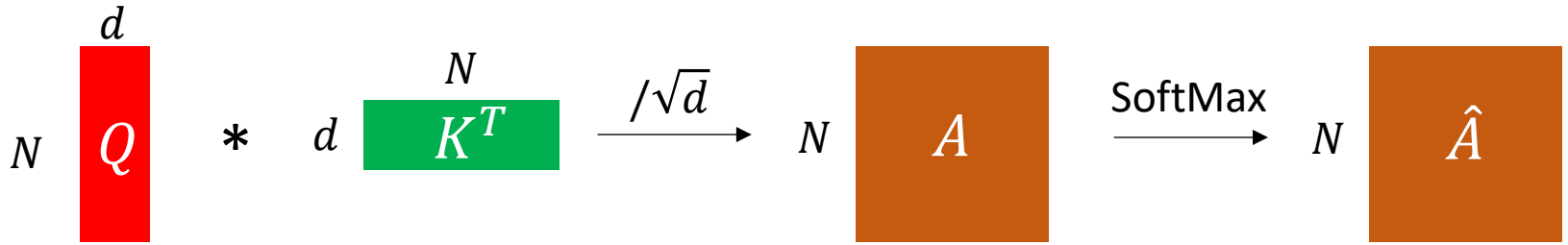
- Input sequence can be represented as a $N \times d_{in}$ matrix
- * denotes matrix multiplication



Self-Attention: Implementation

$$\text{softmax}\left(\frac{Q \times K^T}{\sqrt{d_k}}\right) \times V = Z$$

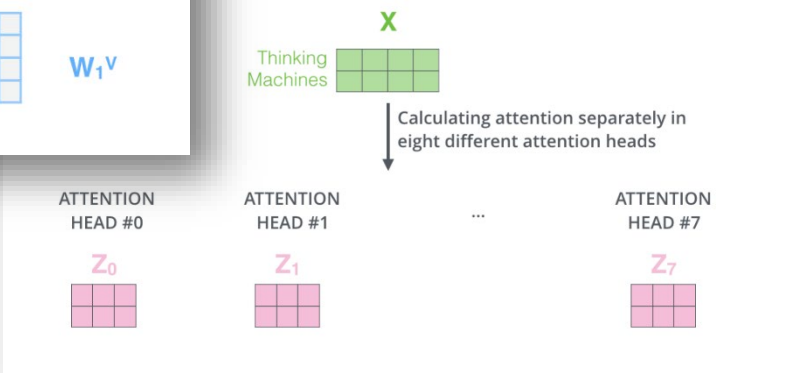
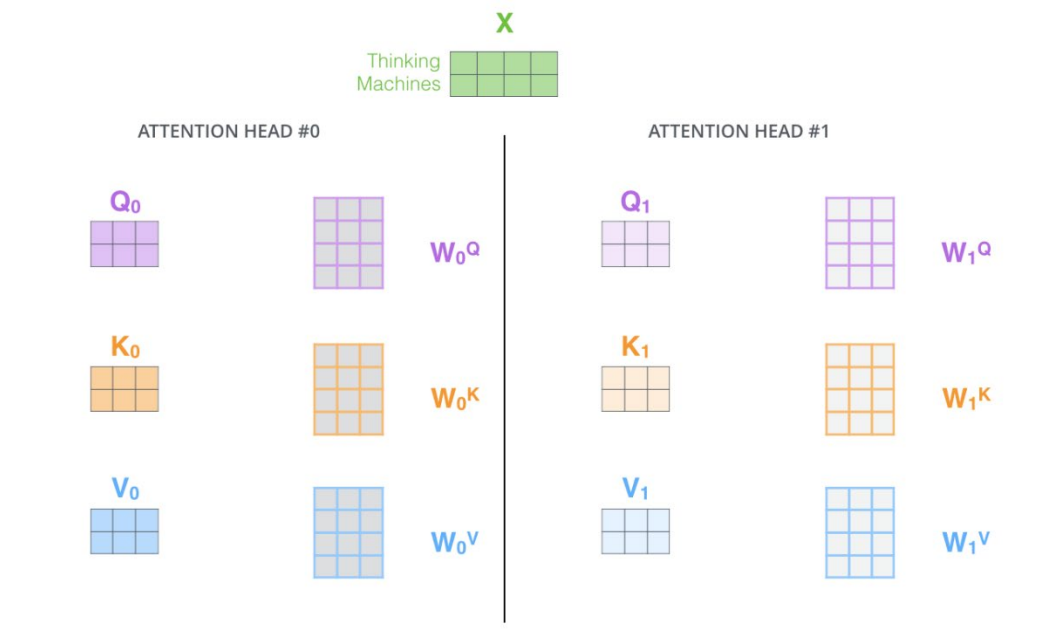
- Output matrix Y
- All operations are **matrix multiplication**, can be parallelized on GPU.





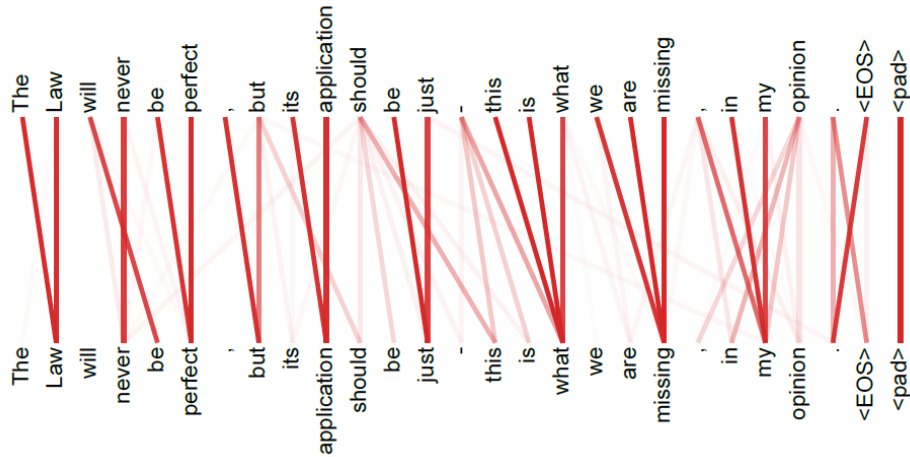
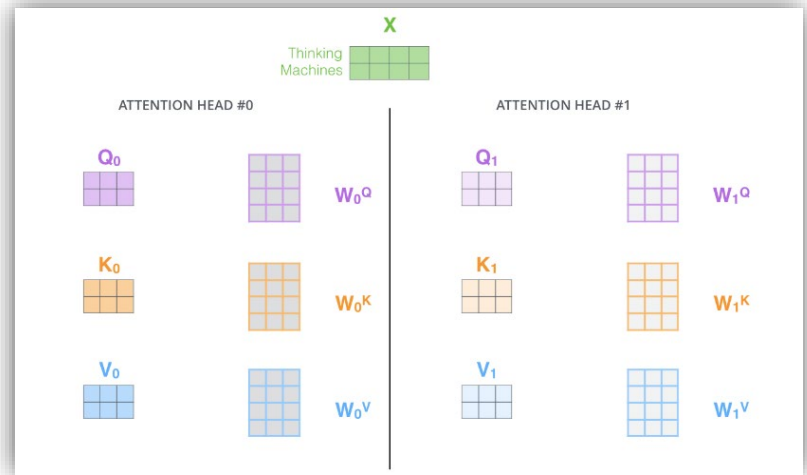
Multi-Head Self-Attention (1/4)

- Perform self-attention at **different subspaces**, implying performing attention over different input feature types (e.g., representations, modalities, positions, etc.)

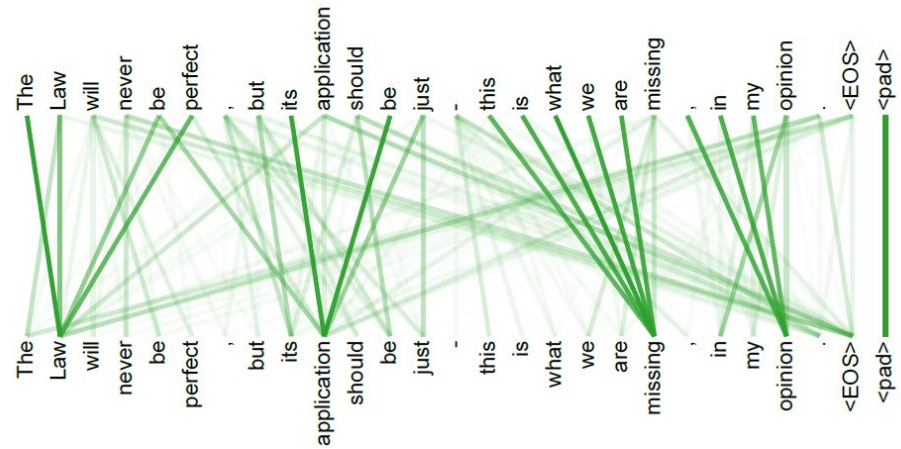


Multi-Head Self-Attention (2/4)

- Perform self-attention at **different subspaces**, implying performing attention over different input feature types
- See example below



Attention weights of Head 1

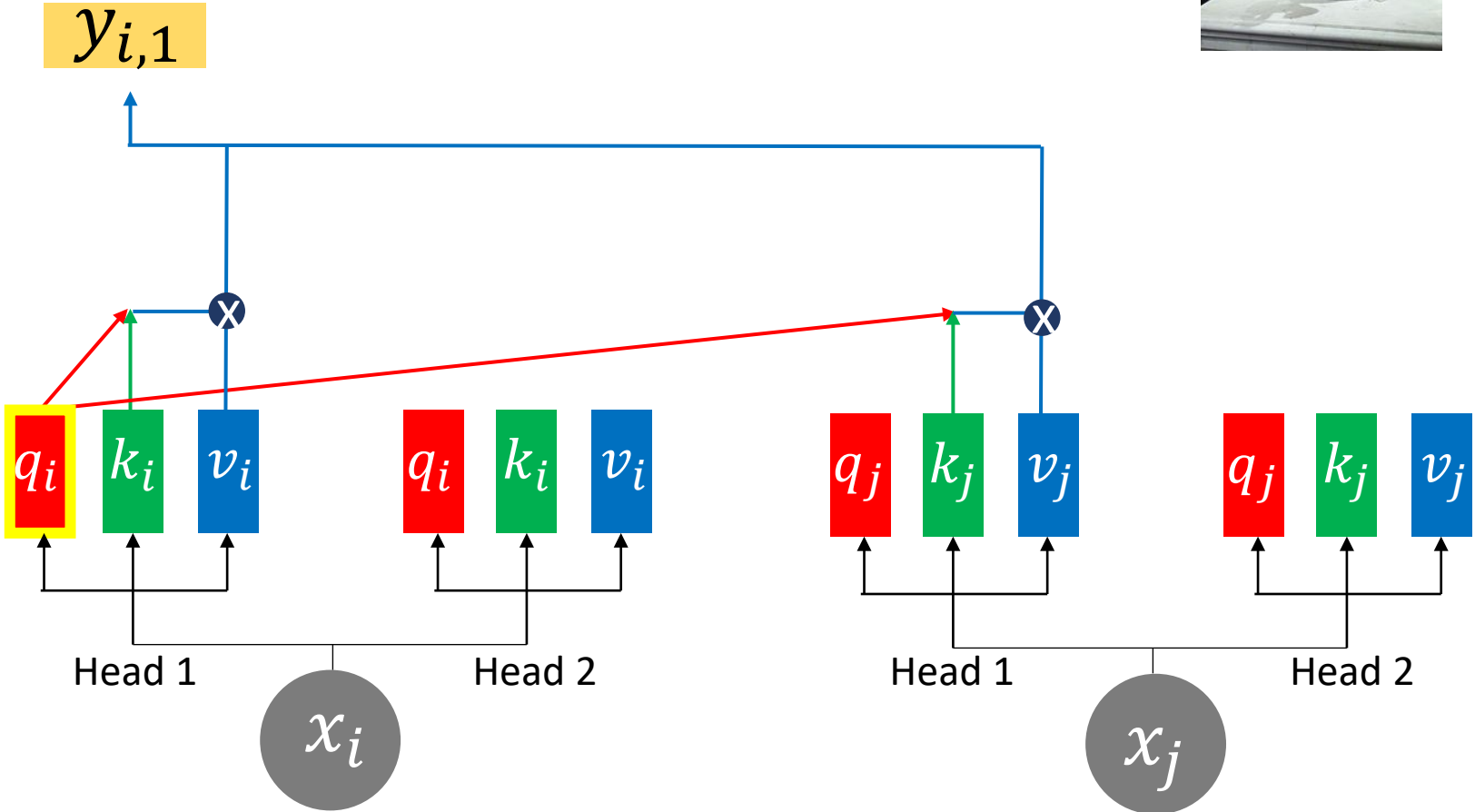


Attention weights of Head 2



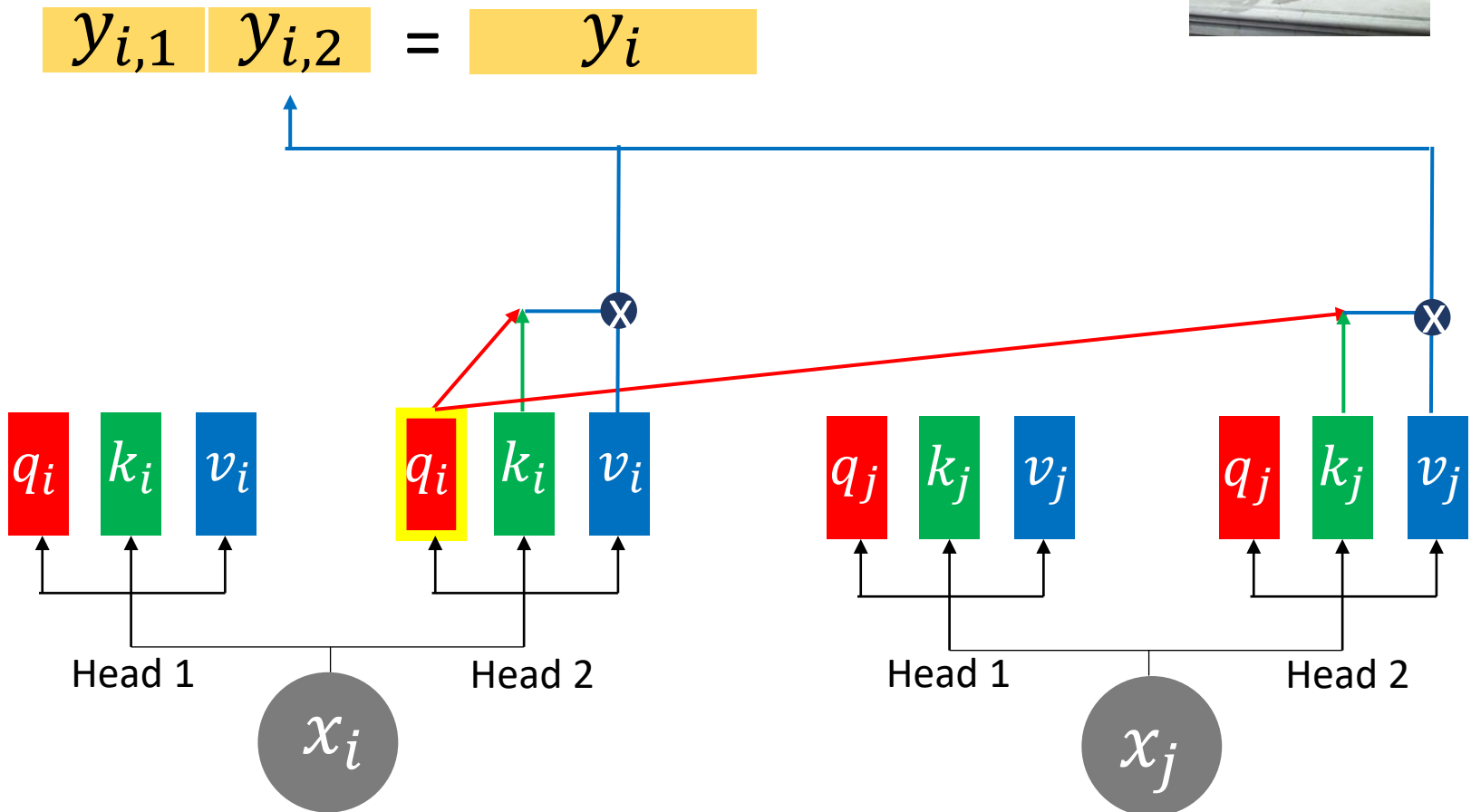
Multi-Head Self-Attention (3/4)

- A two-head example:
output of two heads are concatenated as the output embedding

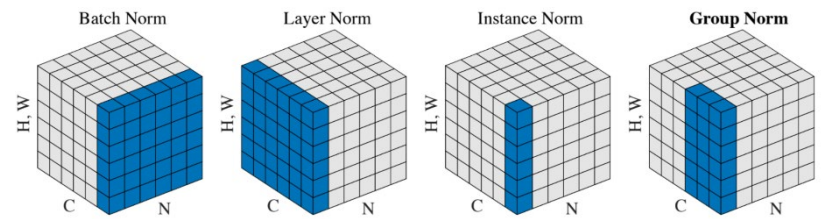


Multi-Head Self-Attention (4/4)

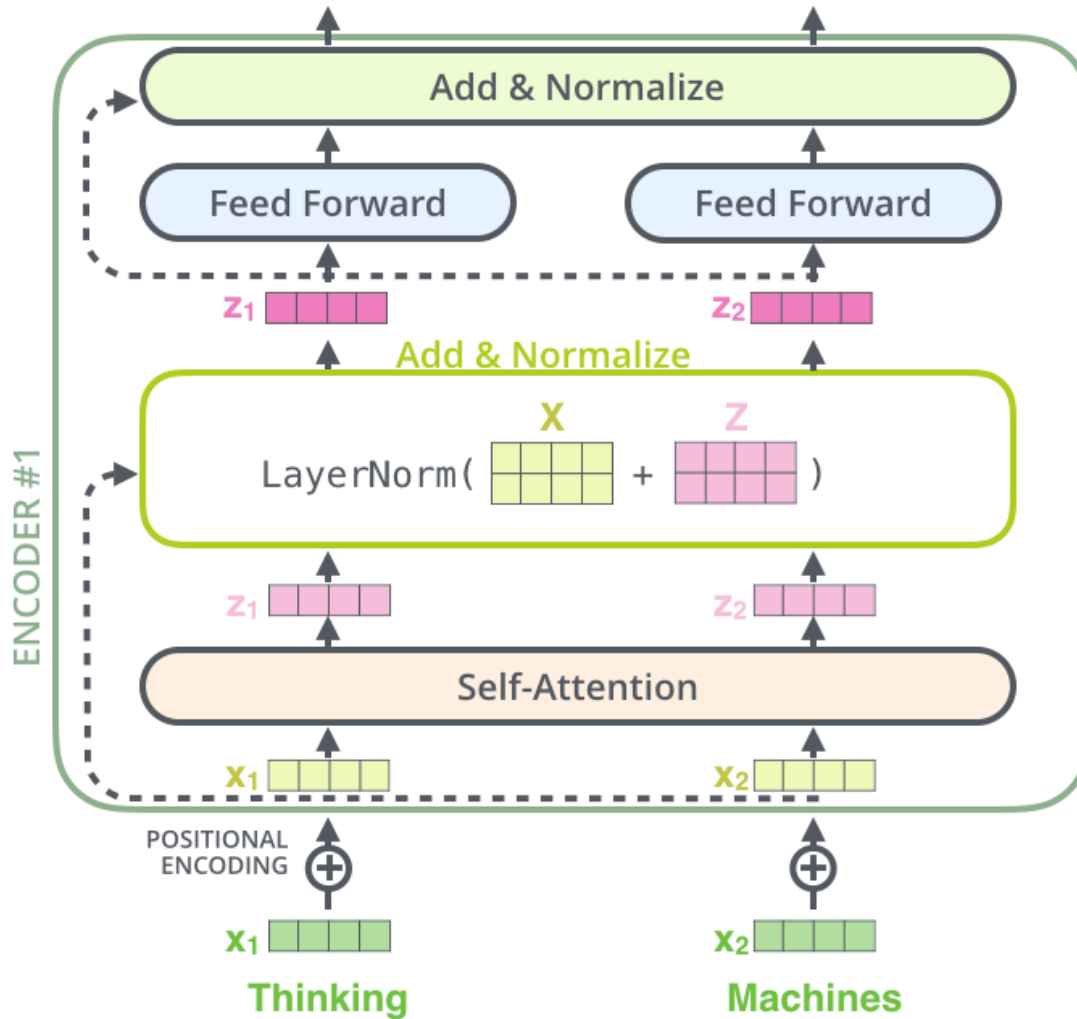
- A two-head example:
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The Residuals

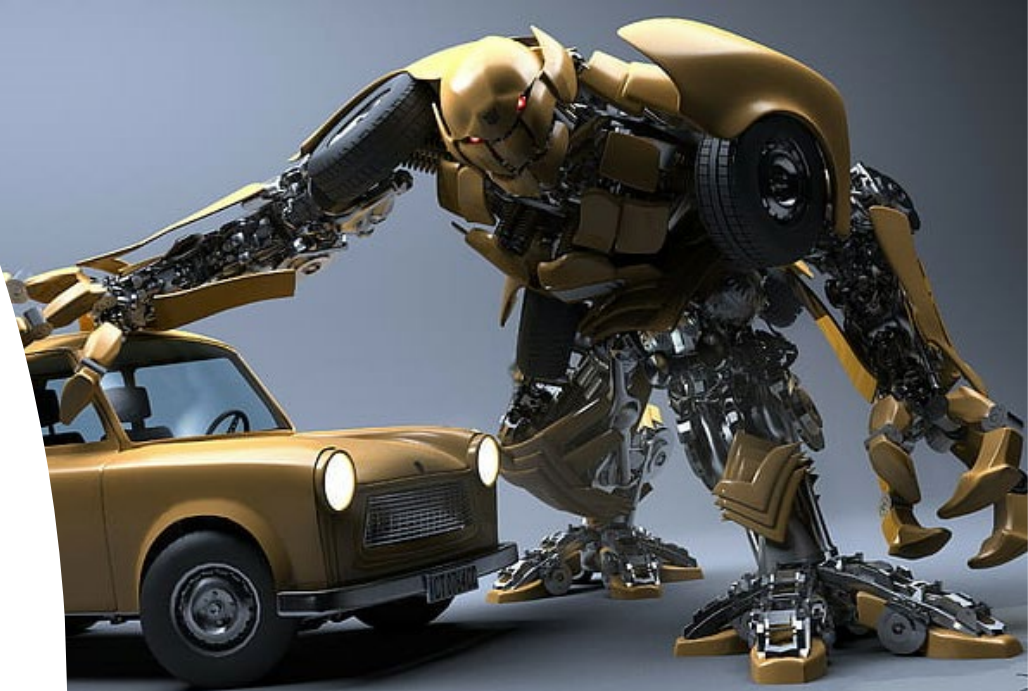


- A residual connection followed by layer normalization



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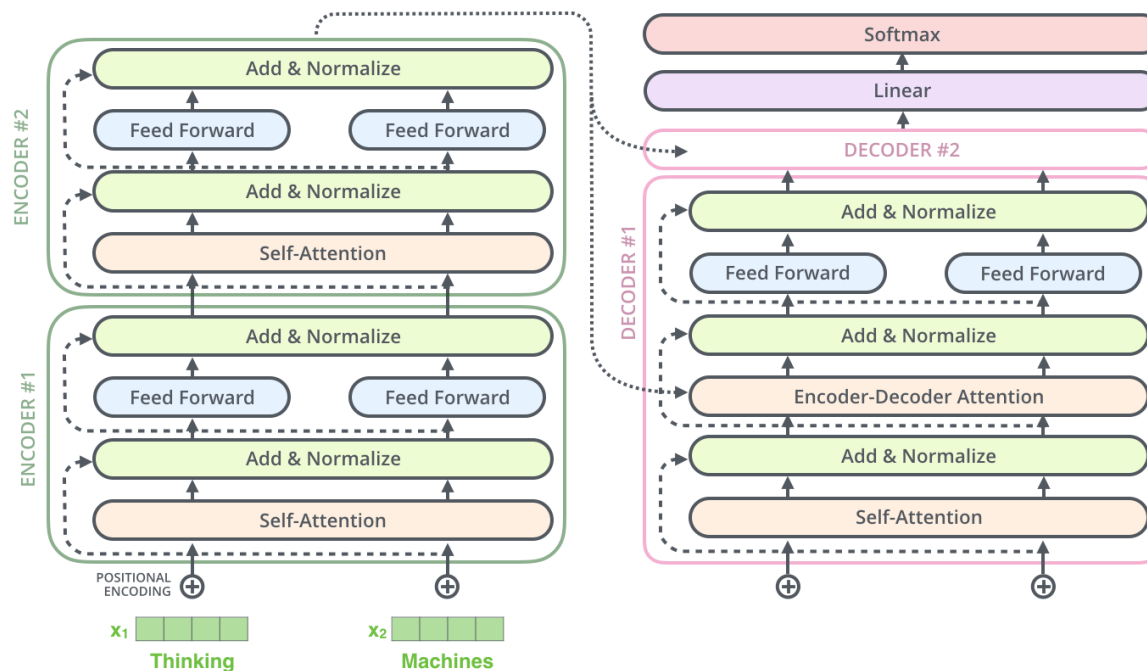


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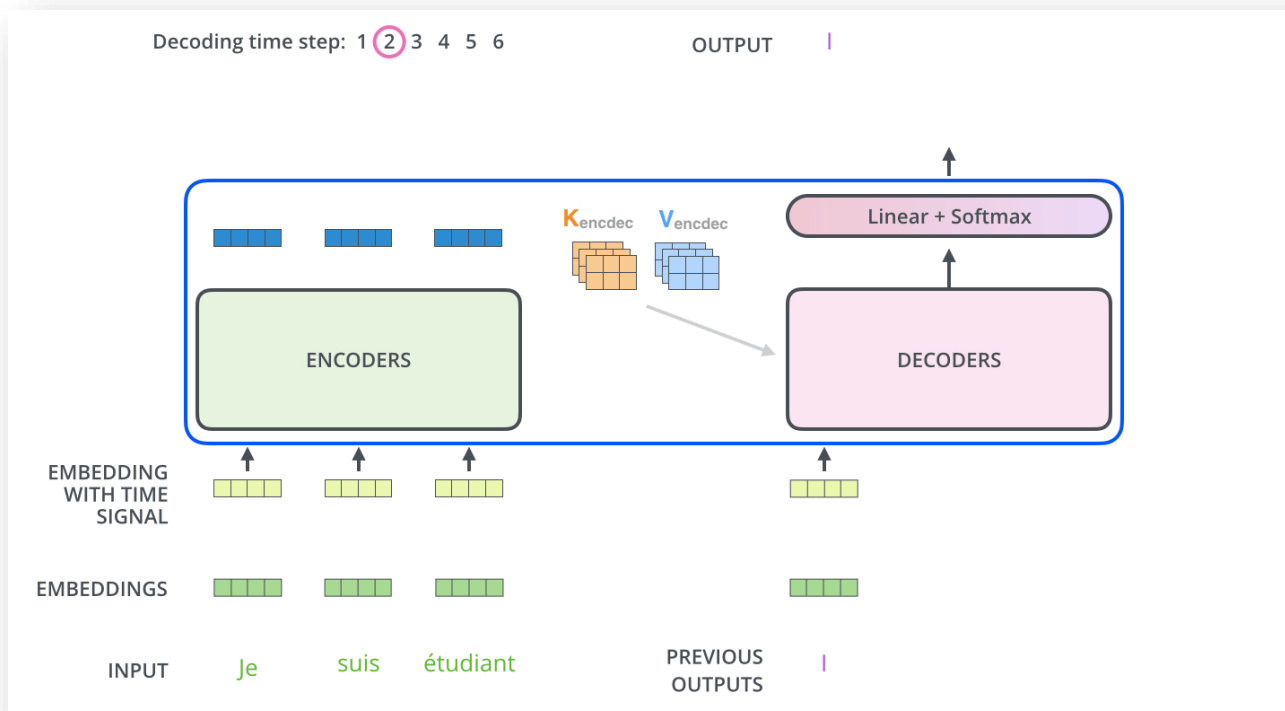
Training The Decoder of Transformer

- Encoder-decoder attention
 - Q from self-attn output in decoder, K & V from encoder outputs
- Masked multi-head attention
 - Design similar to that of encoder, except for decoder #1 which takes additional inputs (of GT/predicted word embeddings).
 - Mask unpredicted tokens during softmax: what does this mean & why?



Training The Decoder of Transformer (cont'd)

- Encoder-decoder attention
 - Q from self-attn in decoder, K & V from encoder outputs
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 - Design similar to that of encoder, except for decoder #1 which takes additional inputs (of GT/predicted word embeddings).
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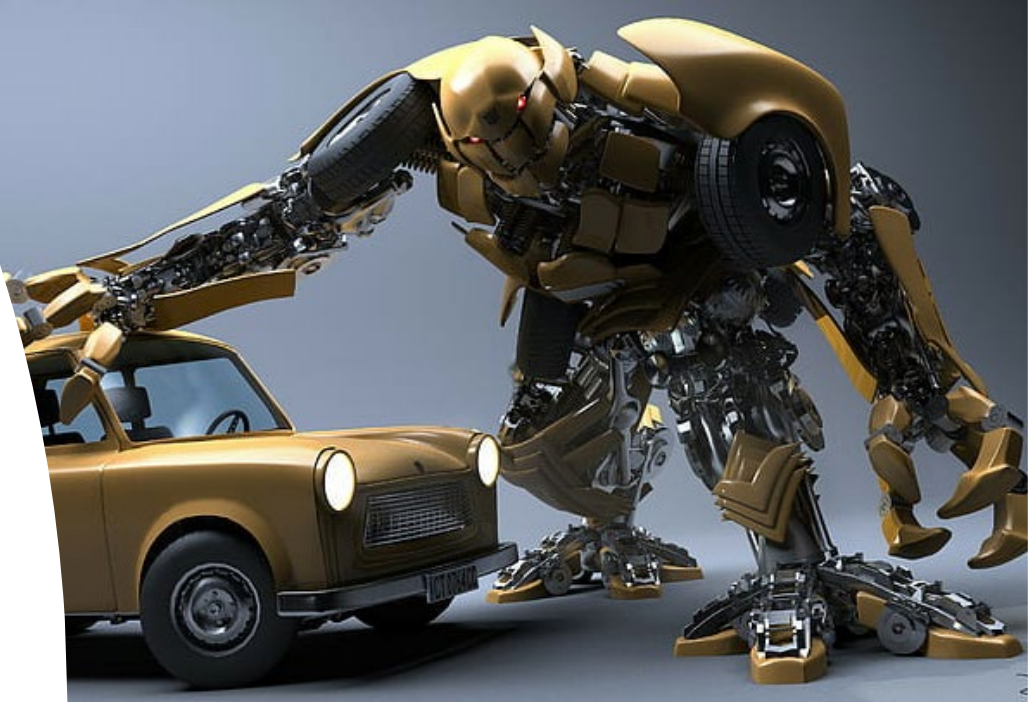
Overview of Encoding & Decoding in Transformer

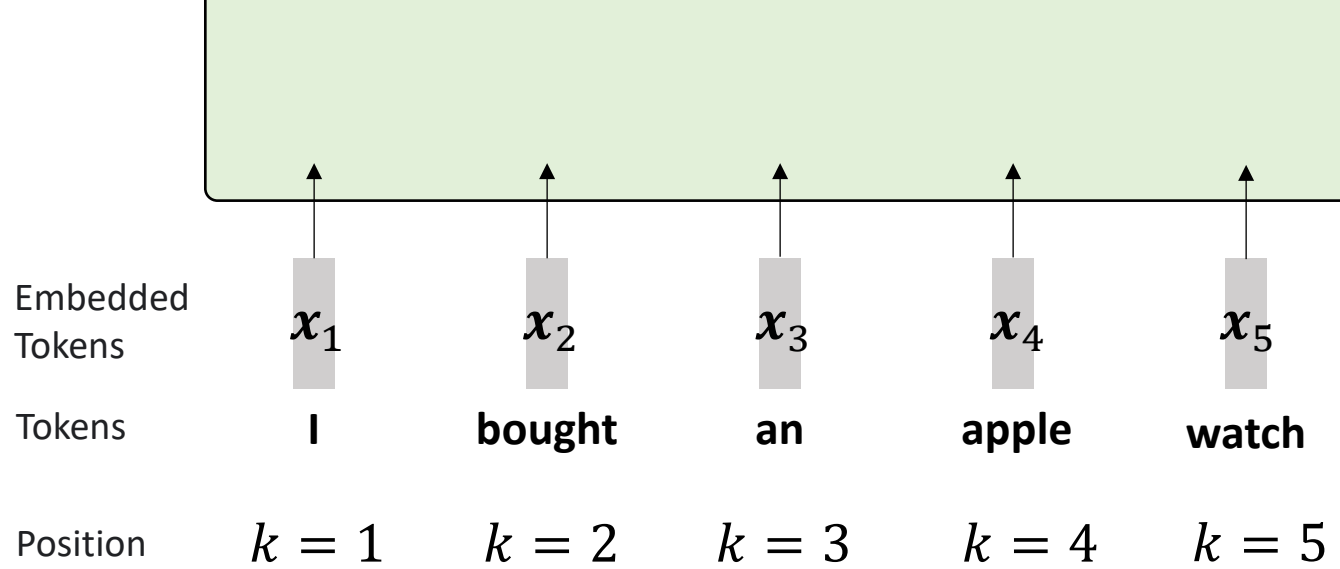
- Encoder/Decoder Self & Cross-Attention



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Position k

Angular frequency

$w_i = N^{-2i/d}$

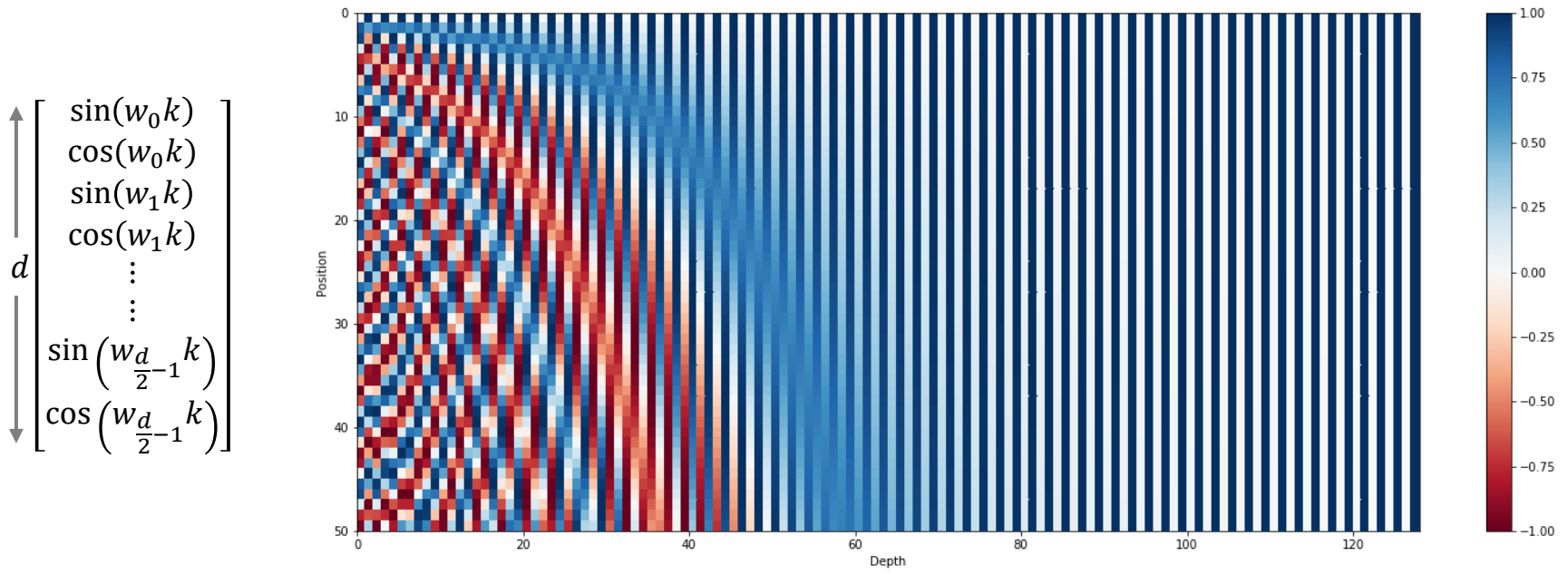
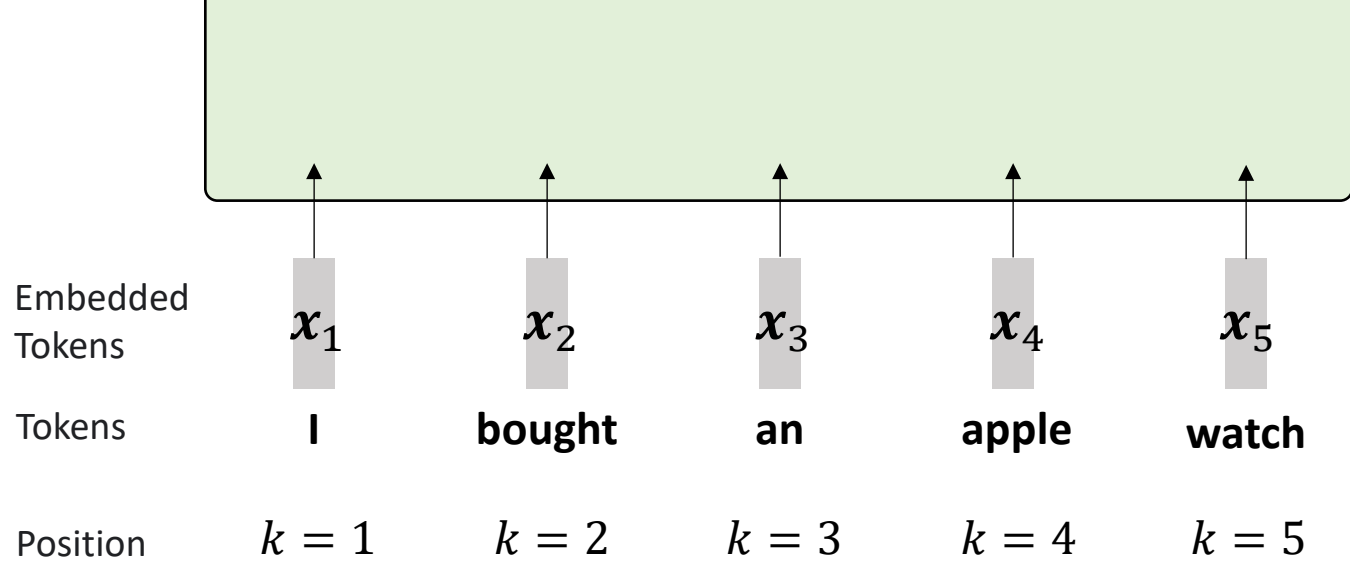
$N = 100,000$

d

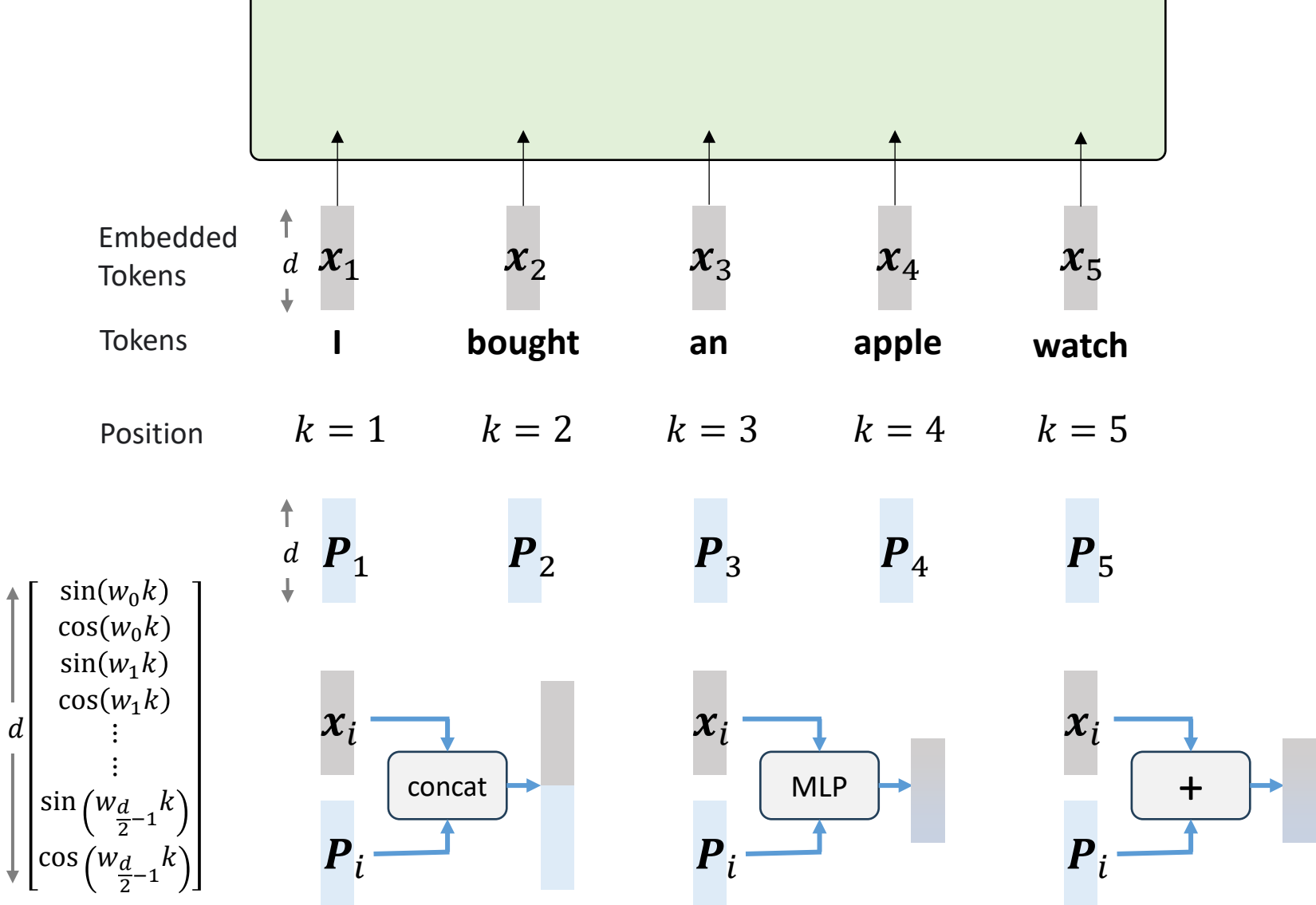
Fast oscillating

Slow oscillating

$$\begin{bmatrix} \sin(w_0 k) \\ \cos(w_0 k) \\ \sin(w_1 k) \\ \cos(w_1 k) \\ \vdots \\ \vdots \\ \sin\left(\frac{w_{d-1}}{2} k\right) \\ \cos\left(\frac{w_{d-1}}{2} k\right) \end{bmatrix}$$



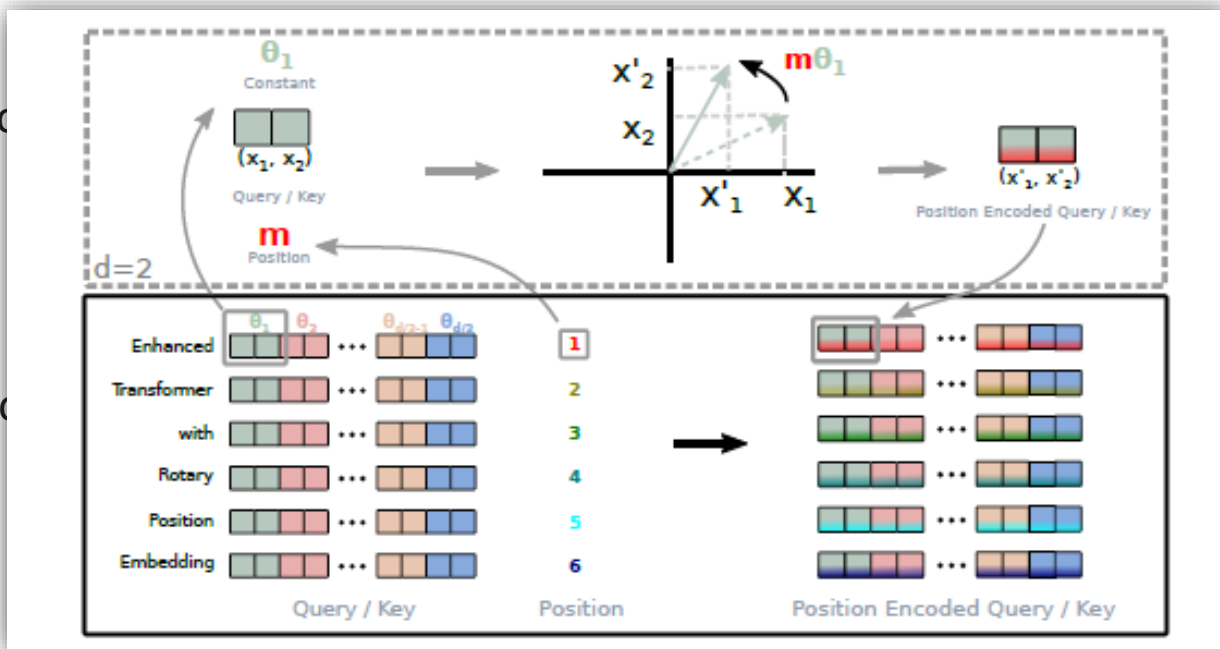
$$d \begin{bmatrix} \sin(w_0 k) \\ \cos(w_0 k) \\ \sin(w_1 k) \\ \cos(w_1 k) \\ \vdots \\ \vdots \\ \sin\left(w_{\frac{d}{2}-1} k\right) \\ \cos\left(w_{\frac{d}{2}-1} k\right) \end{bmatrix}$$



Position	1	2	3	4	5	6
	I	walk	my	dog	every	day

Position	1	2	3	4	5	6
	every	day	I	walk	my	dog

Position



ay

Position

og

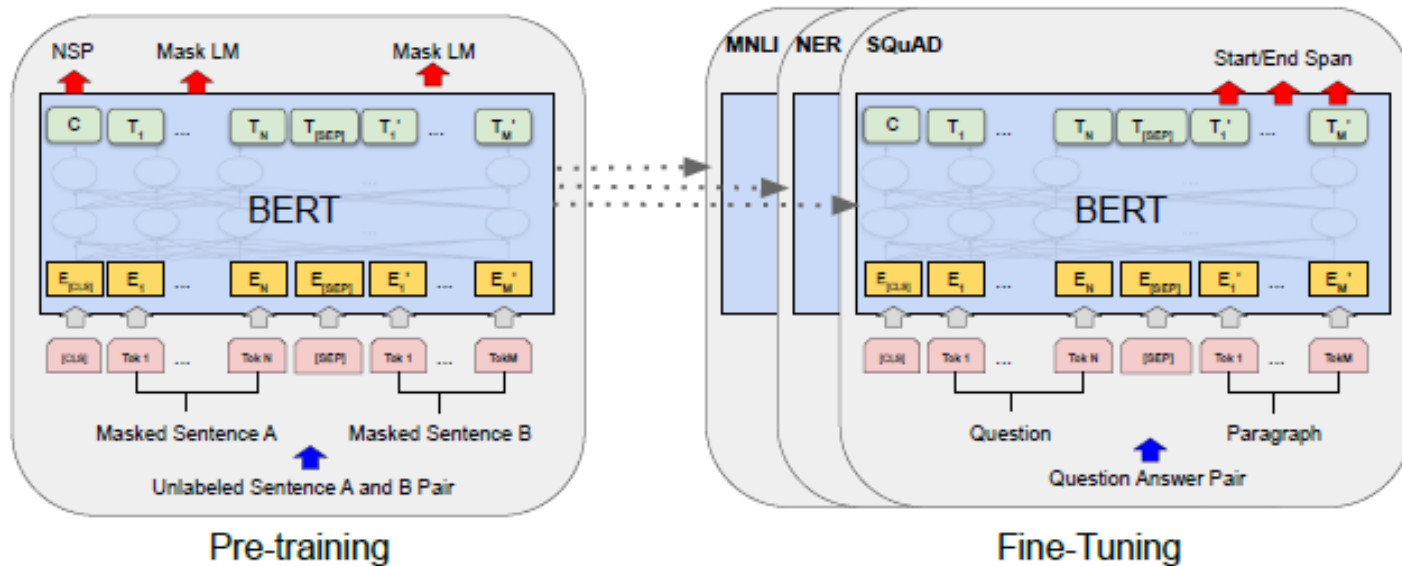


From *absolute* to *relative* positional embedding

“RoFormer: Enhanced Transformer with Rotary Position Embedding”, arxiv 2021

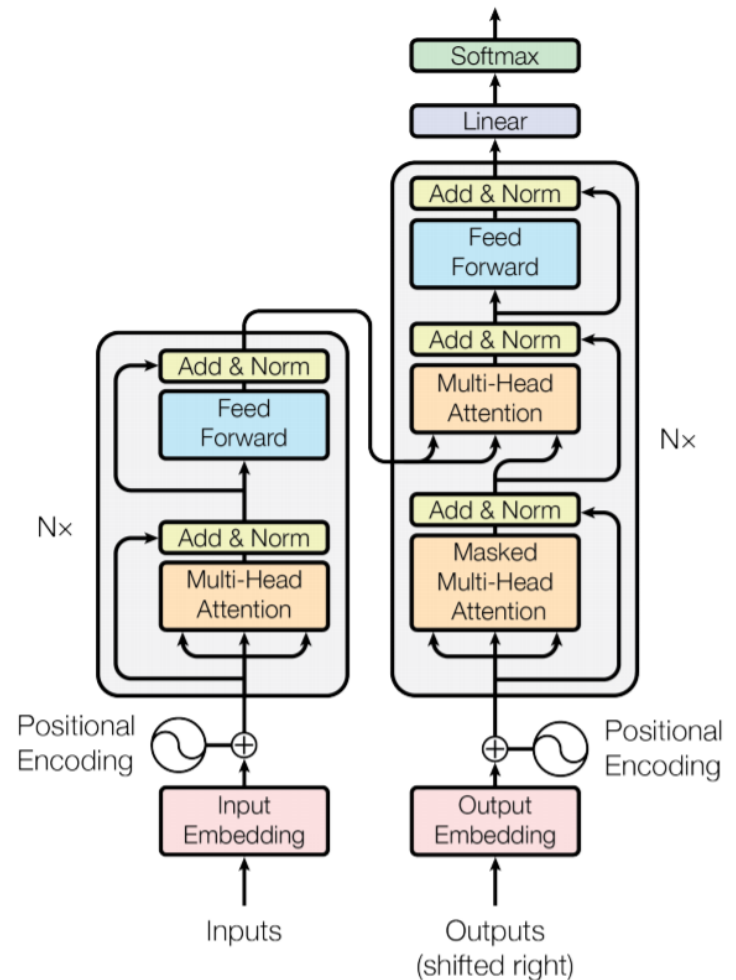
Extension: BERT - Bidirectional Encoder Representation from Transformers

- Proposed by Google AI Language
- Two additional objectives
 - Masked language model (MLM)
 - Next sentence prediction (NSP)



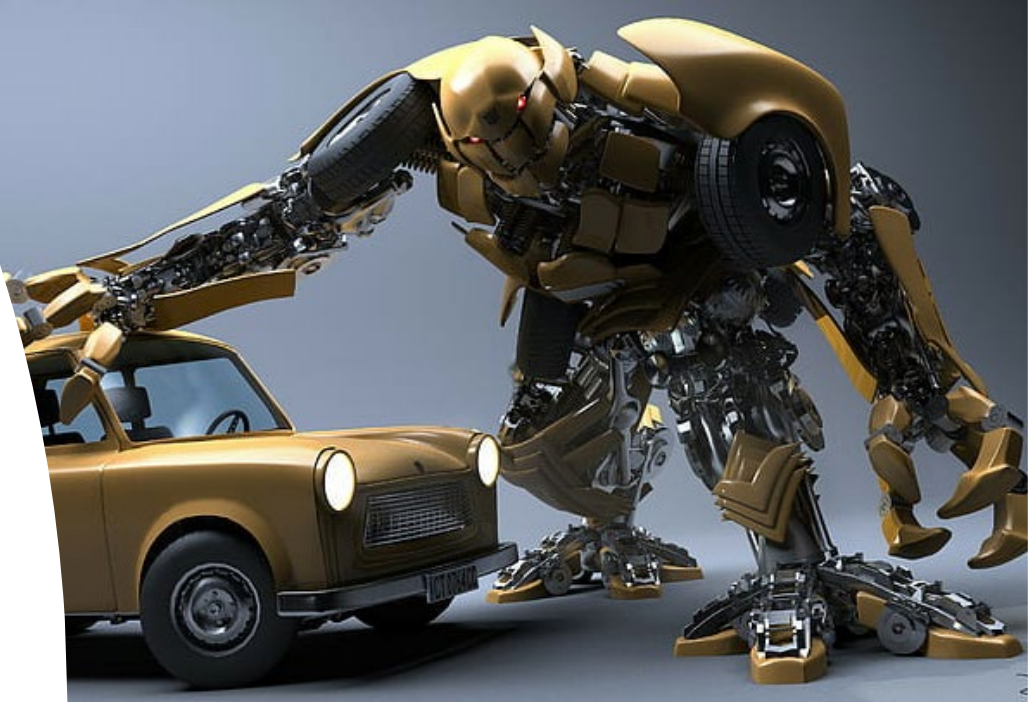
Transformer is promising, but...

- Concerns of Transformer?
 - Computation
 - Space/memory
- Potential solutions
 - Sparse Transformer
 - Linformer
 - Linearized attention, etc.
 - Ever heard of *Mamba*?



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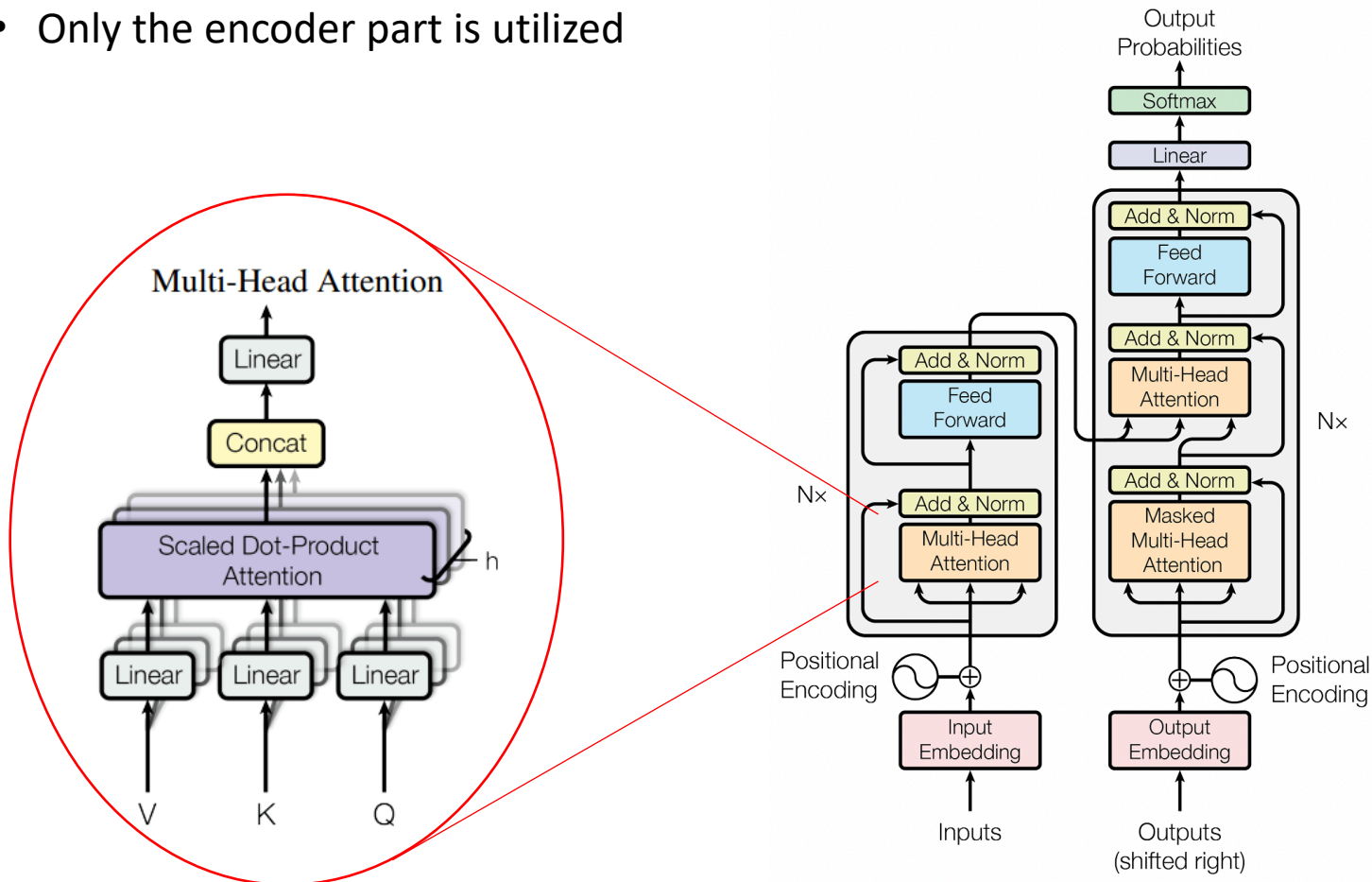


<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>



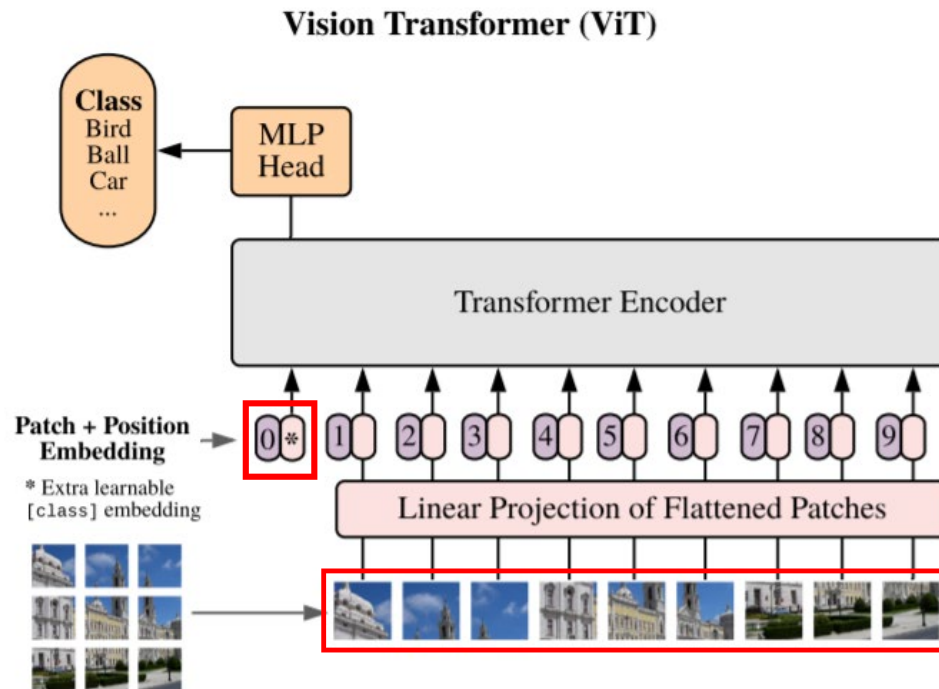
Vision Transformer

- “An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale”, ICLR, 2021. (Google Research)
- Only the encoder part is utilized



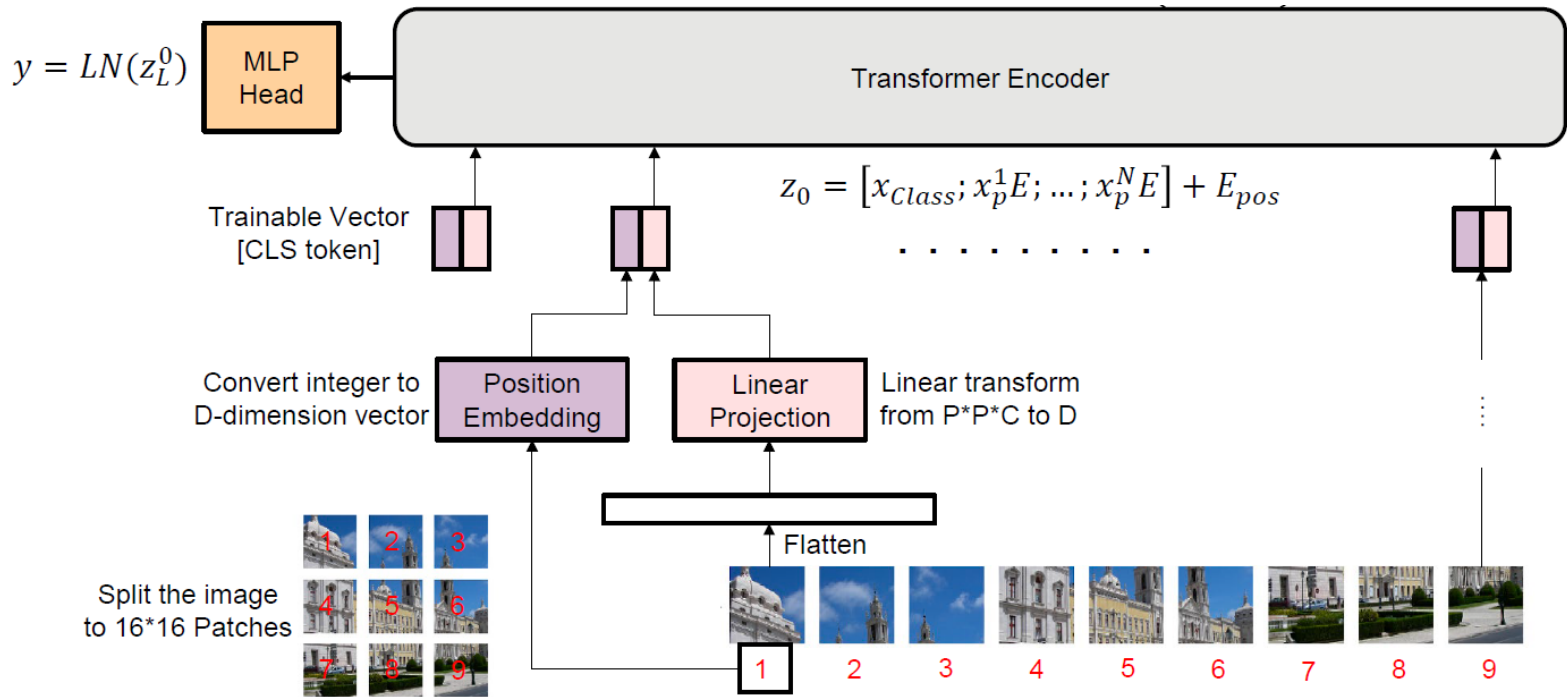
Vision Transformer (cont'd)

- Partition the input image into a **patch sequence**
- An additional **token (*)** is appended to perform attention on patches
- Both the “*” token and positional embeddings (denoted by 0, 1, 2 ...) are **trainable vectors**.



Vision Transformer (cont'd)

- “An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale”, ICLR, 2021. (Google Research)



$$z_0 = [x_{class}; x_p^1 E; x_p^2 E; \dots; x_p^N E] + E_{pos},$$

$$E \in \mathbb{R}^{(P^2 \cdot C) \times D}, E_{pos} \in \mathbb{R}^{(N+1) \times D}$$

$$z'_\ell = \text{MSA}(\text{LN}(z_{\ell-1})) + z_{\ell-1},$$

$$\ell = 1 \dots L$$

Multiheaded self-attention (MSA)

$$z_\ell = \text{MLP}(\text{LN}(z'_\ell)) + z'_\ell,$$

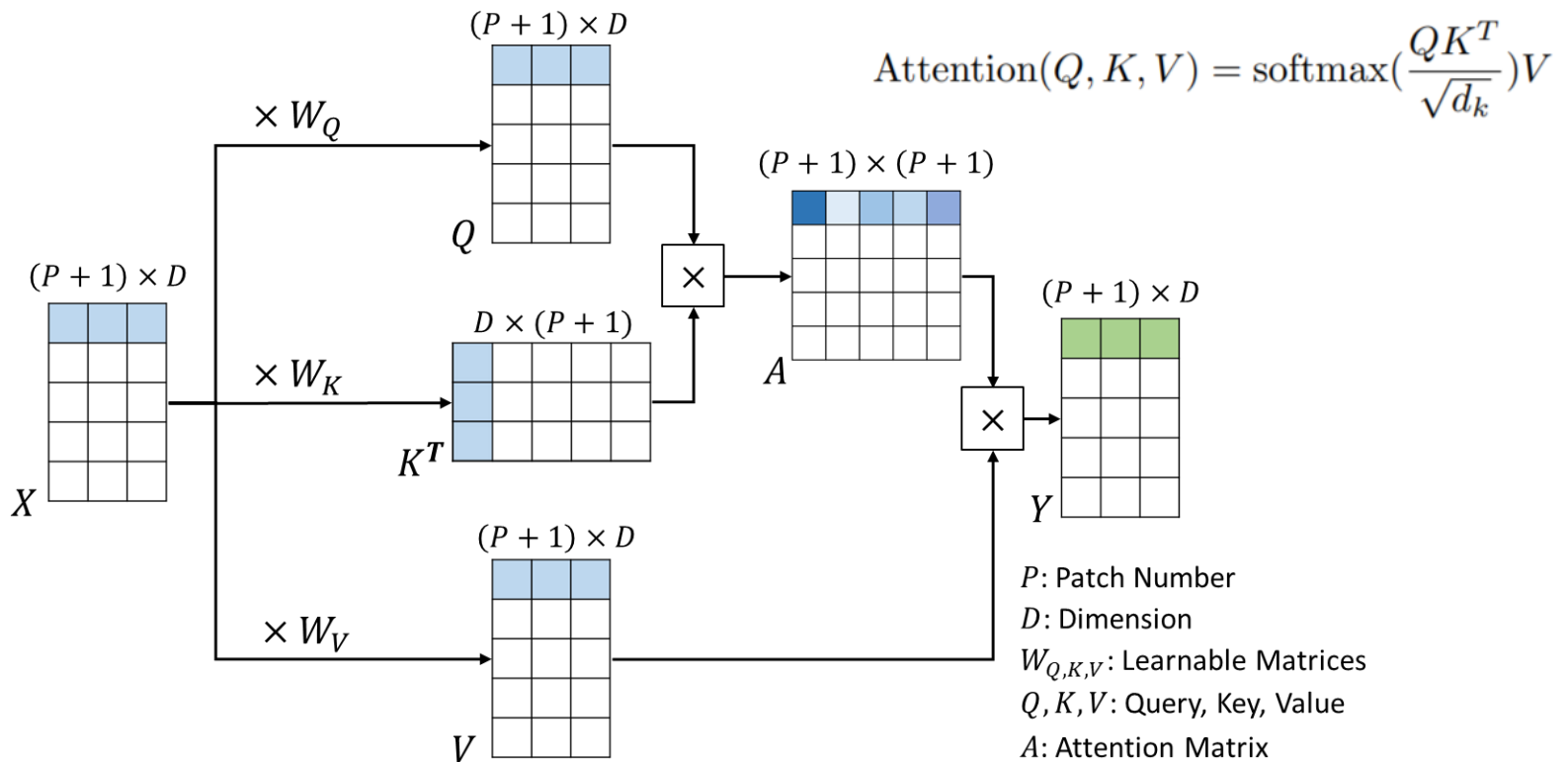
$$\ell = 1 \dots L$$

Layer norm (LN)

$$y = \text{LN}(z_L^0)$$

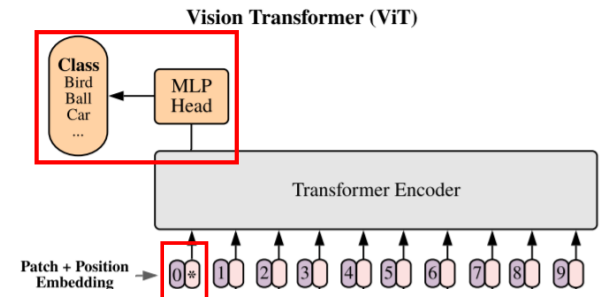
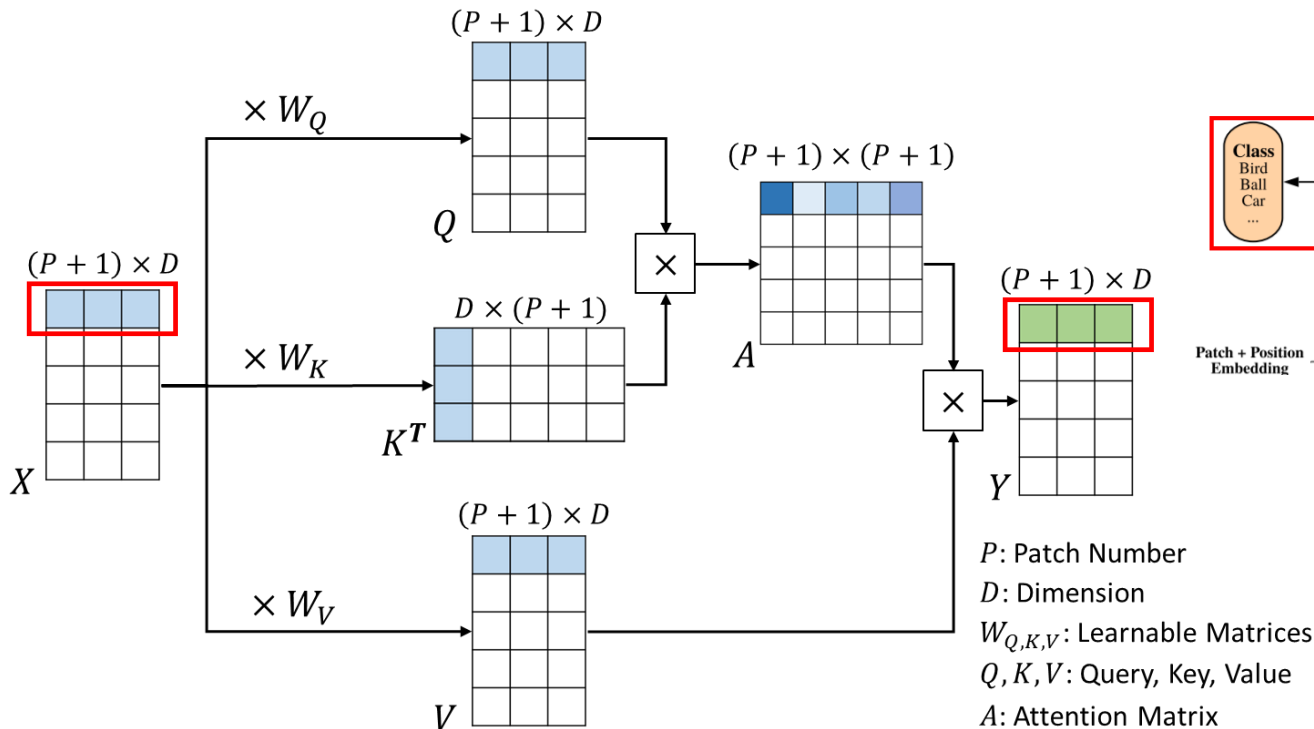
Query-Key-Value Attention in ViT

- E.g., An input image is partitioned into 4 patches, with feature dimension = 3 (i.e., $P=4$ and $D=3$).
- Note that there are $(P+1)$ rows since we have an additional token “*”.



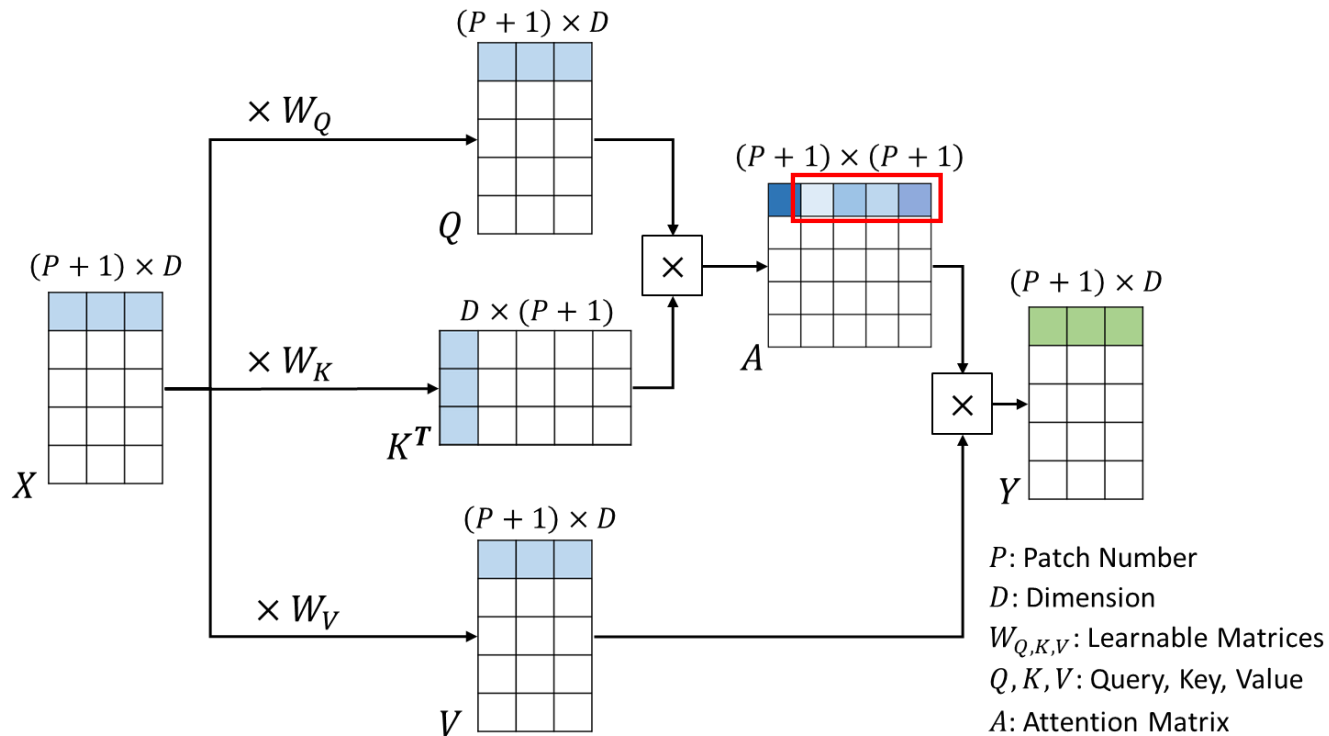
Query-Key-Value Attention in ViT (cont'd)

- In the standard vision transformer, we only take the **first output token** of the output sequence (the **first row** of Y) for classification purposes
- This corresponds to the output when **token "0"** serves as query



Visualization of ViT

- To visualize the **attention maps**, we take the attention scores from the **first row** of A (when token “0” serves as query)
- Note the first element is excluded, and thus there are **P scores** corresponding to the P image patches

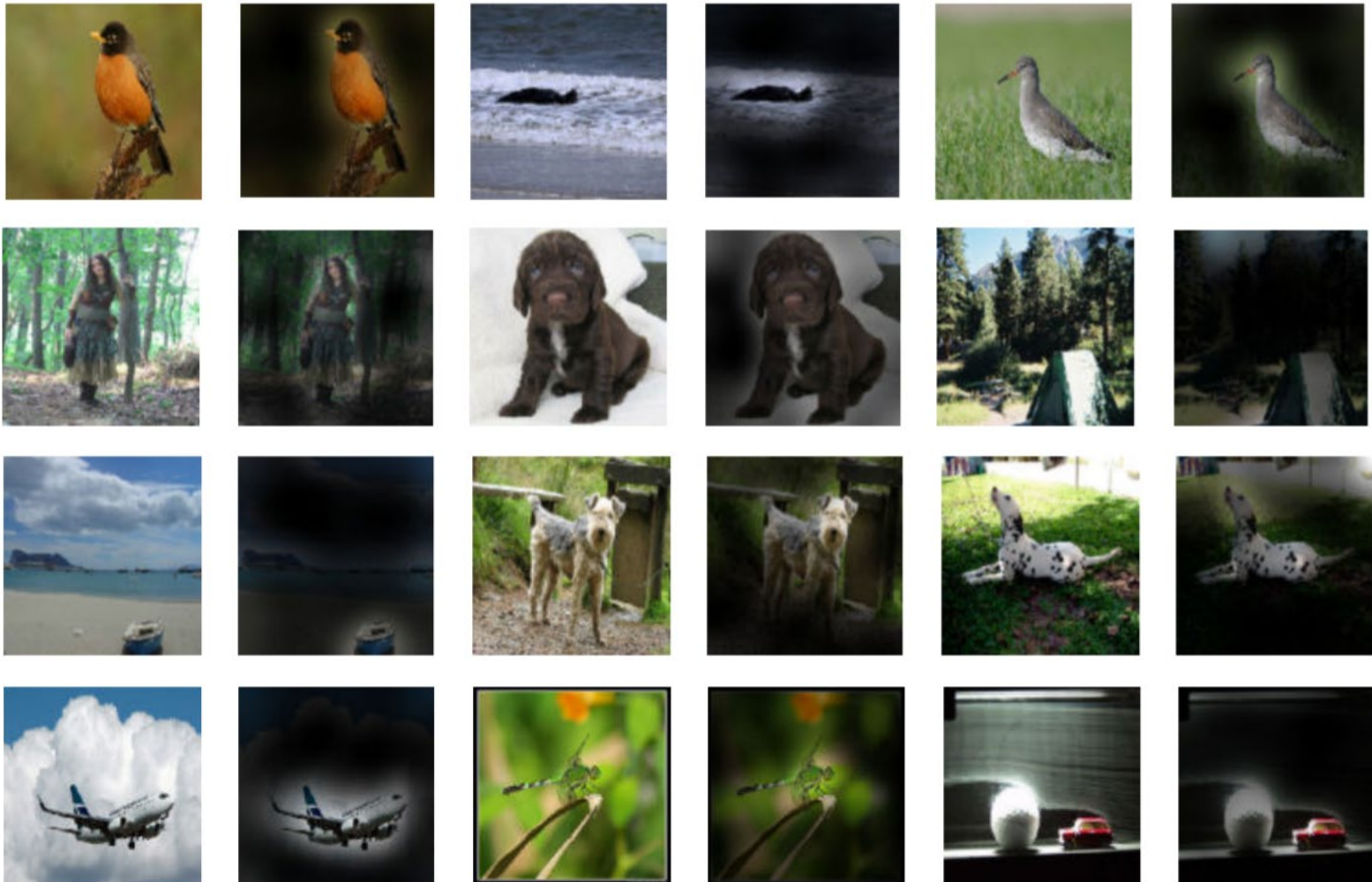


ViT Results

- ViT outperforms CNN-based models
 - Pretrained on JFT & ImageNet
 - Can be trained using TPuv3 w/ 8 cores in 30 days; faster than CNN
 - ViT for visualization/interpretability?

	Ours-JFT (ViT-H/14)	Ours-JFT (ViT-L/16)	Ours-I21k (ViT-L/16)	BiT-L (ResNet152x4)	Noisy Student (EfficientNet-L2)
ImageNet	88.55 ± 0.04	87.76 ± 0.03	85.30 ± 0.02	87.54 ± 0.02	88.4/88.5*
ImageNet Real	90.72 ± 0.05	90.54 ± 0.03	88.62 ± 0.05	90.54	90.55
CIFAR-10	99.50 ± 0.06	99.42 ± 0.03	99.15 ± 0.03	99.37 ± 0.06	—
CIFAR-100	94.55 ± 0.04	93.90 ± 0.05	93.25 ± 0.05	93.51 ± 0.08	—
Oxford-IIIT Pets	97.56 ± 0.03	97.32 ± 0.11	94.67 ± 0.15	96.62 ± 0.23	—
Oxford Flowers-102	99.68 ± 0.02	99.74 ± 0.00	99.61 ± 0.02	99.63 ± 0.03	—
VTAB (19 tasks)	77.63 ± 0.23	76.28 ± 0.46	72.72 ± 0.21	76.29 ± 1.70	—
TPUv3-core-days	2.5k	0.68k	0.23k	9.9k	12.3k

Example Visualization for Object Recognition



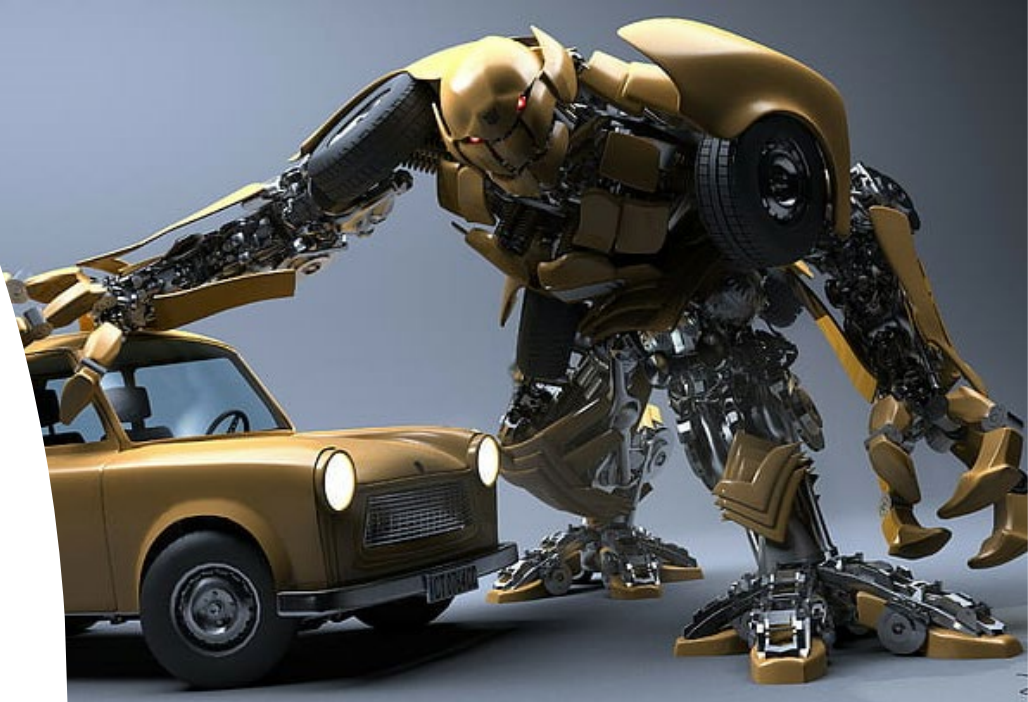
ViT Results

- ViT outperforms CNN-based models
 - Pretrained on JFT & ImageNet
 - Can be trained using TPuv3 w/ 8 cores in 30 days; faster than CNN
 - **However, JFT is not publicly available...**

	Ours-JFT (ViT-H/14)	Ours-JFT (ViT-L/16)	Ours-I21k (ViT-L/16)	BiT-L (ResNet152x4)	Noisy Student (EfficientNet-L2)
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CIFAR-10	99.50 ± 0.06	99.42 ± 0.03	99.15 ± 0.03	99.37 ± 0.06	—
CIFAR-100	94.55 ± 0.04	93.90 ± 0.05	93.25 ± 0.05	93.51 ± 0.08	—
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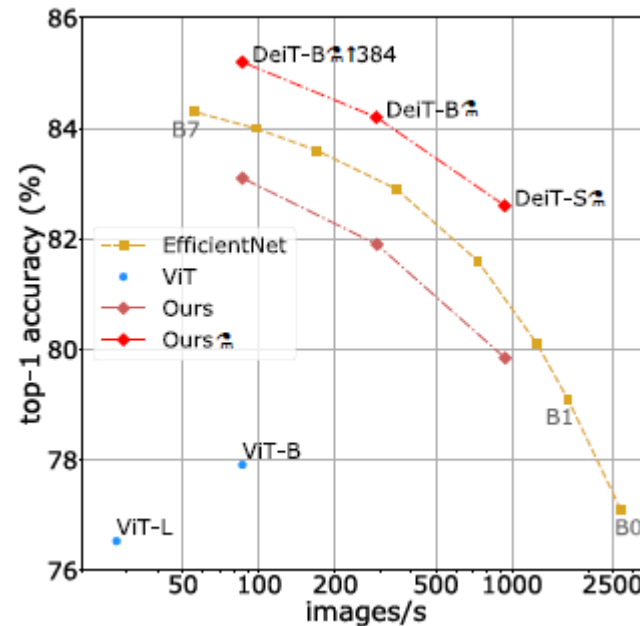
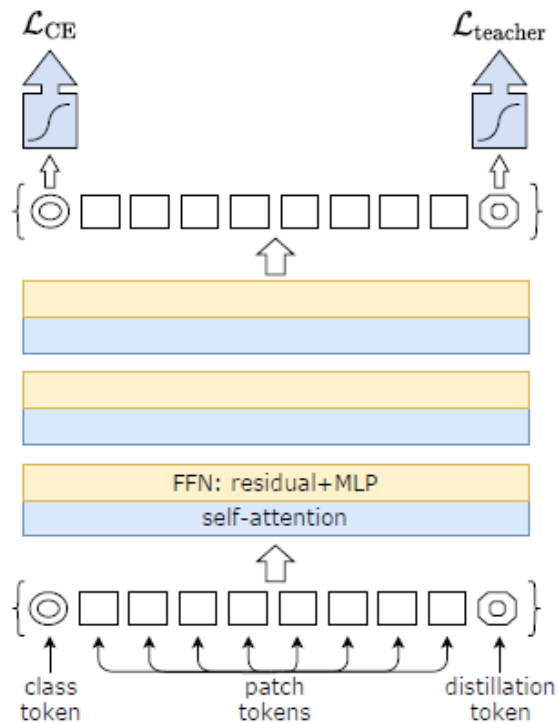
What to Be Covered?

- Transformer
 - Self-Attention
 - Cross-Attention
 - Positional Embedding
- Transformer for Visual Analysis
 - Vision Transformer (ViT)
 - DeiT & Swin Transformer
 - SSL & Beyond
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 - Image2Text
 - Text2Image (v)
 - Image-text models



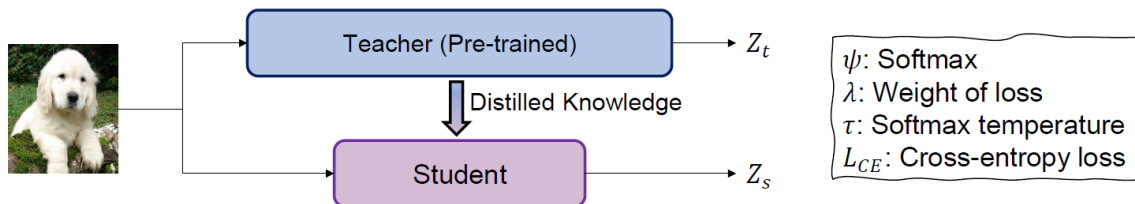
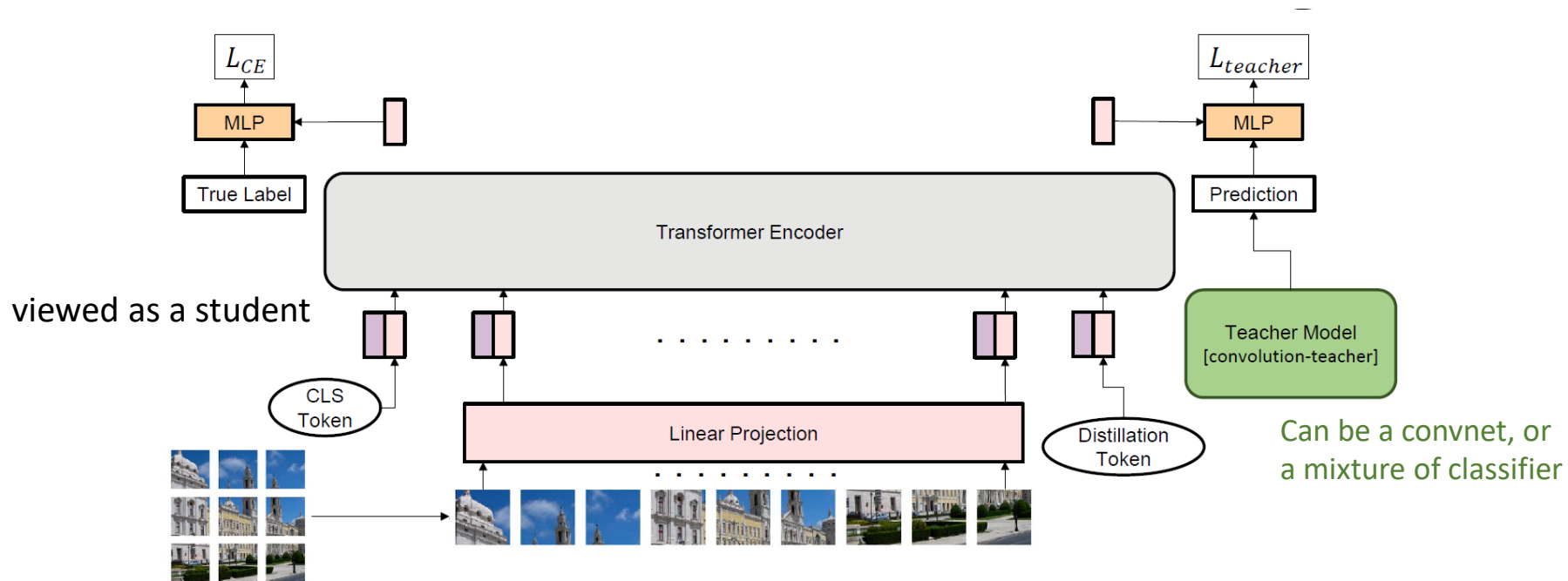
DeiT

- “Training data-efficient image transformers & distillation through attention”, ICML 2021. (Facebook AI)
- ViT outperforms CNN, but the dataset is not publicly available.
- By distillation, DeiT only requires ImageNet for pretraining (10 times smaller).



Accuracy vs. throughput on ImageNet (Ours = DeiT)

DeiT: Distill through Attention



$$\text{Soft Distillation: } L_t = (1 - \lambda) L_{CE}(\psi(Z_s), y) + \lambda \tau^2 KL(\psi(Z_s/\tau), \psi(Z_t/\tau))$$

$$\text{Hard Distillation: } L_t = \frac{1}{2} L_{CE}(\psi(Z_s), y) + \frac{1}{2} L_{CE}(\psi(Z_s), y_t)$$

DeiT Results

- Variants of DeiT architectures (adopted from the ViT backbone)

Same as the original ViT model



Model	embedding dimension	#heads	#layers	#params	training resolution	throughput (im/sec)
DeiT-Ti	192	3	12	5M	224	2536
DeiT-S	384	6	12	22M	224	940
DeiT-B	768	12	12	86M	224	292

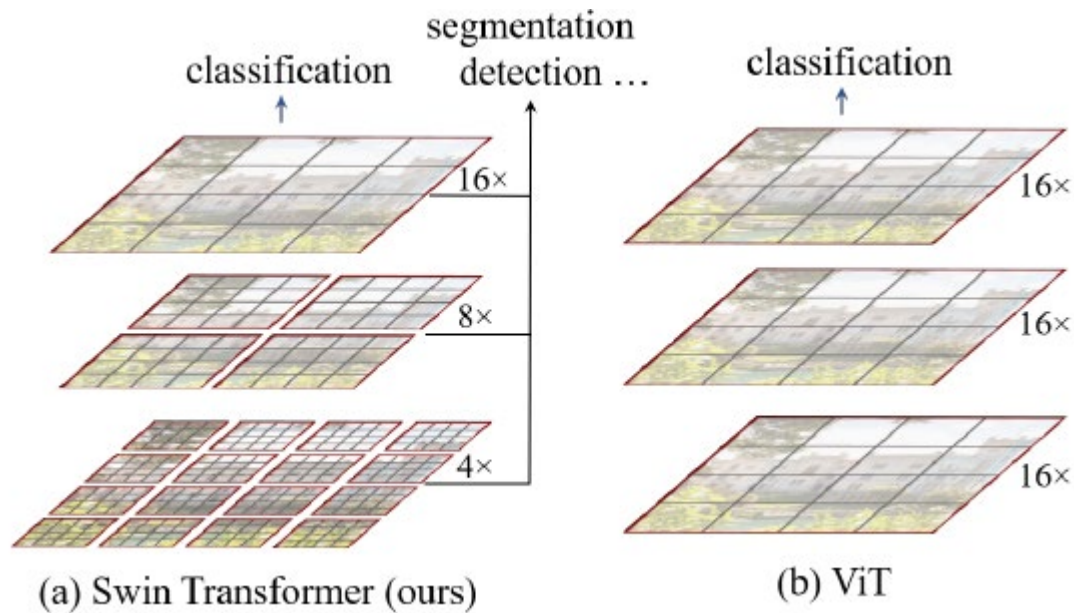
- Choices of different teacher models & ablation studies

Teacher Models	acc.	Student: DeiT-B	
		pretrain	↑384
DeiT-B	81.8	81.9	83.1
RegNetY-4GF	80.0	82.7	83.6
RegNetY-8GF	81.7	82.7	83.8
RegNetY-12GF	82.4	83.0	83.9
RegNetY-16GF	82.9	83.0	84.0

DeiT: method ↓	supervision		ImageNet top-1 (%)			
	label	teacher	Ti 224	S 224	B 224	B↑384
no distillation	✓	✗	72.2	79.8	81.8	83.1
usual distillation	✗	soft	72.2	79.8	81.8	83.2
hard distillation	✗	hard	74.3	80.9	83.0	84.0
class embedding	✓	hard	73.9	80.9	83.0	84.2
distil. embedding	✓	hard	74.6	81.1	83.1	84.4
DeiT: class+distil.	✓	hard	74.5	81.2	83.4	84.5

Swin Transformer

- “Swin Transformer: Hierarchical Vision Transformer Shifted Windows”, ICCV 2021. (MSRA)
- ViT’s computation complexity vs. image size ->
- Propose to perform patch merging & Swin Transformer architecture



Swin Architecture (1/6)

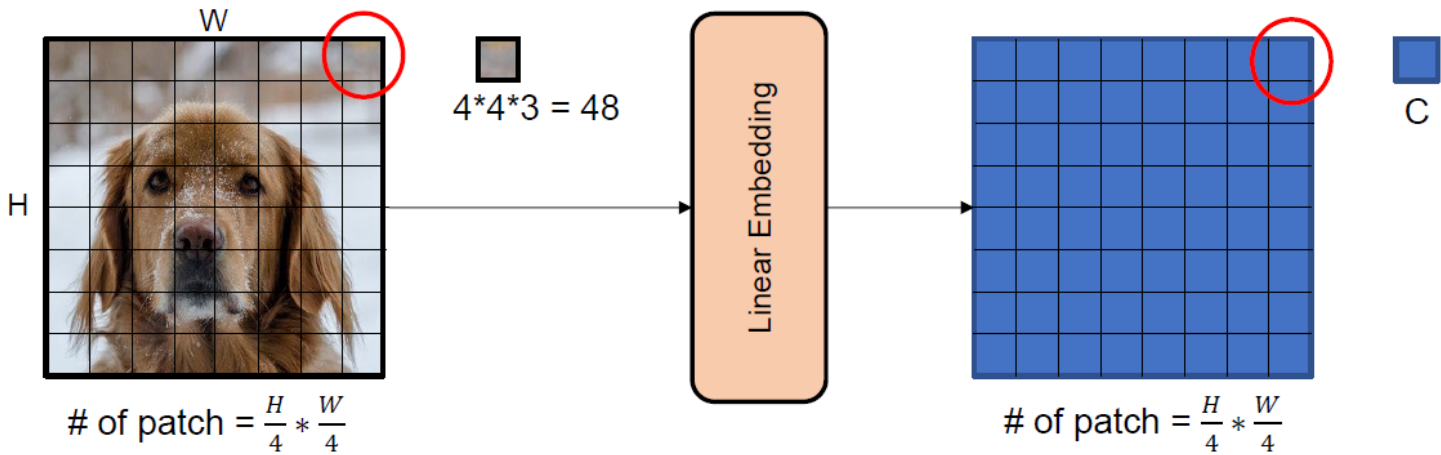
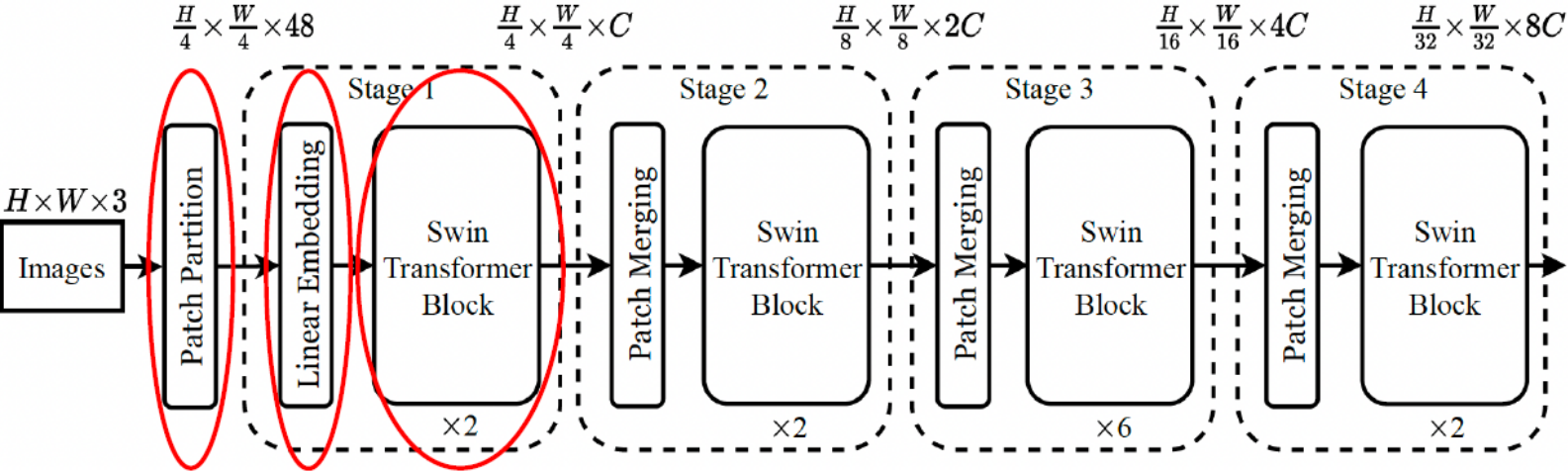
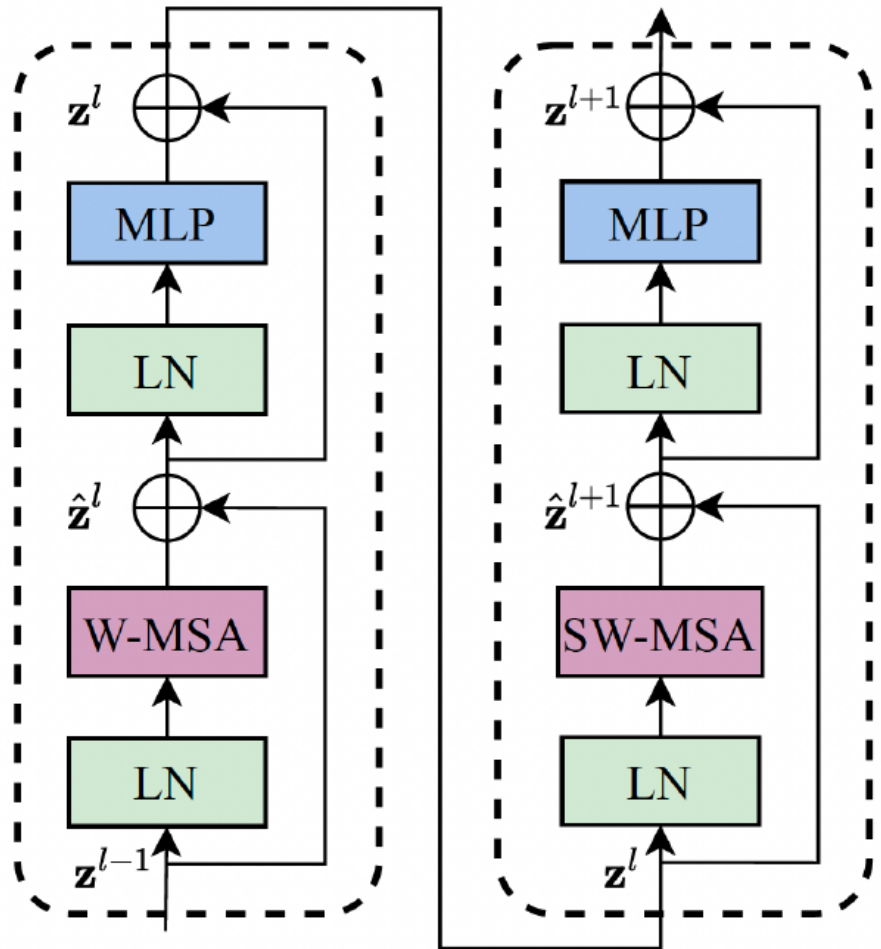


Figure credit: CS886 Univ. Waterloo

Swin Architecture (2/6)

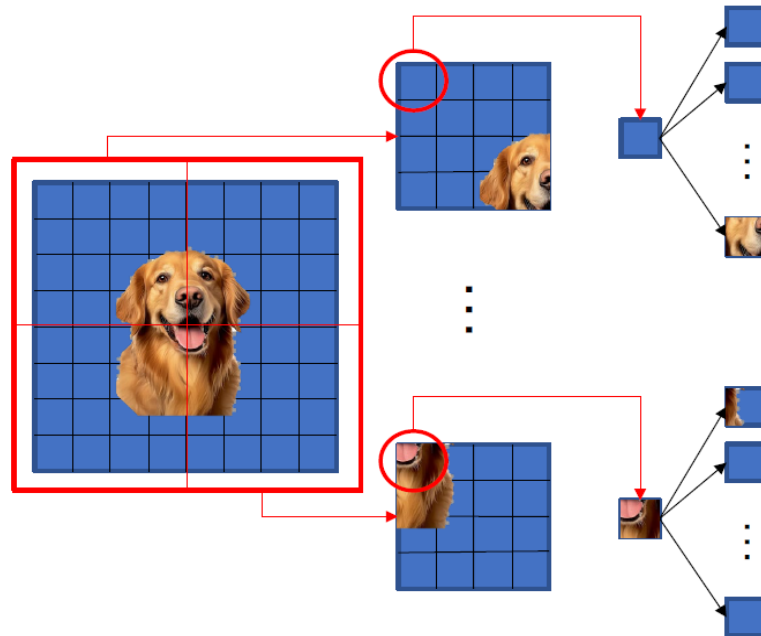
Identical to Transformer but replaced the standard multi-head self-attention (MSA) with:

- *Window MSA (W-MSA)*
- *Shifted Window MSA (SW-MSA)*



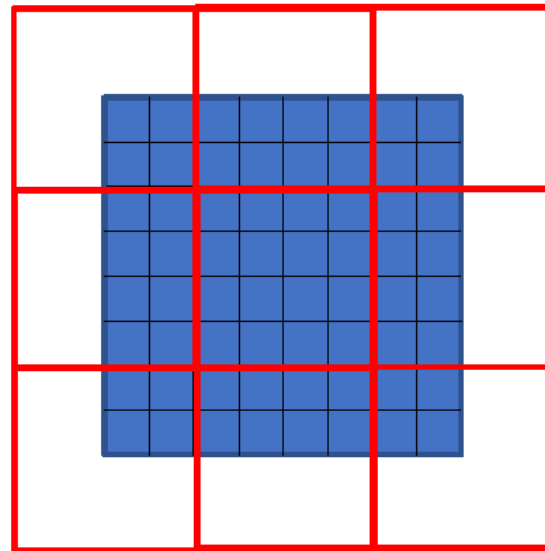
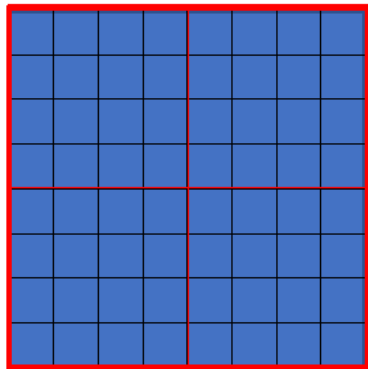
Swin Architecture (3/6)

- Window MSA (W-MSA)
 - Compute attention only within each window
 - Linear complexity (wrt the # of patches) due to the fixed window size
 - What about attention across different windows?



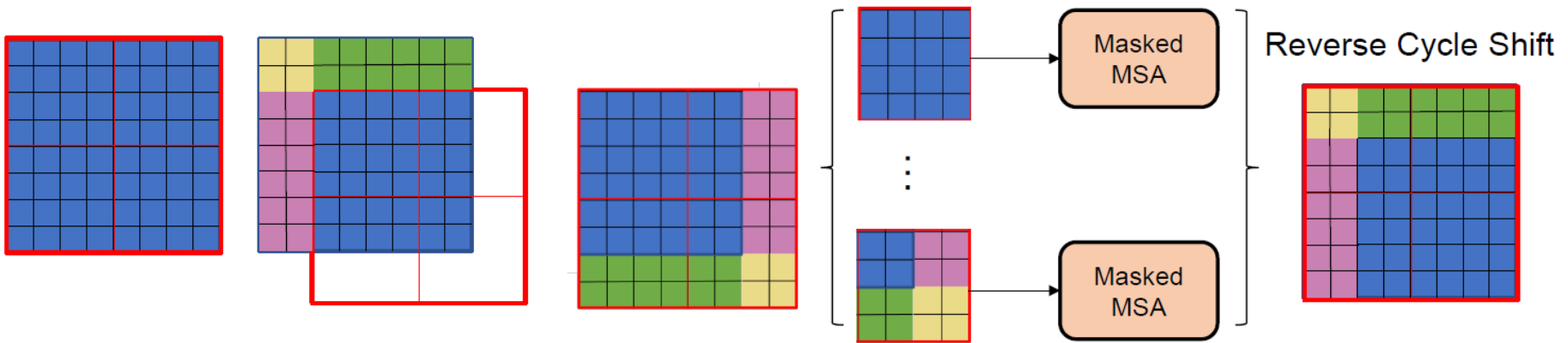
Swin Architecture (4/6)

- Shifted Window MSA (SW-MSA)
 - How to perform across different windows?
 - Shift the window by half the window size ($M/2$)
 - Additional problem?
9 instead of 4 windows, plus padding?

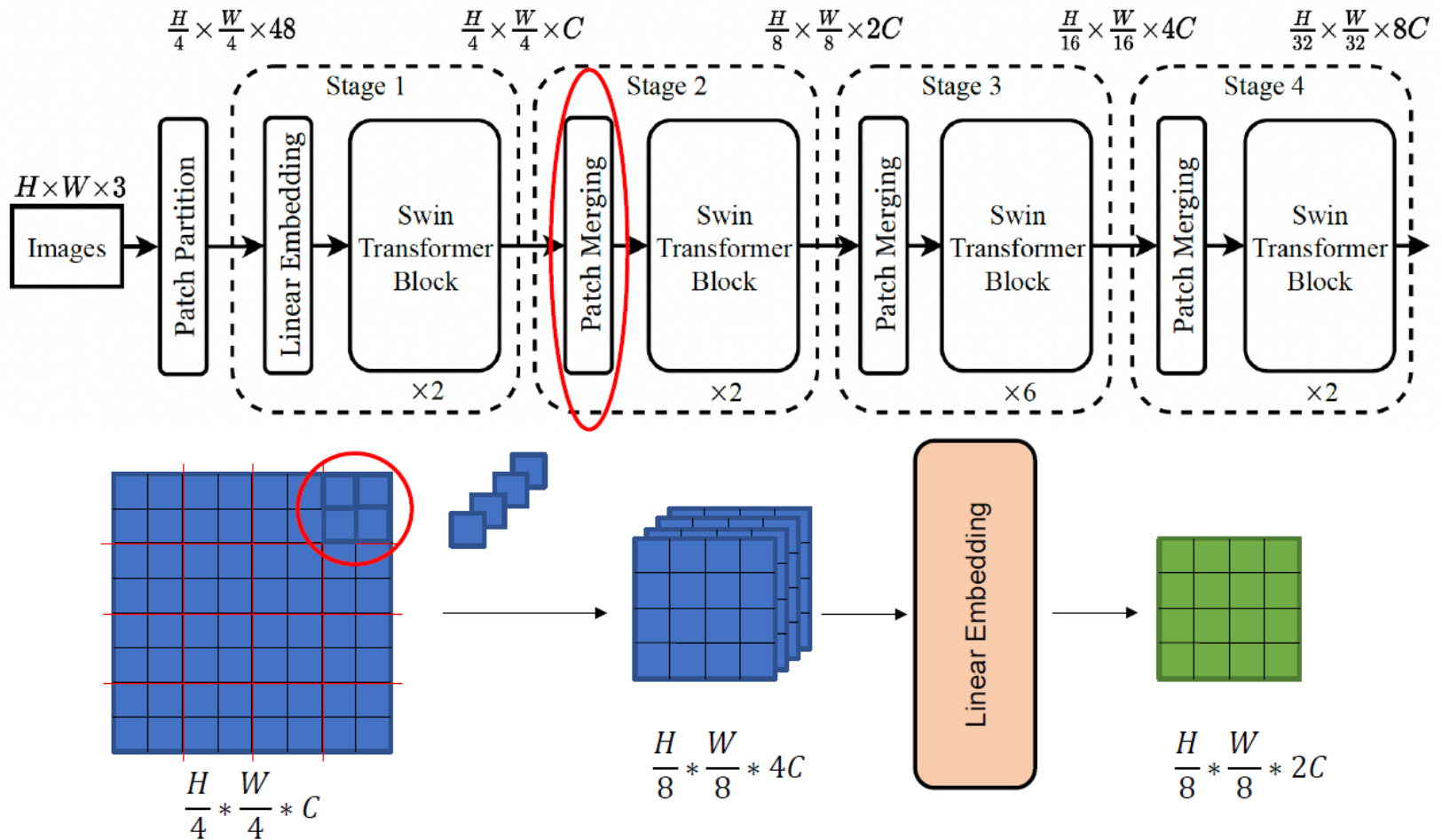


Swin Architecture (5/6)

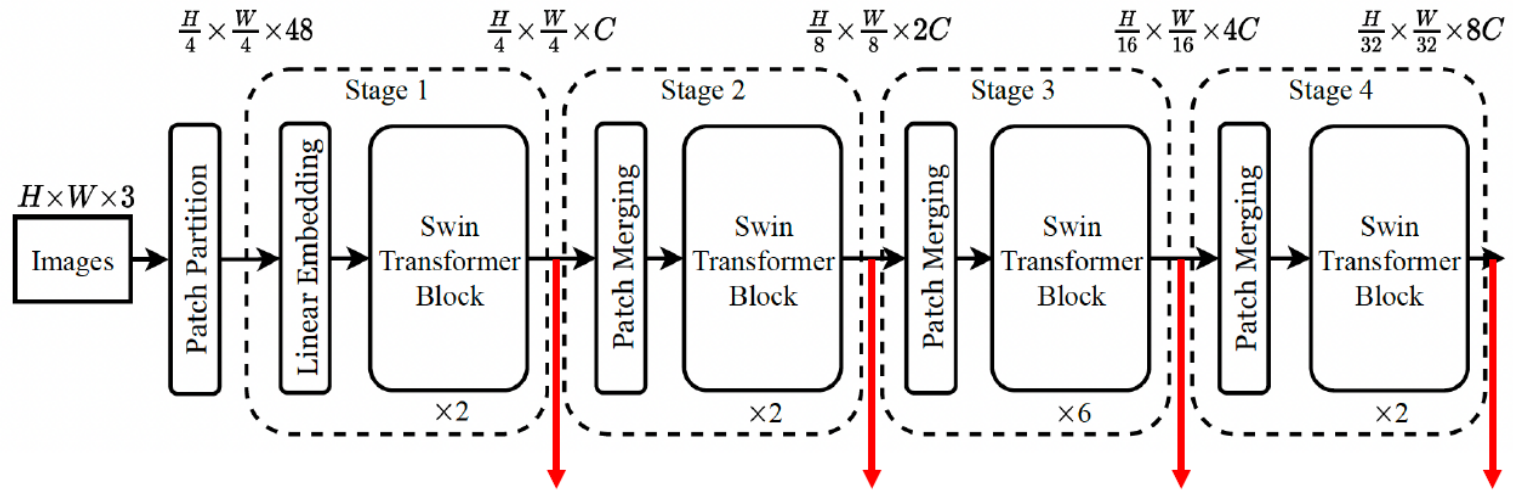
- Shifted Window MSA (SW-MSA)
 - How to perform across different windows?
 - Shift the window by half the window size ($M/2$)
 - Additional problem?
9 instead of 4 windows, plus padding?
 - Introduce *cycle shift*



Swin Architecture (6/6)



Swin Transformer Output



- **Image classification:** Use the last output
- **Object detection and Image segmentation:** Use the output of all the stages

Swin Transformer Results

- Image classification

(a) Regular ImageNet-1K trained models

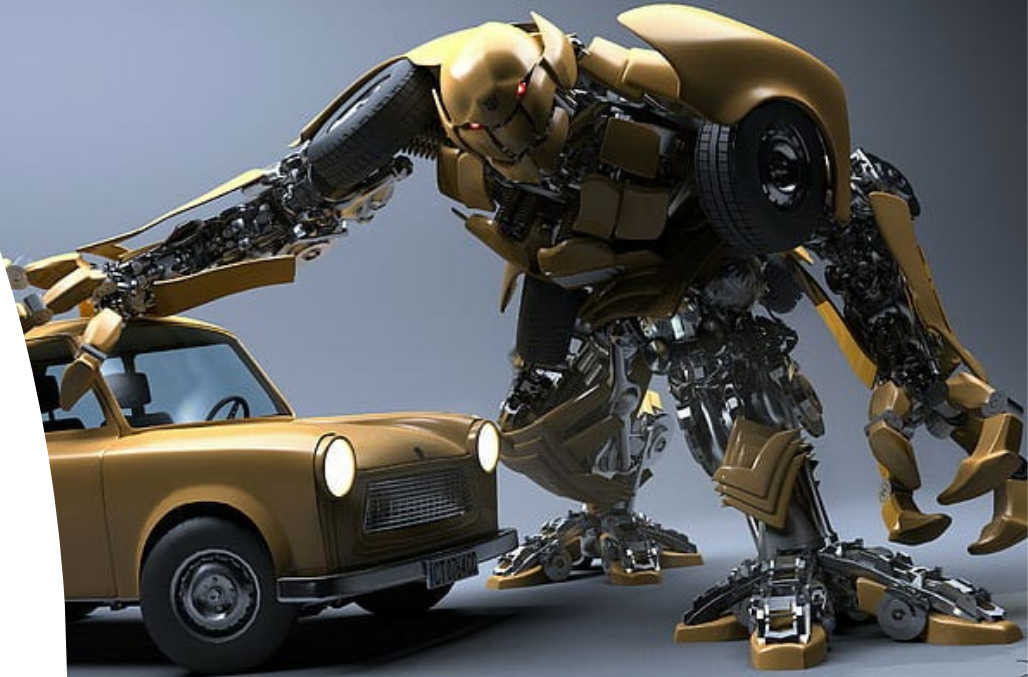
method	image size	#param.	FLOPs	throughput (image / s)	ImageNet top-1 acc.
RegNetY-4G [48]	224 ²	21M	4.0G	1156.7	80.0
RegNetY-8G [48]	224 ²	39M	8.0G	591.6	81.7
RegNetY-16G [48]	224 ²	84M	16.0G	334.7	82.9
EffNet-B3 [58]	300 ²	12M	1.8G	732.1	81.6
EffNet-B4 [58]	380 ²	19M	4.2G	349.4	82.9 ←
EffNet-B5 [58]	456 ²	30M	9.9G	169.1	83.6
EffNet-B6 [58]	528 ²	43M	19.0G	96.9	84.0
EffNet-B7 [58]	600 ²	66M	37.0G	55.1	84.3
ViT-B/16 [20]	384 ²	86M	55.4G	85.9	77.9 ←
ViT-L/16 [20]	384 ²	307M	190.7G	27.3	76.5
DeiT-S [63]	224 ²	22M	4.6G	940.4	79.8
DeiT-B [63]	224 ²	86M	17.5G	292.3	81.8
DeiT-B [63]	384 ²	86M	55.4G	85.9	83.1 ←
Swin-T	224 ²	29M	4.5G	755.2	81.3
Swin-S	224 ²	50M	8.7G	436.9	83.0
Swin-B	224 ²	88M	15.4G	278.1	83.5
Swin-B	384 ²	88M	47.0G	84.7	84.5 ←

(b) ImageNet-22K pre-trained models

method	image size	#param.	FLOPs	throughput (image / s)	ImageNet top-1 acc.
R-101x3 [38]	384 ²	388M	204.6G	-	84.4
R-152x4 [38]	480 ²	937M	840.5G	-	85.4
ViT-B/16 [20]	384 ²	86M	55.4G	85.9	84.0
ViT-L/16 [20]	384 ²	307M	190.7G	27.3	85.2
Swin-B	224 ²	88M	15.4G	278.1	85.2
Swin-B	384 ²	88M	47.0G	84.7	86.4
Swin-L	384 ²	197M	103.9G	42.1	87.3

What to Be Covered?

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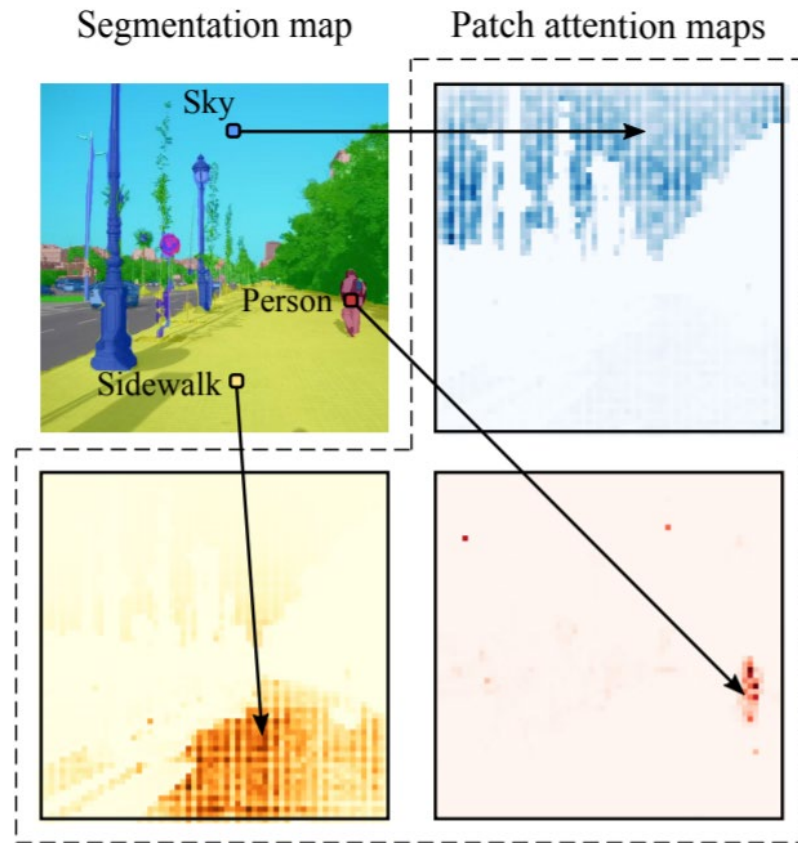


<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>



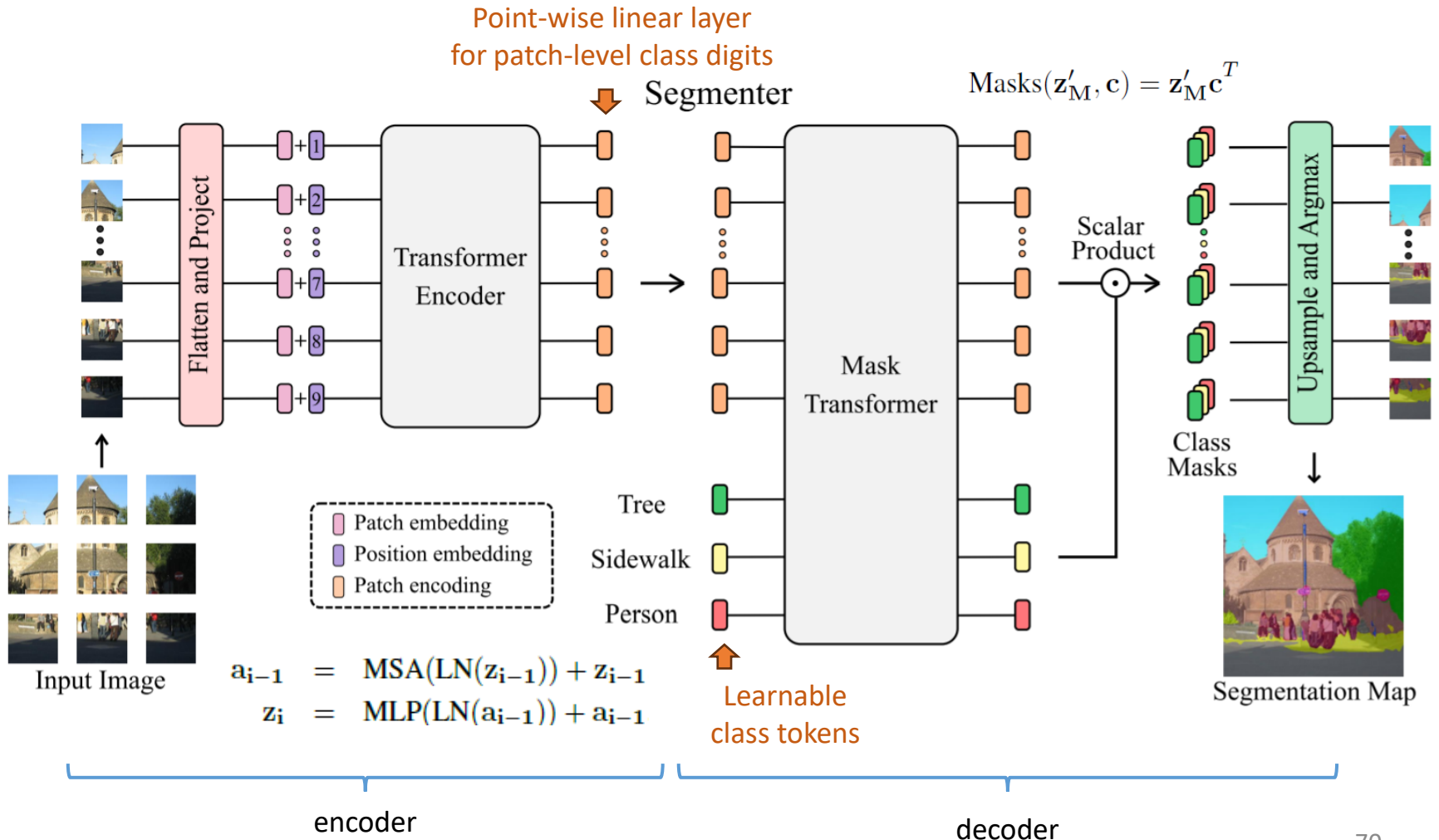
Transformer for Semantic Segmentation

- Segmentation via attention



Transformer for Semantic Segmentation (cont'd)

- Inspired by object detection models of DETR (ECCV'20), etc.



Example Visualization



(a) Patch size 32×32

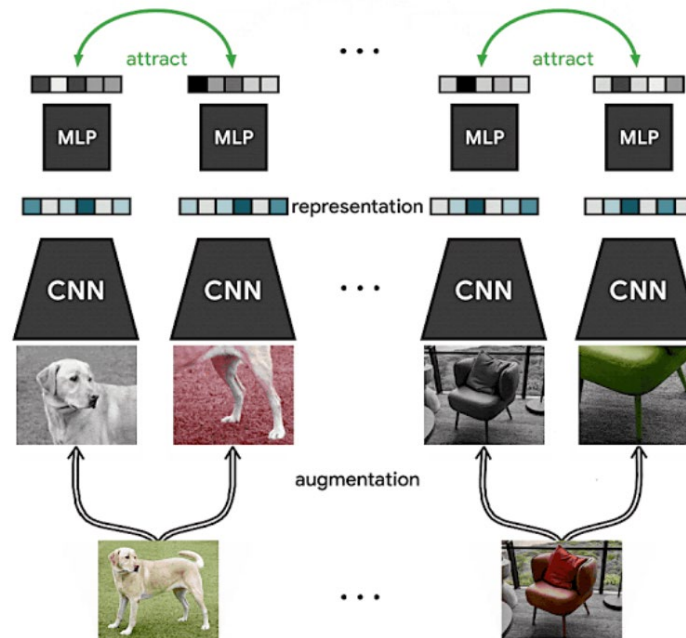
(b) Patch size 16×16

(c) Patch size 8×8

(d) Ground Truth

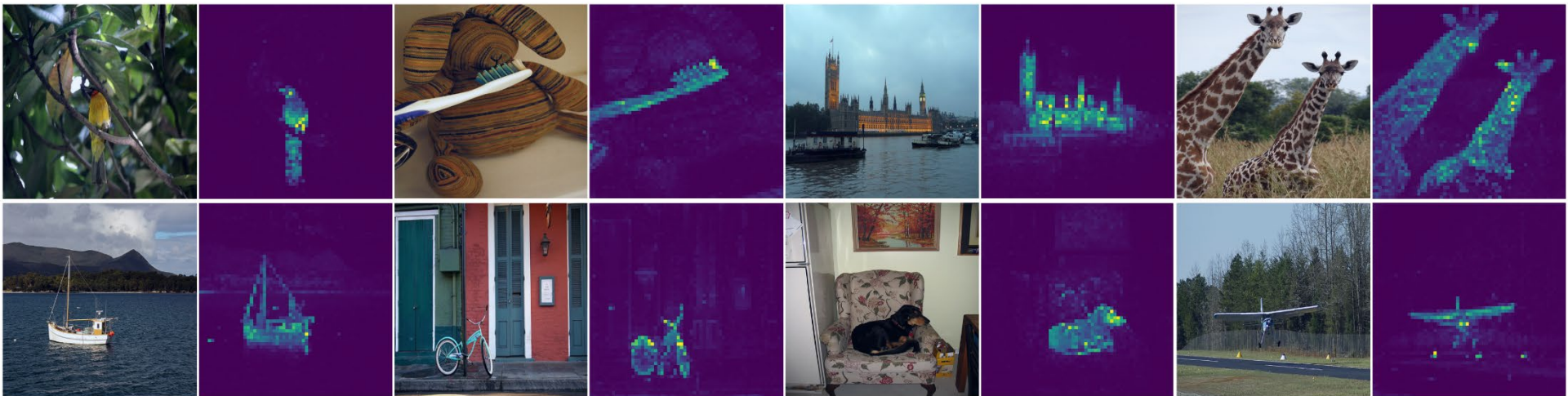
Self-Supervised Learning (SSL)

- Learning (somewhat) discriminative representations from **unlabeled** data
- Create self-supervised tasks via **data augmentation**
- Recall: SSL for CNN using image data:



Self-Supervised Learning (SSL) for Transformer (cont'd)

- SSL ViT/DeiT features contain info about semantic segmentation
- The above features are excellent k-NN classifiers
- By visualizing self-attention of the CLS token on different heads of the last layer:



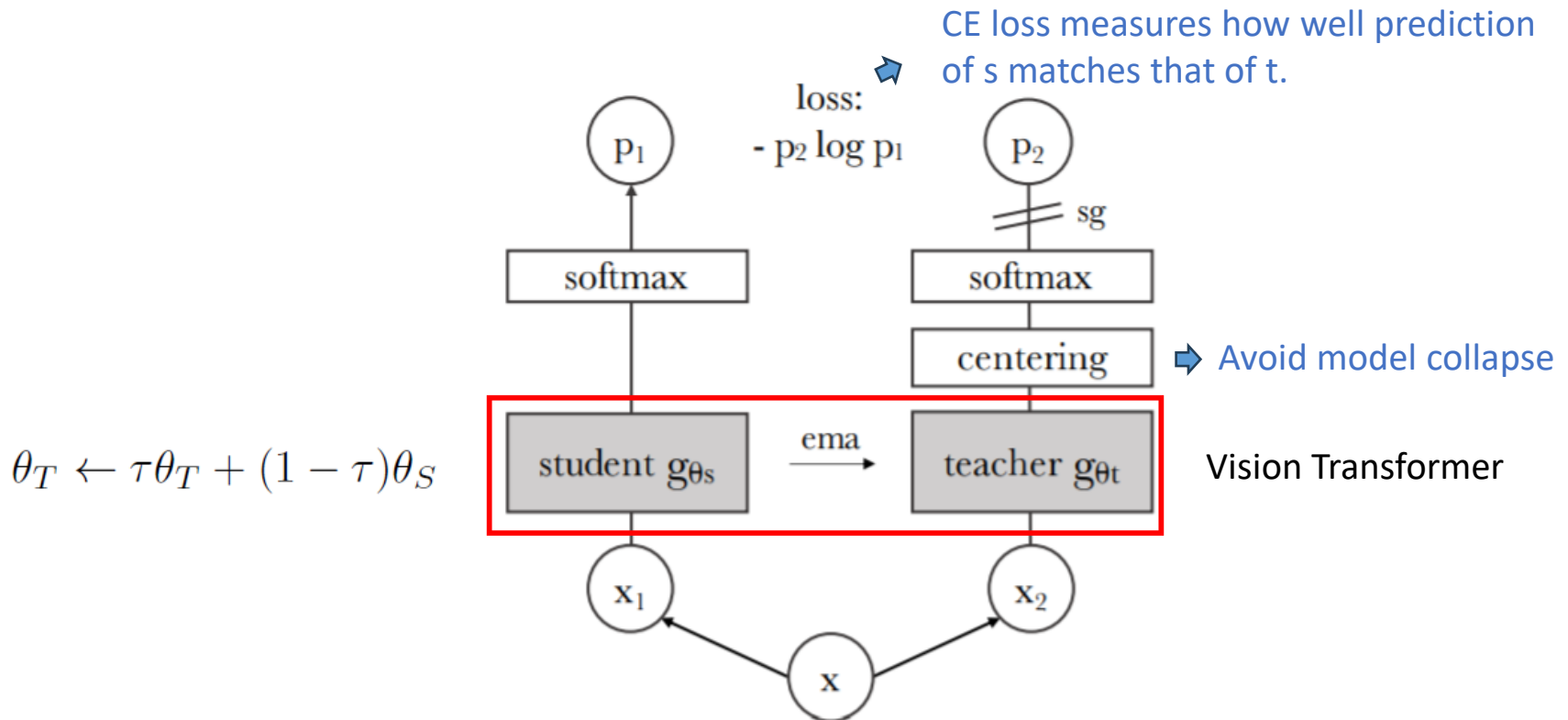
Self-Supervised Learning (SSL) for Transformer (cont'd)

- Illustration of the proposed idea:



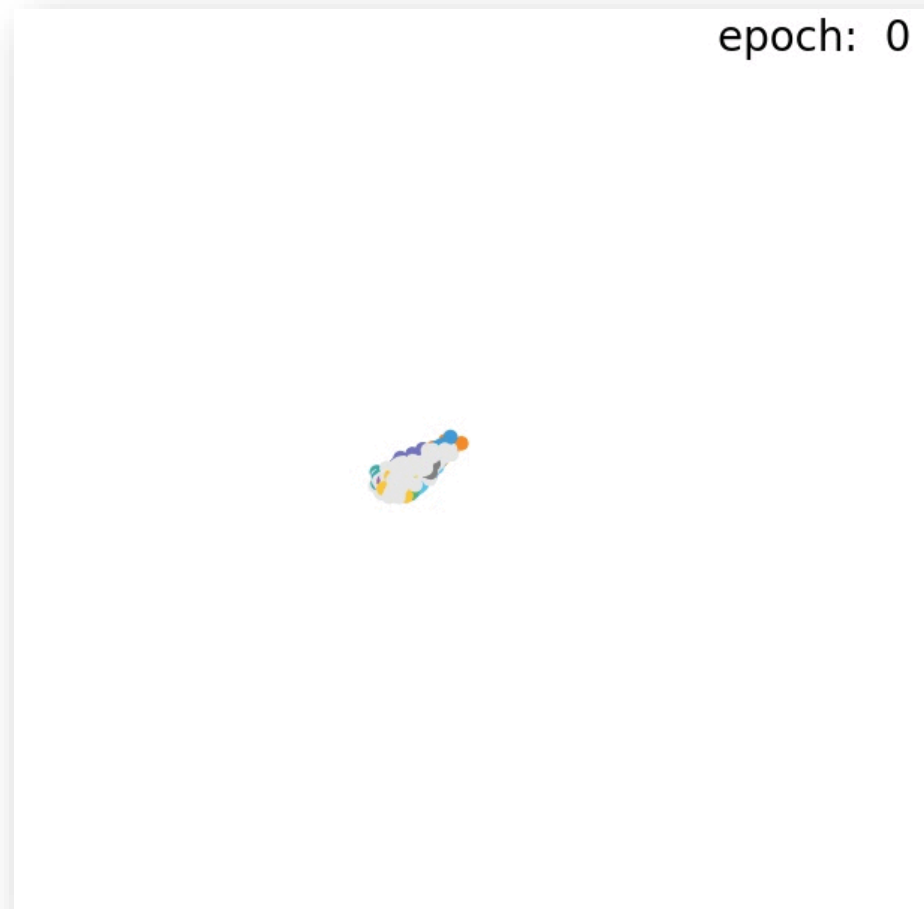
Self-Distillation with No Labels (DINO)

- Vision Transformer + **SSL**
- Maximize the prediction similarity btw input & its augmented version
- Idea: a **teacher-student** network (i.e., knowledge distillation) & **EMA** (exponential moving average)



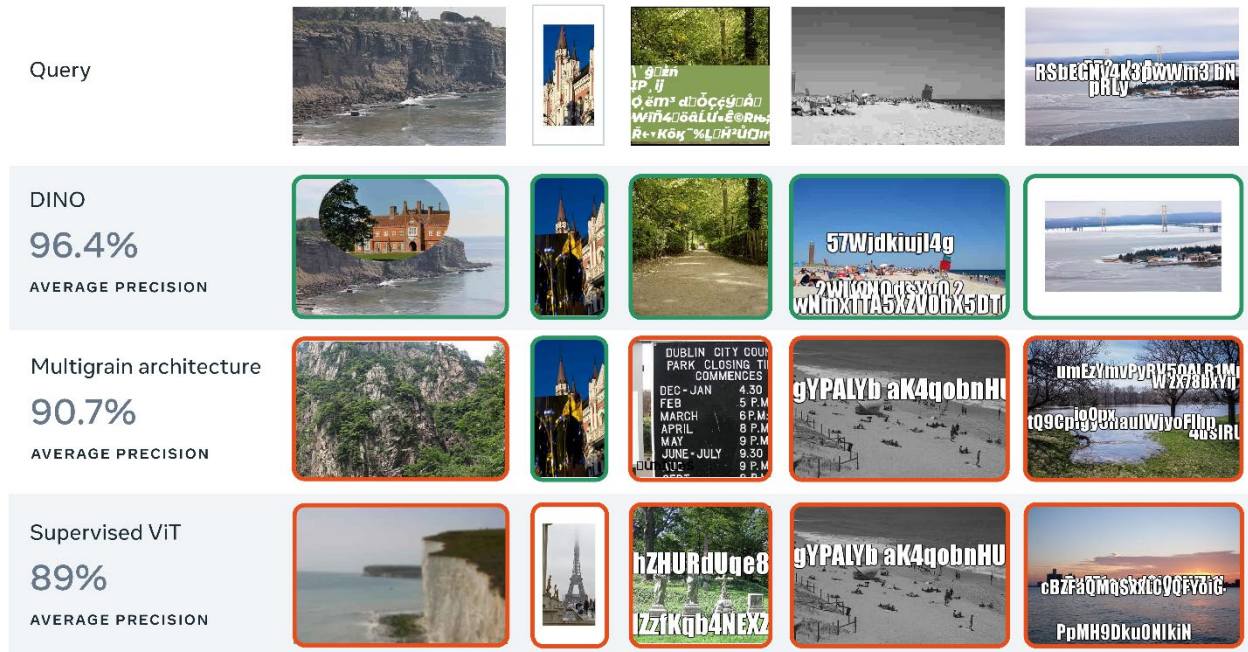
Self-Supervised Learning (SSL) for Transformer (cont'd)

- Illustration of the learned features:



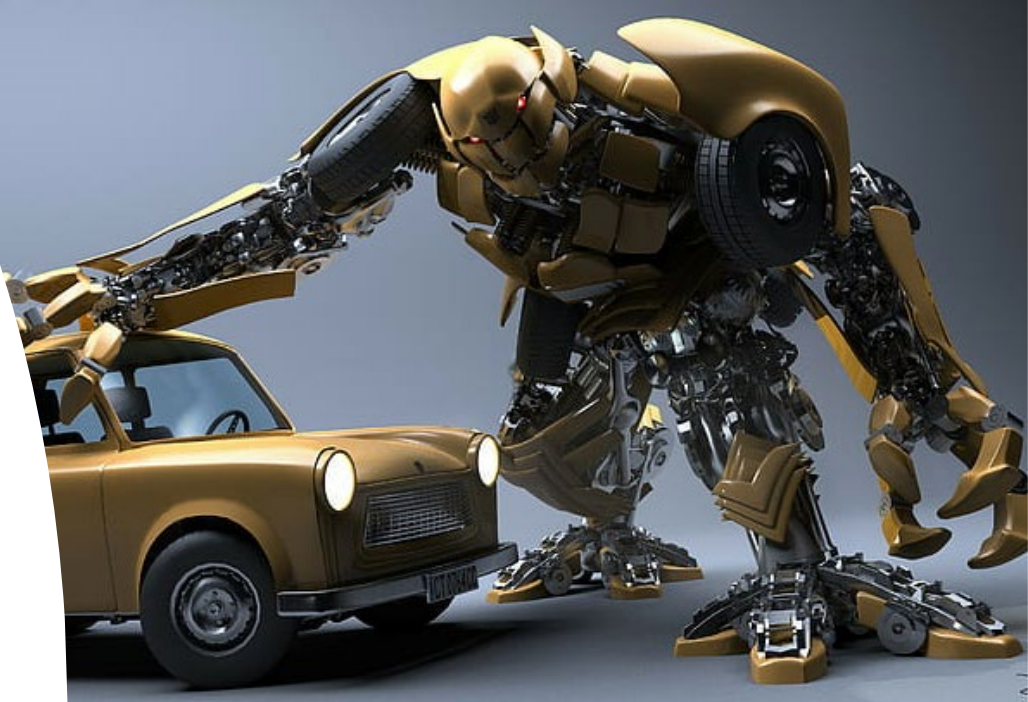
Highlights & Example Results of DINO

- Learning discriminative representations from **unlabeled** data
- Create self-supervised tasks via **data augmentation**



What to Be Covered?

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<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>



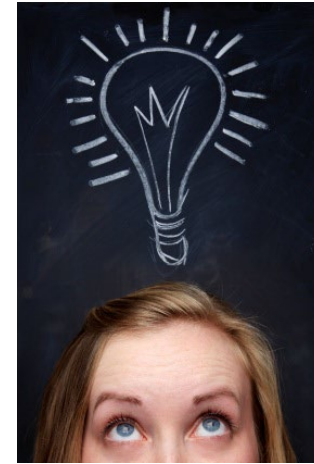
A picture is worth a thousand words...




- Thing
- Airplane
- Flying airplane in blue sky
- A Lufthansa MD-11 cargo plane in blue sky flying over mountainous terrain

Vision and Language

- Image-to-Text: Image Captioning
- Text-to-Image: Image Manipulation
- Composed Image Retrieval
- Visual Question Answering (VQA)
- VQA + Natural Language Explanation and many more...

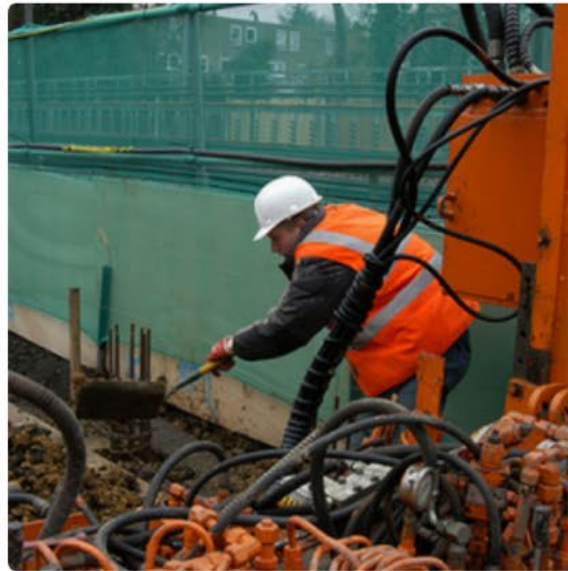


- E.g., Question: Is the xiaolongbao fresh at **Din Tai Fung**? 
Answer: Yes.
Explanation: Because the xiaolongbao is made to order at the restaurant.



Visual Input

Image Captioning



Applications: semantics understanding, image-text retrieval, medical AI, etc.
How does it help GenAI? (e.g., text-to-image generation)

Image Captioning (cont'd)

- *Image Captioning: Transforming Objects into Words*, Yahoo Research, NeurIPS 2019
- Motivation: mid-level image understanding for captioning
- Framework:

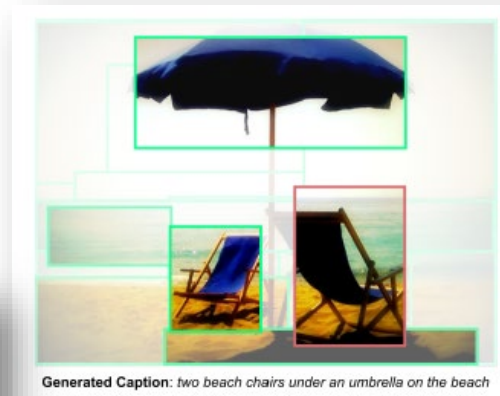
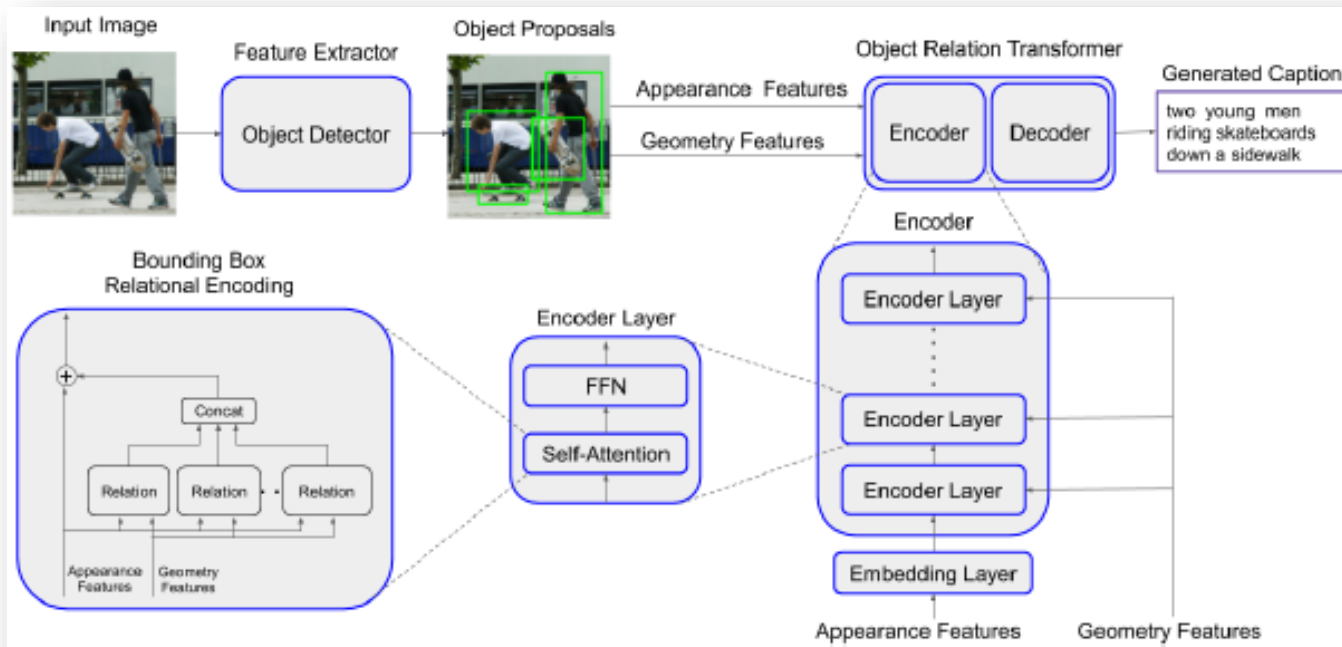
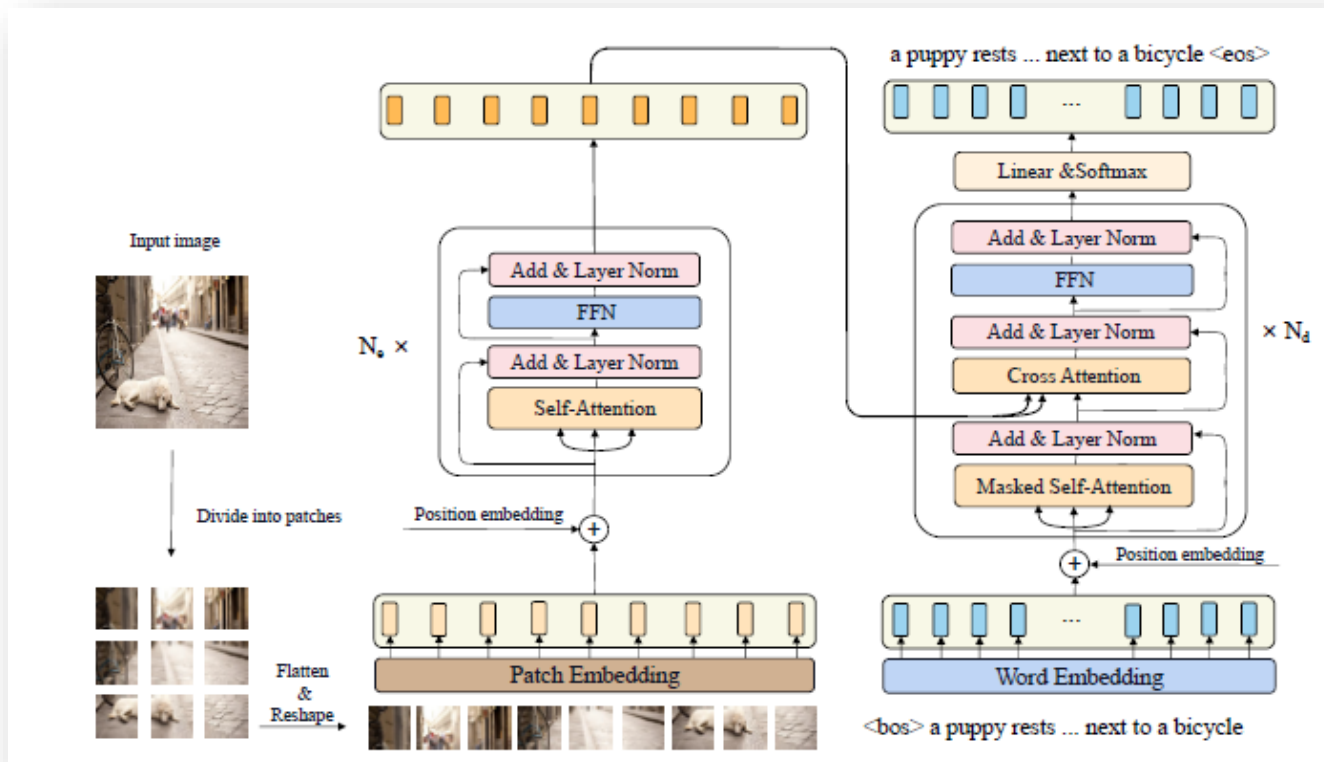


Image Captioning (cont'd)

- **Caption Transformer (CPTR)** -
CPTR: Full Transformer Network for Image Captioning, CAS, arxiv 2021
- Motivation: patch translation for image captioning



Remarks & Extension:

- Training a captioning model requires a large amount of image-caption data pairs
- Image captioning in the wild:
 - Describing images with novel content during inference
 - For example, COCO dataset has 80 object categories.
How to generalize captioning models to Open Image (w/ 600 classes)?
- Domain-specific image captioning:
 - From general-purpose captioning to task-oriented captioning -> finetuning?

COCO (80 classes)



Two pug **dogs** sitting on a **bench** at the beach.



A **child** is sitting on a **couch** and holding an **umbrella**.

Open Images (600 classes)



goat



artichoke



accordion



dolphin



waffle



balloon

Image Captioning *in the Wild*

- **Novel Object Captioning (NOC)**

- Training with **captioned** and **uncaptioned** data

captioned data: labeled image data with captions (e.g., COCO)

uncaptioned data: only labels of novel classes available (e.g., Open Images)

COCO (80 classes)



Two pug **dogs** sitting on a **bench** at the beach.



A **child** is sitting on a **couch** and holding an **umbrella**.

We have image-caption data

Open Images (600 classes)



goat



artichoke



accordion



dolphin



waffle

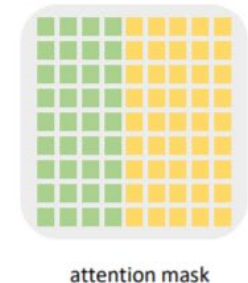
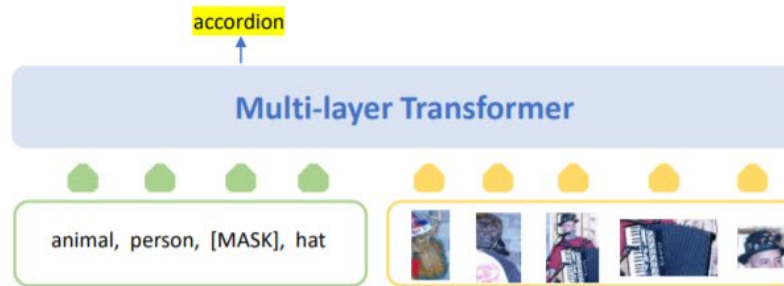
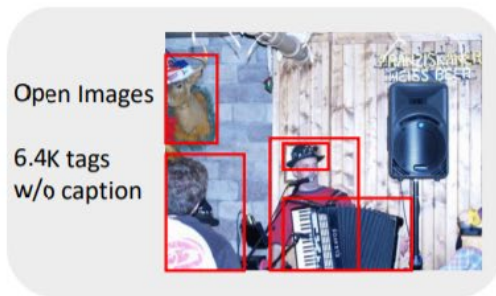


balloon

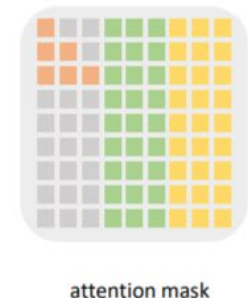
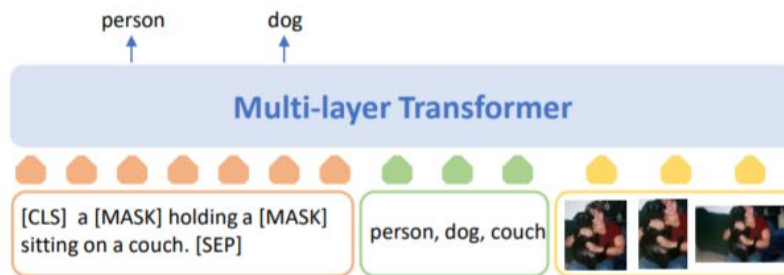
Data with labels for novel objects but w/o captions

Novel Object Captioning (cont'd)

- "Paraphrasing Is All You Need for Novel Object Captioning", NTU, NeurIPS'22
- **VIVO: Visual Vocabulary Pre-Training for Novel Object Captioning**, Microsoft, AAAI'21
 - Pre-training: **uncaptioned image data** containing **novel class labels**
 - Fine-tuning: (a limited amount of) **image data** with **class labels** & **descriptions**



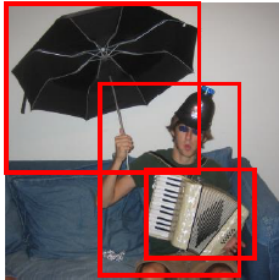
(a) Pre-training: learn visual vocabulary



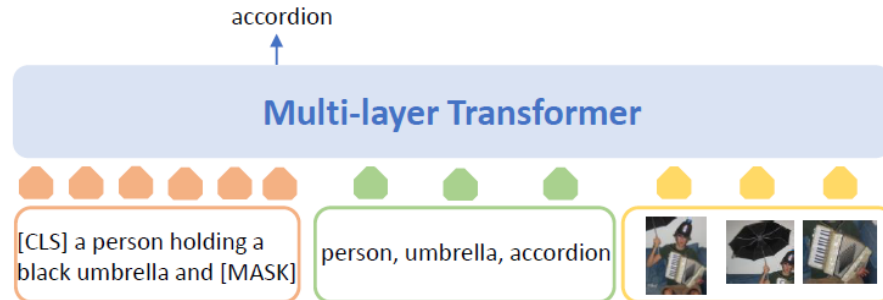
(b) Fine-tuning: learn sentence description

Novel Object Captioning (cont'd)

- **VIVO: Visual Vocabulary Pre-Training for Novel Object Caption Captioning (AAAI'21)**
 - Pre-training: uncaptioned image data containing novel class labels
 - Fine-tuning: (a limited amount of) image data with class labels & descriptions
 - Inference:
 - Inputs: image (with **region features** & **tags**) & [CLS]
 - Output: **caption**



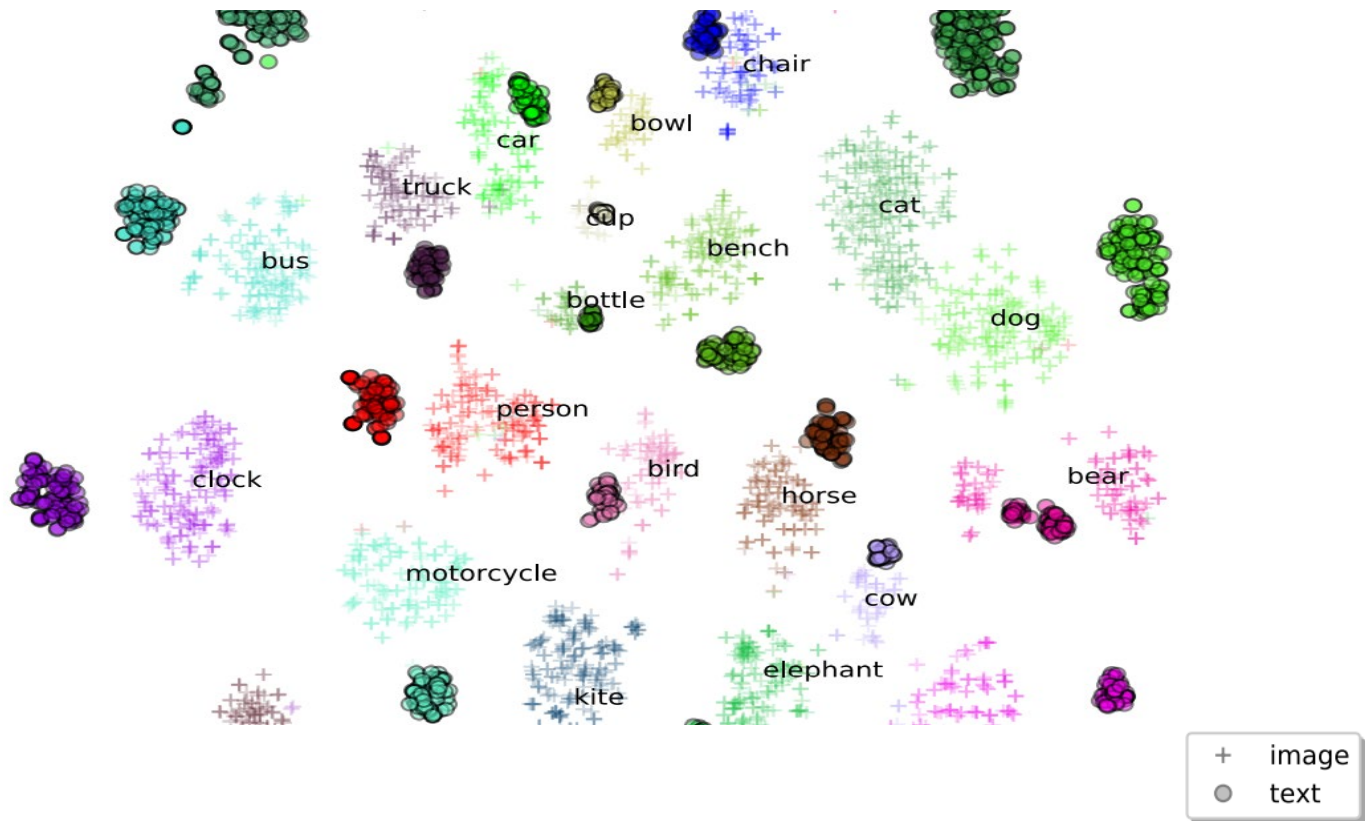
(c) Inference: novel object captioning



A person holding a black umbrella and **accordion**.

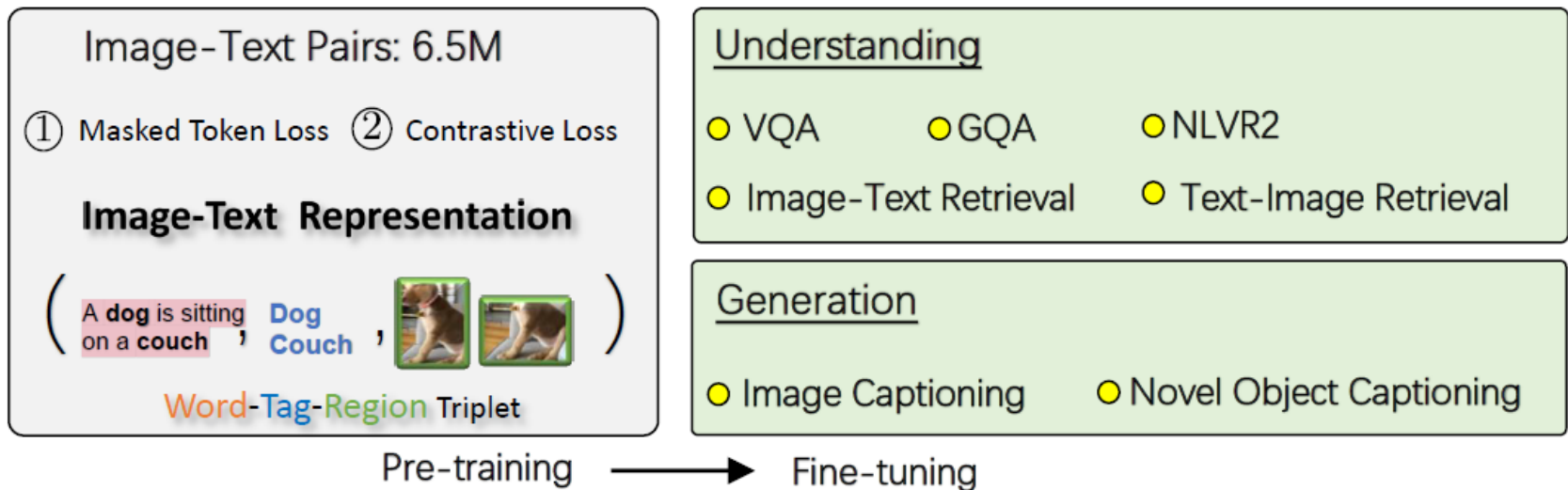
Novel Object Captioning (cont'd)

- VIVO: Visual Vocabulary Pre-Training for Novel Object Caption Captioning
 - Visualization image-text alignment



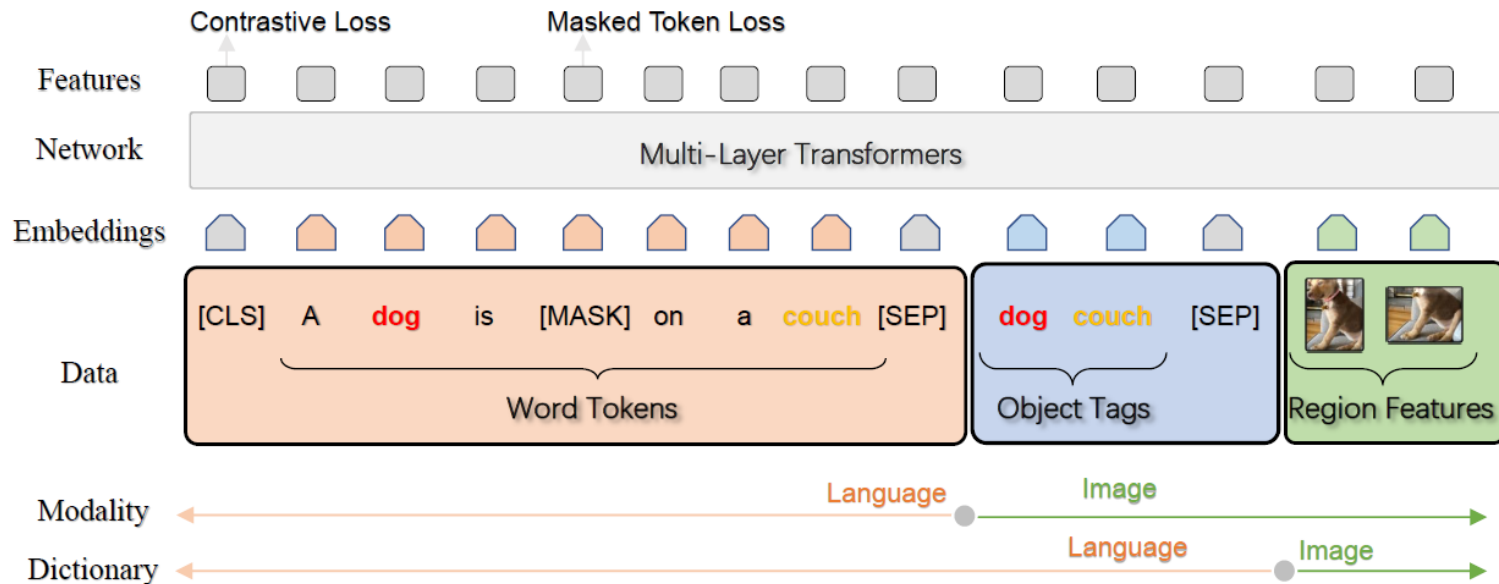
Beyond Image Captioning: Unified Vision & Language Model

- **Oscar: Object-Semantics Aligned Pre-training for Vision-Language Tasks**, Microsoft, ECCV'20
 - Training data: triplets of **caption-tag-region**
 - Objectives:
 1. Masked token loss for **words** & **tags**
 2. Contrastive loss **tags** and others
 - Fine-tuning: 5 vision & language tasks (VQA, image-text retrieval, image captioning, NOC, etc.)



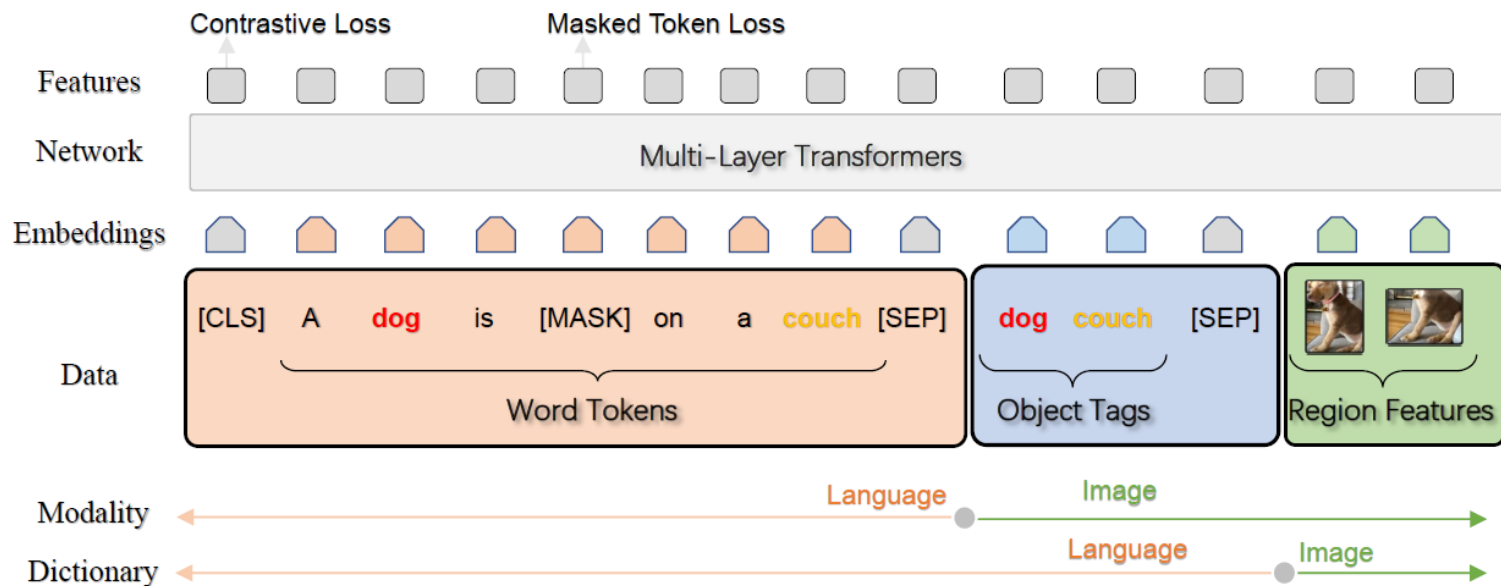
Semantics-Aligned Pre-training for V+L Tasks

- **Oscar: Object-Semantics Aligned Pre-training for Vision-Language Tasks**
 - Training:
 - Inputs: triplets of **caption-tag-region**
 - Objectives: Masked token loss for **words** & **tags** + Contrastive loss **tags** and others
 - Fine-tuning:
5 vision & language tasks (image captioning, NOC, VQA, image-text retrieval, etc.)

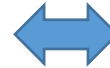


Semantics-Aligned Pre-training for V+L Tasks (cont'd)

- **Oscar: Object-Semantics Aligned Pre-training for Vision-Language Tasks (ECCV'20)**
 - Training:
 - Inputs: triplets of word-tag-region
 - Objectives: Masked token loss for words & tags + Contrastive loss tags and others
 - Fine-tuning:
 - 5 vision & language tasks (image captioning, NOC, VQA, image-text retrieval, etc.)



Holding an apple



or



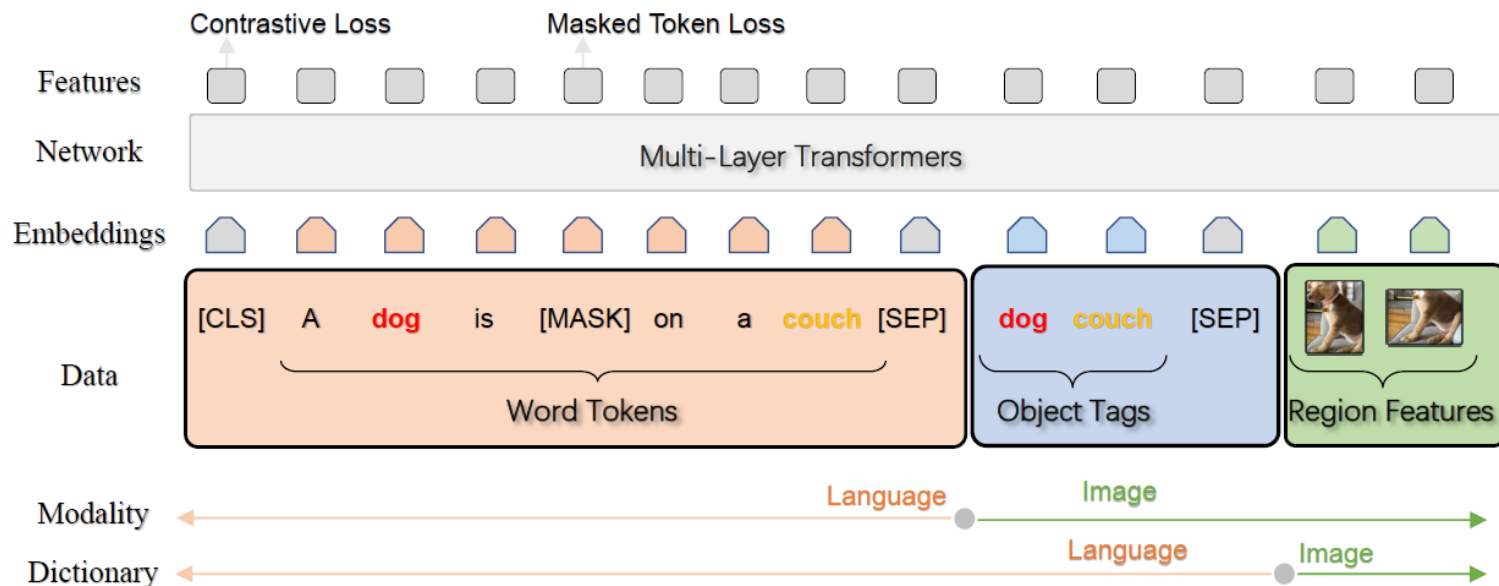
- **Oscar (cont'd)**

- Fine-tuning:

5 vision & language tasks (image captioning, NOC, VQA, image-text retrieval, etc.)

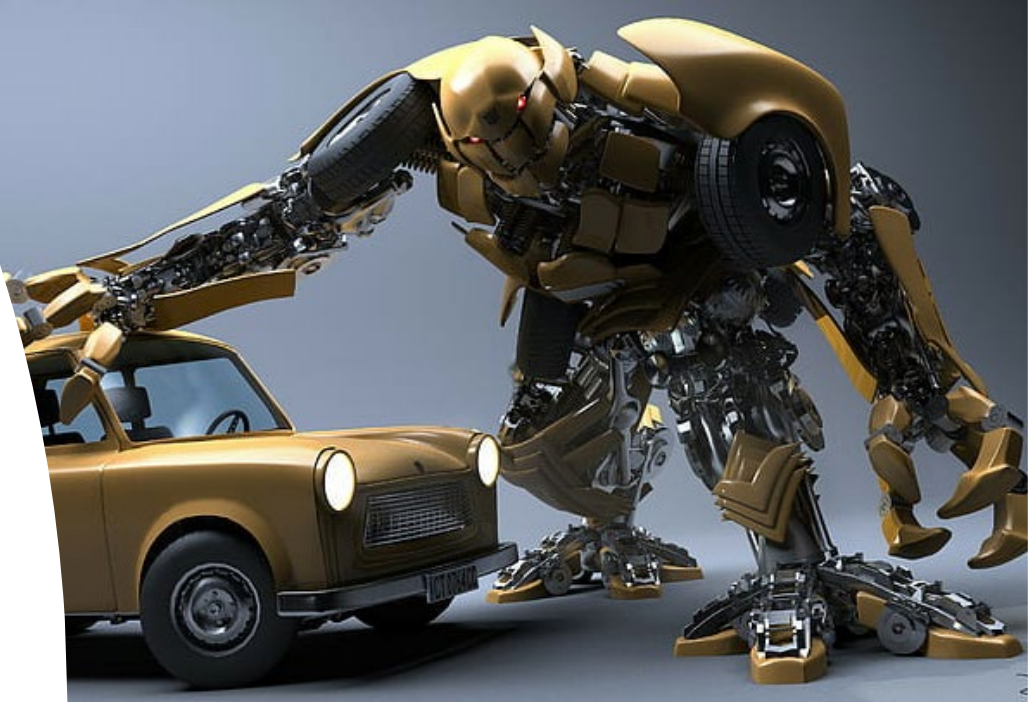
- Take **image-text retrieval** as an example

- Training: aligned/mis-aligned **image-text** pairs as positive/negative input pairs, with **[CLS]** for binary classification (1/0)
- Inference: for either image or text retrieval, calculate classification score of **[CLS]** for the input query



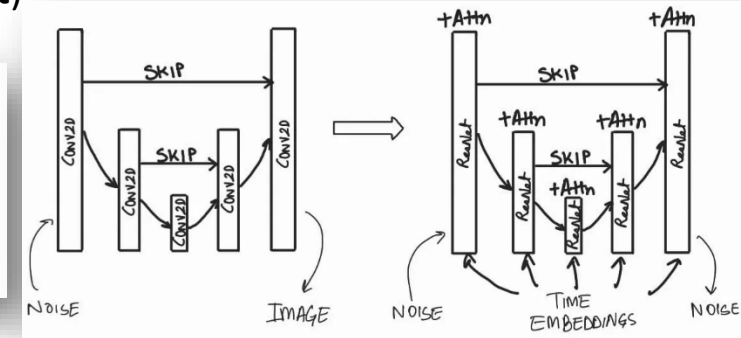
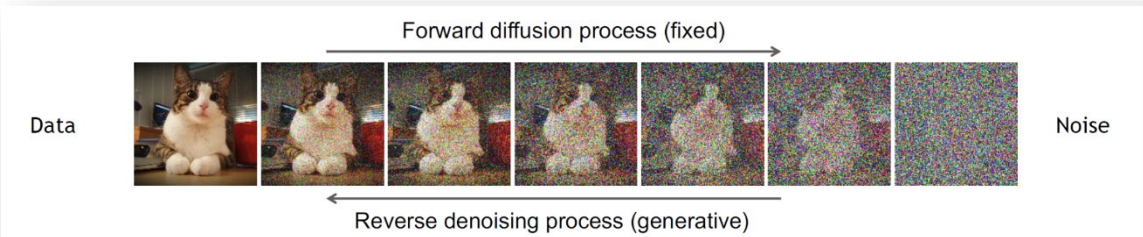
What to Be Covered?

- Transformer
 - Self-Attention
 - Cross-Attention
 - Positional Embedding
- Transformer for Visual Analysis
 - Vision Transformer (ViT)
 - DeiT & Swin Transformer
 - SSL & Beyond
- Vision-Language Model
 - Image2Text
 - Text2Image (v)
 - Image-text models



Recap: Denoising Diffusion Probabilistic Models (DDPM)

- Training:
 - Forward/reverse diffusion & denoising process
 - learns to generate/restore data by denoising
 - typically implemented via a **conditional U-net**



- Pseudo Code for Training/Inference (Sampling):

Algorithm 1 Training

- 1: **repeat**
- 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3: $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4: $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on

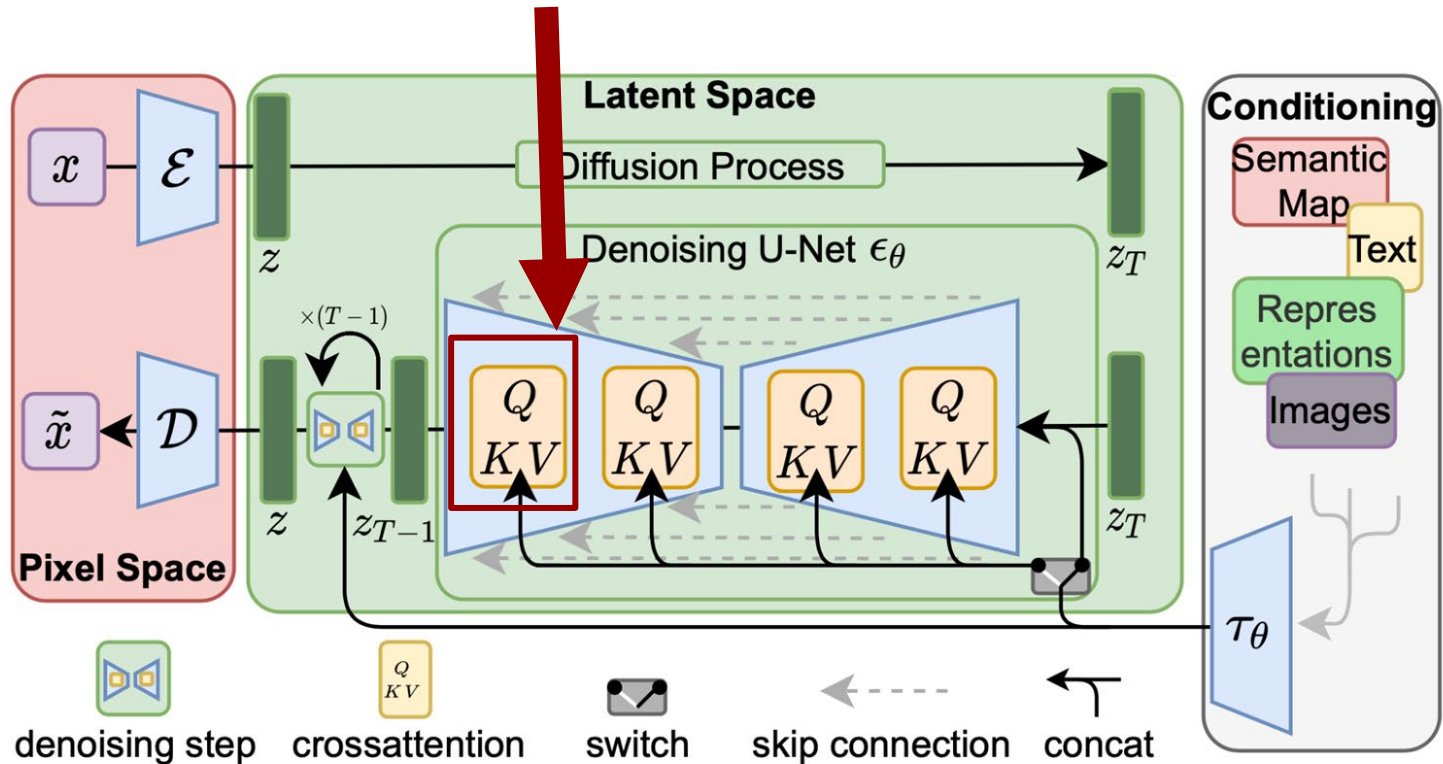
$$\nabla_{\theta} \|\epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|^2$$
- 6: **until** converged

Algorithm 2 Sampling

- 1: $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for** $t = T, \dots, 1$ **do**
- 3: $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 4: $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left(\mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: **end for**
- 6: **return** \mathbf{x}_0

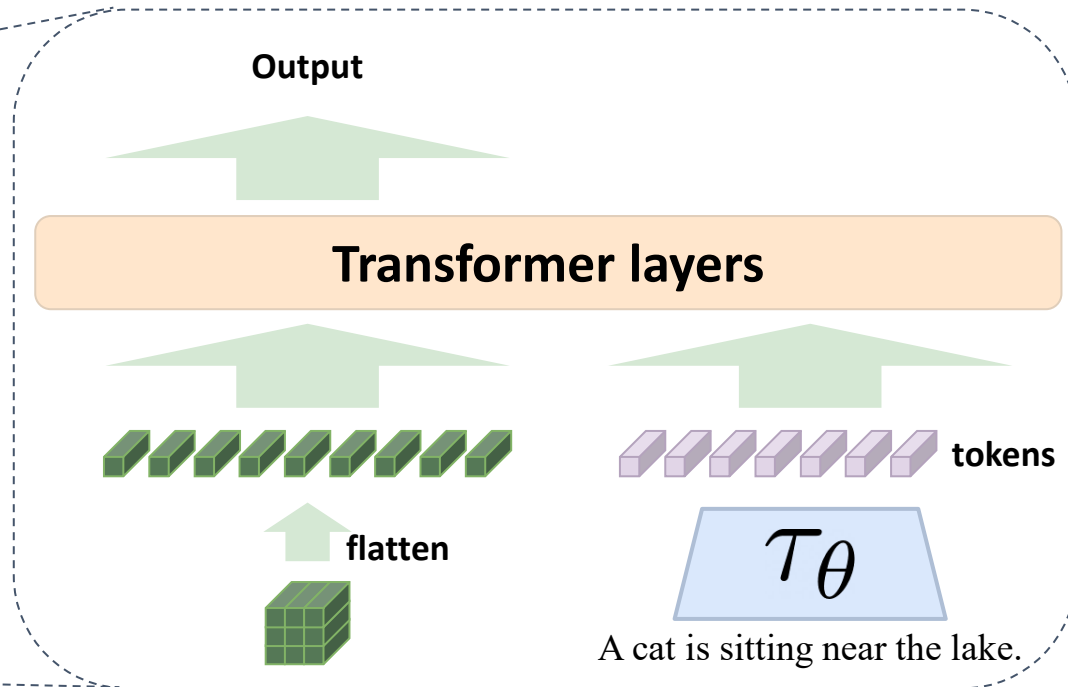
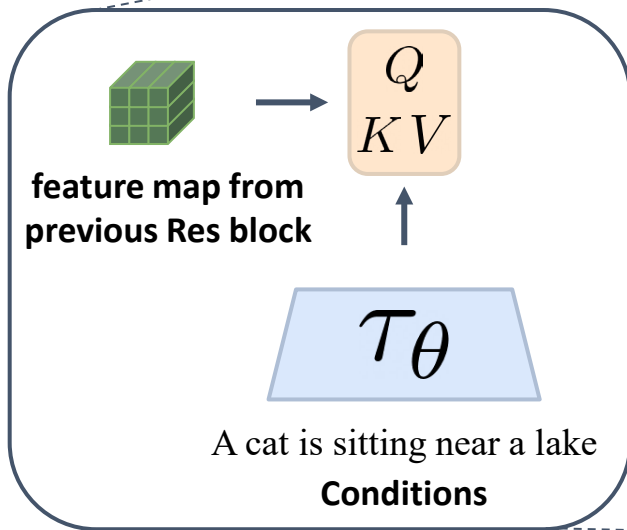
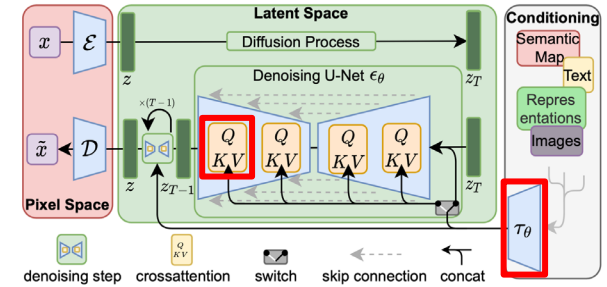
From Unconditional to Conditional Latent Diffusion Model

- Latent diffusion model (LDM), CVPR'22: DDPM in latent space
- Condition mechanism: cross-attention at transformer layers



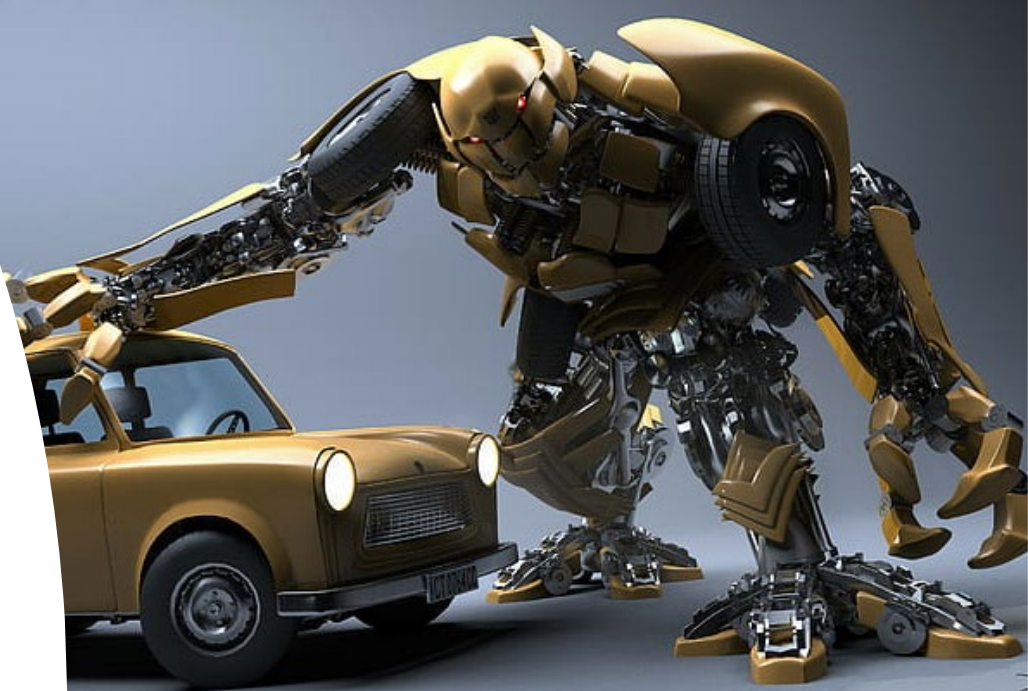
From Unconditional to Conditional Latent Diffusion Model (cont'd)

- **Condition mechanism:** using transformer layers
- \mathcal{T}_θ is the embedding module for conditions e.g., BERT, CLIP text embedding, etc.



What to Be Covered?

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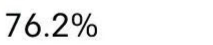


CLIP: Contrastive Language-Image Pretraining

- OpenAI, *Learning Transferable Visual Models From Natural Language Supervision*, NeurIPS WS 2021 (w/ 9000+ citations)
- Why DL/CNN not good enough?
 - Require annotated data for training image classification
 - Domain gap between closed-world and open-world domain data
 - Lack of ability for zero-shot classification



let



76.2%



geNet V2



64.3%



ImageNet Rendition



37.7%



ObjectNet



32.6%



ImageNet Sketch



25.2%



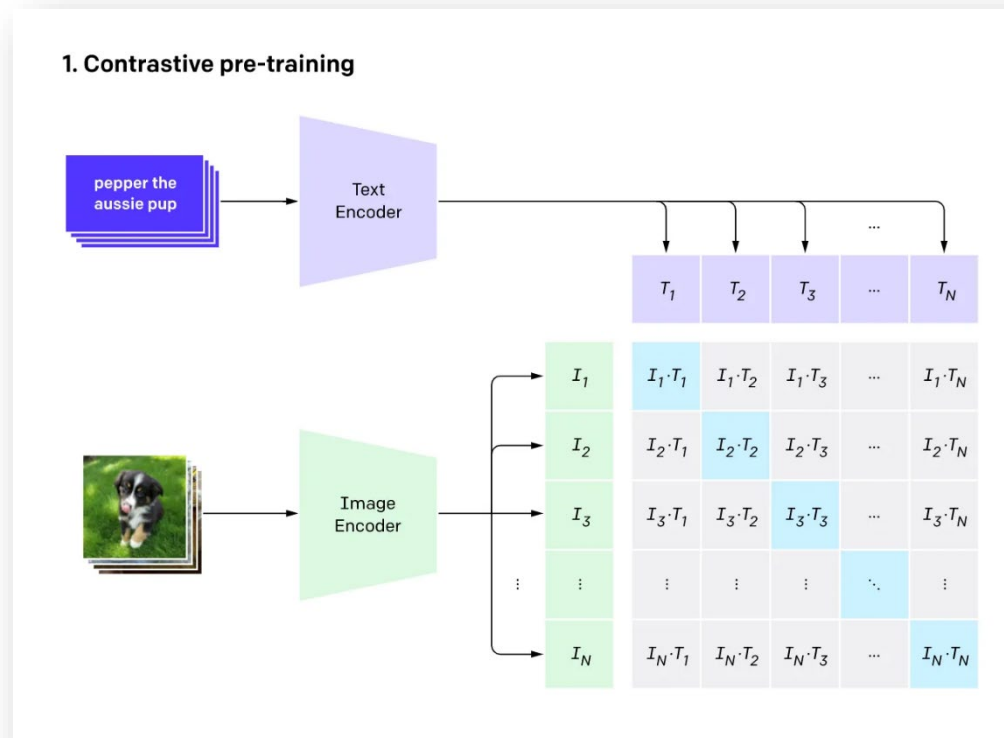
Not Adversarial



2.7%

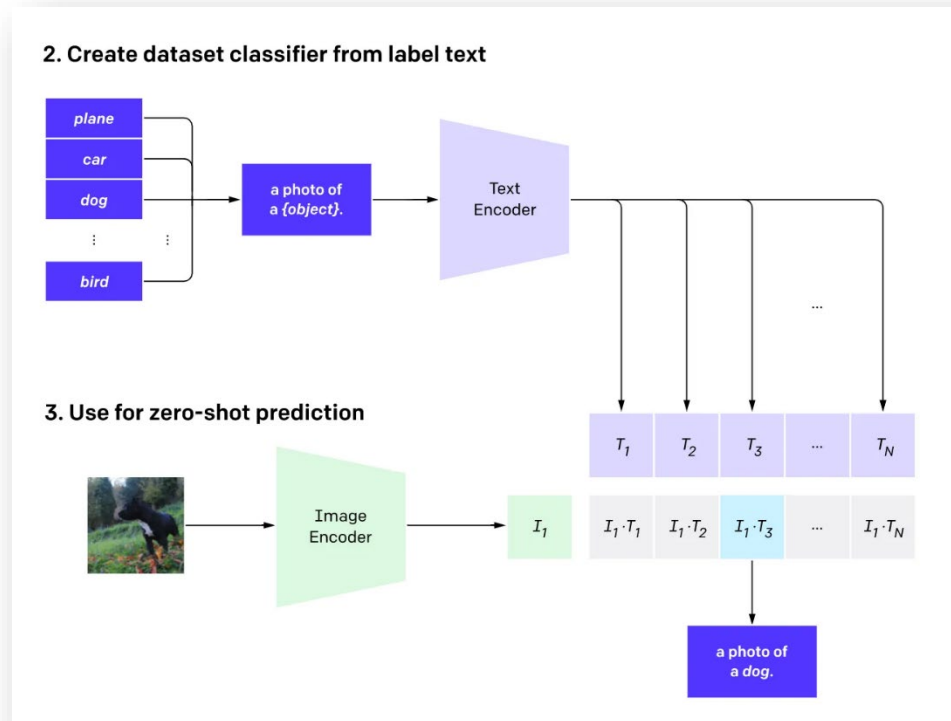
CLIP (cont'd)

- Why DL/CNN not good enough?
 - Require annotated data for training image classification
 - Domain gap between closed-world and open-world domain data
 - Lack of ability for zero-shot classification
- Motivation/Objectives
 - Cross-domain contrastive learning from large-scale image-language data



CLIP (cont'd)

- (Zero-shot) Inference:

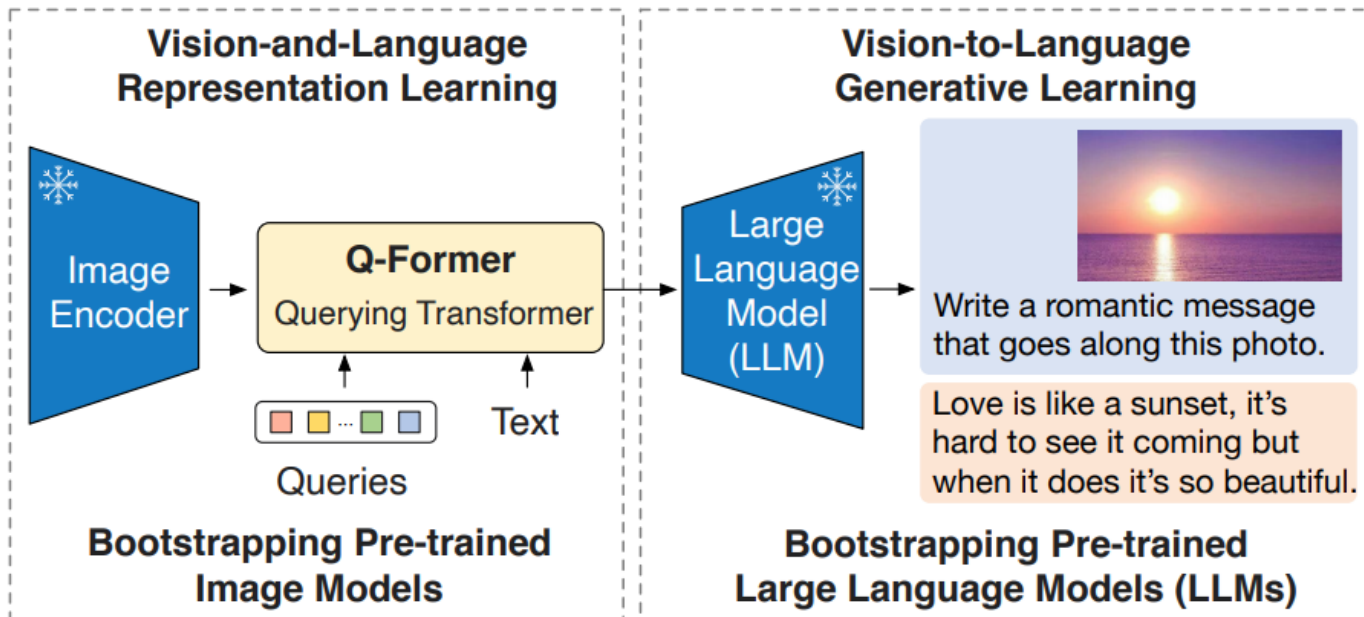


- **Limitation**

- Fine-grained description ability
- Any examples?

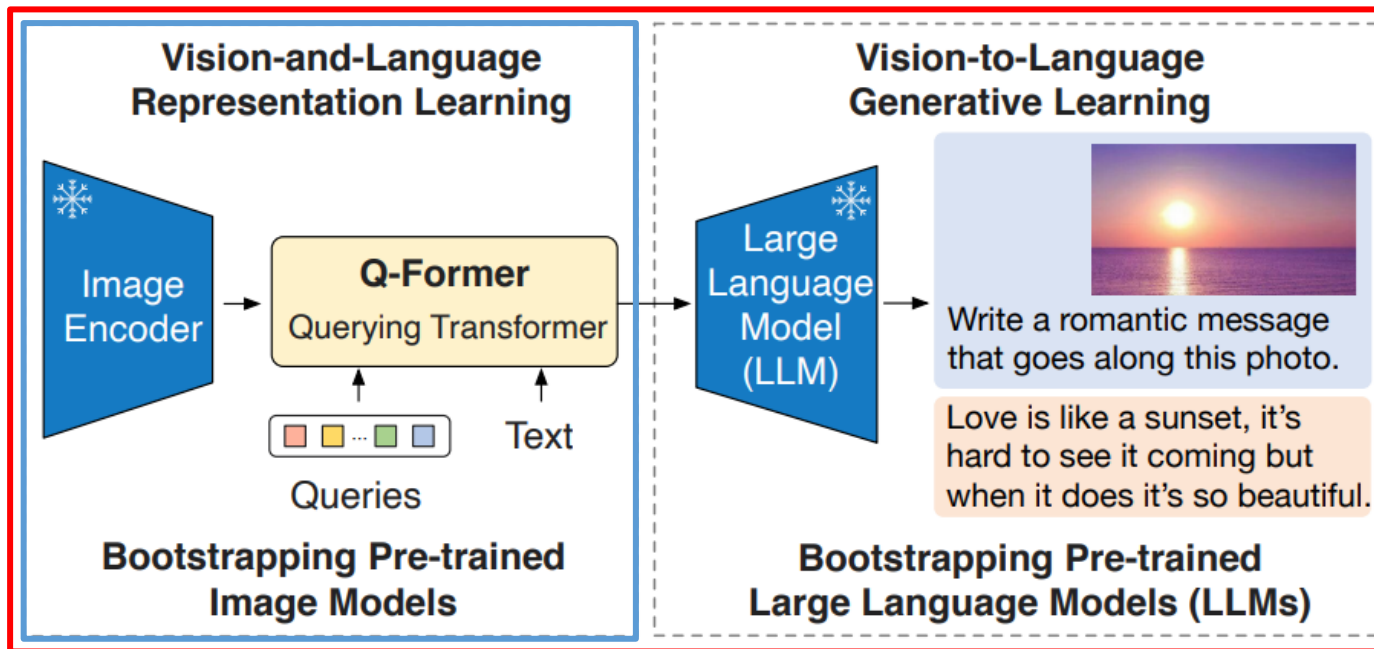
BLIP-2 (ICML'23)

- **BLIP:**
Bootstrapping Language-Image Pre-training for Unified Vision-Language Understanding and Generation, Salesforce Research, NeurIPS 2021
- **Goal:**
Bridge the modality gap between off-the-shelf [frozen pre-trained image encoders](#) and [frozen large language models](#) with a lightweight [Querying Transformer \(Q-Former\)](#).
- **Advantages:**
 1. No need to train from scratch
 2. Avoid catastrophic forgetting (w/ fixed VLM & LLM)



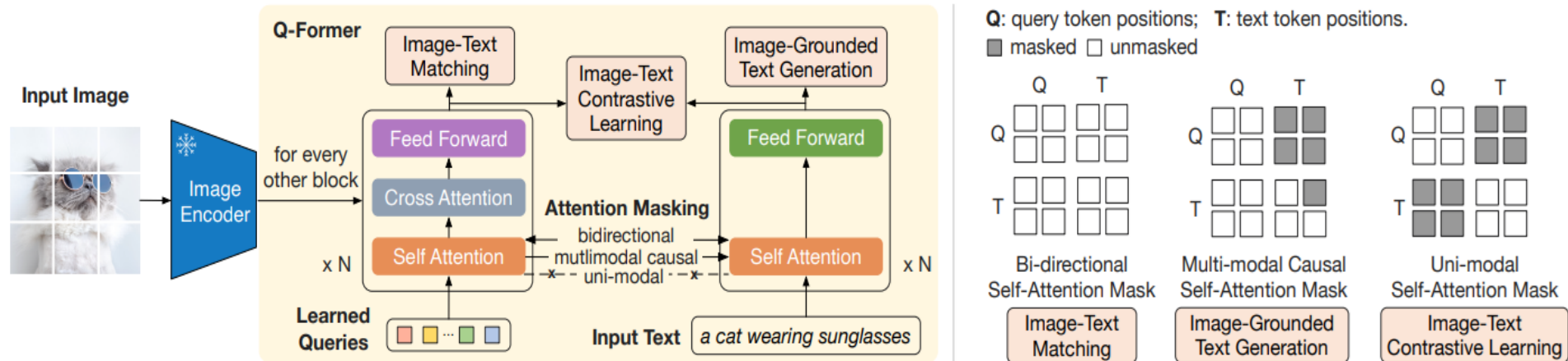
Pre-training

- A **two-stage** pre-training strategy
 - **Stage 1:** Representation Learning
 - enforce **Q-Former** to learn **visual representation** that is most relevant to the text description
 - **Stage 2:** Generative Learning
 - make the output representation of **Q-Former** to be understood by **LLM**



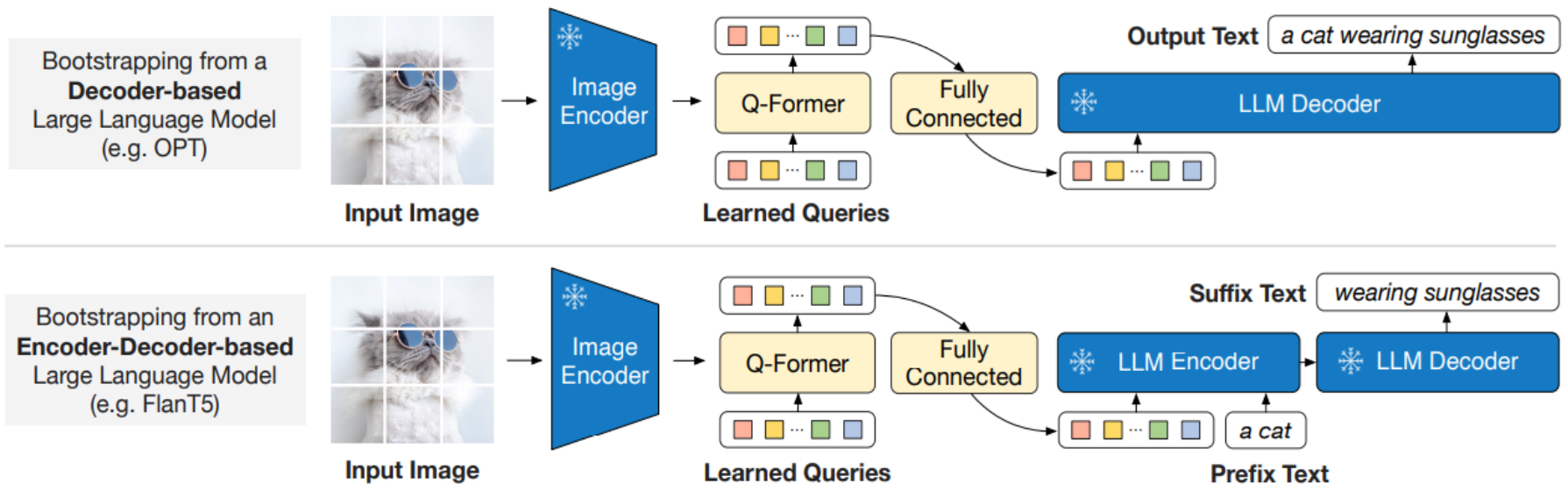
Pre-training Stage 1 - VL Representation Learning

- **Goal:** enforce **Q-Former** to extract visual representation relevant to text
- **Method:** three pre-training tasks
 - **Image-Text Contrastive Learning (ITC):**
self-attn in Q/T, followed by $\max(\text{sim}(Q, T))$ -> can be viewed as CLIP training
 - **Image-Text Matching (ITM):**
for each learnable query -> linear classifier for binary decision
 - **Image-grounded Text Generation (ITG):**
self-attn in Q for encoder training; T->Q for image-to-text generation



Pre-training Stage 2 - VL Generative Learning

- **Goal:**
Learning with LLM guidance
i.e., make the output representation of **Q-Former** to be understood by **LLMs**.
- **Method:**
pre-training with Image-grounded Text Generation (ITG)



Quantitative Results

- Comparison on zero-shot visual question answering (VQA)

Models	#Trainable Params	#Total Params	VQAv2		OK-VQA	GQA
			val	test-dev	test	test-dev
VL-T5 _{no-vqa}	224M	269M	13.5	-	5.8	6.3
FewVLM (Jin et al., 2022)	740M	785M	47.7	-	16.5	29.3
Frozen (Tsimpoukelli et al., 2021)	40M	7.1B	29.6	-	5.9	-
VLKD (Dai et al., 2022)	406M	832M	42.6	44.5	13.3	-
Flamingo3B (Alayrac et al., 2022)	1.4B	3.2B	-	49.2	41.2	-
Flamingo9B (Alayrac et al., 2022)	1.8B	9.3B	-	51.8	44.7	-
Flamingo80B (Alayrac et al., 2022)	10.2B	80B	-	56.3	50.6	-
BLIP-2 ViT-L OPT _{2.7B}	104M	3.1B	50.1	49.7	30.2	33.9
BLIP-2 ViT-g OPT _{2.7B}	107M	3.8B	53.5	52.3	31.7	34.6
BLIP-2 ViT-g OPT _{6.7B}	108M	7.8B	54.3	52.6	36.4	36.4
BLIP-2 ViT-L FlanT5 _{XL}	103M	3.4B	62.6	62.3	39.4	<u>44.4</u>
BLIP-2 ViT-g FlanT5 _{XL}	107M	4.1B	<u>63.1</u>	<u>63.0</u>	40.7	44.2
BLIP-2 ViT-g FlanT5 _{XXL}	108M	12.1B	65.2	65.0	<u>45.9</u>	44.7

Quantitative Results (cont'd)


- Comparison on image captioning

Models	#Trainable Params	NoCaps Zero-shot (validation set)								COCO Fine-tuned Karpathy test	
		in-domain		near-domain		out-domain		overall		B@4	C
		C	S	C	S	C	S	C	S		
OSCAR (Li et al., 2020)	345M	-	-	-	-	-	-	80.9	11.3	37.4	127.8
VinVL (Zhang et al., 2021)	345M	103.1	14.2	96.1	13.8	88.3	12.1	95.5	13.5	38.2	129.3
BLIP (Li et al., 2022)	446M	114.9	15.2	112.1	14.9	115.3	14.4	113.2	14.8	40.4	136.7
OFA (Wang et al., 2022a)	930M	-	-	-	-	-	-	-	-	43.9	<u>145.3</u>
Flamingo (Alayrac et al., 2022)	10.6B	-	-	-	-	-	-	-	-	-	138.1
SimVLM (Wang et al., 2021b)	~1.4B	113.7	-	110.9	-	115.2	-	112.2	-	40.6	143.3
BLIP-2 ViT-g OPT _{2.7B}	1.1B	<u>123.0</u>	<u>15.8</u>	117.8	<u>15.4</u>	123.4	15.1	119.7	<u>15.4</u>	<u>43.7</u>	145.8
BLIP-2 ViT-g OPT _{6.7B}	1.1B	123.7	<u>15.8</u>	<u>119.2</u>	15.3	<u>124.4</u>	14.8	<u>121.0</u>	15.3	43.5	145.2
BLIP-2 ViT-g FlanT5 _{XL}	1.1B	123.7	16.3	120.2	15.9	124.8	15.1	121.6	15.8	42.4	144.5

C: CIDEr S: SPICE B@4:BLEU@4


Visualization

- Instructed zero-shot image-to-text generation examples




Explain the advantages of this product.

The audi e-tron quattro concept is a plug-in hybrid electric sports car that has a range of 310 miles and can accelerate from 0 to 60 mph in just 4 seconds.




Tell me something about the history of this place.

The great wall of china was built in 221 bc by emperor qin shihuang to protect the emperor's capital from invaders from the north.



Write down the facts that you know about this flower.

It is a genus of flowering plants in the orchid family, orchidaceae. It is native to eastern asia and south east asia. It is a perennial flowering plant that grows up to a height of 1 m.




Is this photo unusual?

Yes, it's a house that looks like it's upside down.

How could someone get out of the house?

It has a slide on the side of the house.




What are shown in the photo?

A man and a chicken.

What does the man feel and why?

He is scared of the chicken because it is flying at him.



What are the ingredients I need to make this?

Pepperoni, mozzarella cheese, pizza sauce, olive oil, salt, pepper, basil.

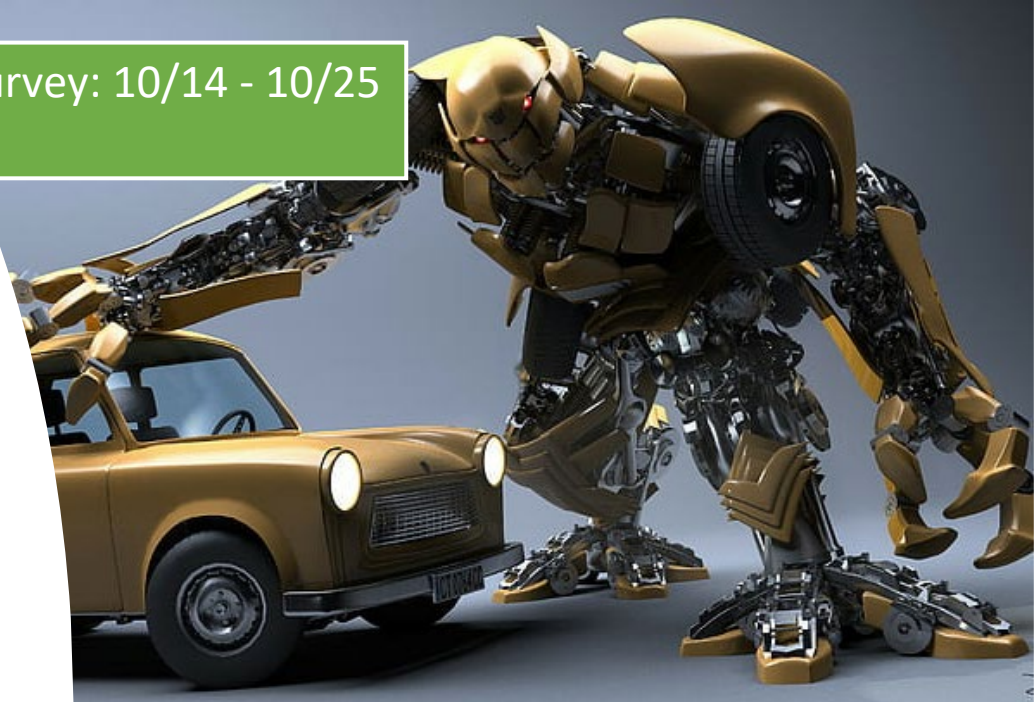
What is the first step?

Place the pizza dough on a baking sheet, brush with olive oil, sprinkle with salt, pepper, and basil.

[Reminder] Midterm course feedback survey: 10/14 - 10/25
Feedback is welcome!

What We have Covered Today

- Transformer
 - Self-Attention
 - Cross-Attention
 - Positional Embedding
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<https://medium.com/@navendubrajesh/vision-language-models-use-cases-ee6d54b2c557>

